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## OmniMetrics

### Part II: Applications of neural networks (Ma\_NN) in Environmetrics<sup>#</sup>

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(Dedicated to Dr. Y Anjaneyulu, Professor & Director, Trent Lott Geospatial & Visualisation Research Center, Jackson State University, Jackson MS)

#### ABSTRACT

*What the environment and system now are, in fact, a result of yotta-consequences of hexa-micro\_processes and peta-macro\_processes over, of course, finite (13.7 billion years) time in 5% of universe. The known chemical, biological and meteorological micro processes and their interaction are non-stationary and densely networked. Thus, even in twenty first century, the phenomenon poses a hurdle to model from first principles. Mathematical neural networks (Ma\_NNs), data driven suite of algorithms are a subset of nature inspired approaches (Eman) which brought renaissance in computational environmental science during the last quarter century.*

*Particulate matter (PM10, PM5 and PM2.5), also concerned with health hazards, is hard to model in air. The complexity arises due to variability of meteorological factors and topographic influences. Different species of PM10 in Italy was modelled with Elman-NN. RBF and SLP were successful in forecasting PM2.5. The performance of mechanistic models for NO<sub>x</sub> is poor, while MLP was successful. Operational intricacies of surrounding industries affect SO<sub>2</sub> emission in addition to meteorological scenario. NNs yielded better results compared to statistical approach. NH<sub>3</sub>, SO<sub>2</sub> and aerosols are modelled successfully with SLP. Aerosols, containing different metal species and particles originating from road dust, industrial/biogenic emission, are classified for minor particles by ART-2a-NN. The maximum emission of NH<sub>3</sub> from manure storage is modelled with NNs and the number of inputs is less compared to Michaelis-Menten model. Here, mechanistic models are inadequate while MLR fails. Electronic nose, developed for pollen detection uses NNs. Compared to PCA, NNs achieved subtle distinction of major classes. Further, they enabled partial resolution of even classes.*

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# Part was presented at Int. Conf. on Frontiers in Chemical Research (2008 Dec), Mangalore University, Mangalore.

*The ground water quality with rain-water infiltration and extraction of knowledge from use of ground water/land was modelled with SOM-NN. NNs performed better than ARIMA in hydrology and management*

of flood/watershed. A complex urban and rural water resource management with 1200 subsystems and 100,000 variables necessitated the use of NNs and fuzzy inference system (FIS). The turbidity and color of treated drinking water was predicted with NNs. Transportable NN model was developed for annual water supply. The optimum amount of alum in drinking water treatment plants (DWTPs) in Australia is estimated with NNs. Employing NNs, Chlorcast models residual chlorine in drinking water tank and distribution system in Sanite-Foy with success in real time.

In effluent of waste water treatment plant (WWTP), MLP estimates the concentration of nitrogen as ammonia better than activated sludge model (ASM-1). Recurrent NN is used in modelling and control of combined sewer systems (CSS) in WWTP, Washington. The activation/deactivation of pumps in WWTP (which were earlier managed by human operators) are planned through NNs. A plant in Taiwan uses a multi-objective control strategy involving NN, fuzzy logic and genetic algorithm (GA). Two single layer perceptron (SLP-) NNs in sequence are used in WWTP operation, one to control the plant and the other to monitor critical parameters. BOD and SS in treatment plant in different locations are studied as NN time series models.

The soil surface, a heterogeneous medium of chemicals, is the terrain platform for life of animals and humans. The composition and quality of soil is also at stake due to anthropogenic and non-anthropogenic reasons. The increase in concentrations of pollutants in sub- and deep- surface of soil is mainly due to drainage of domestic/ untreated industrial wastes. The distribution over wider surface is a consequence of streams, floods, riverine flows etc. The model independent paradigm, neural networks, is a versatile tool to predict the coming up scenario and has been used to implement control measures in keeping health of soil to derive quality foods, medicinal plants and edible weeds etc.






**Keywords:** Particulate matter (PM<sub>x</sub>), Water sources, Potable water, Pollution, Waste\_water\_treatment\_plant, Environmetrics, Neural networks, Persistent organic pollutants, Pristine environment, Oil spills, rain fall, floods, ground water, soil, Environmental protection agency.

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WWTP

Combined sewer systems (CSS)

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## INTRODUCTION

In 1970's US-EPA introduced pollution standard index (PSI), which is broadly employed as AQI (Air quality index), US-EPA-1999. The governmental agencies promoting environmental health in different countries are in [chart A1-1](#)[44]. Another committed focus of these elite bodies is not only to pass skills down the ladder up to maintenance stations, but to share experience, expertise and professionalism. These promote innovations in the cutting edge technologies, core science and achieve best global air-water-soil Eco balance in the coming millennium. The immediate fringe benefit is avoiding the ill-effects of pollutants on human health and on terrestrial/marine natural evolving resources. Eco system is a broad term and made up of many mega processes in natural phenomena and man-made activities. The nature and intensity of deposition of pollutant materials on a glass is a good indicator.

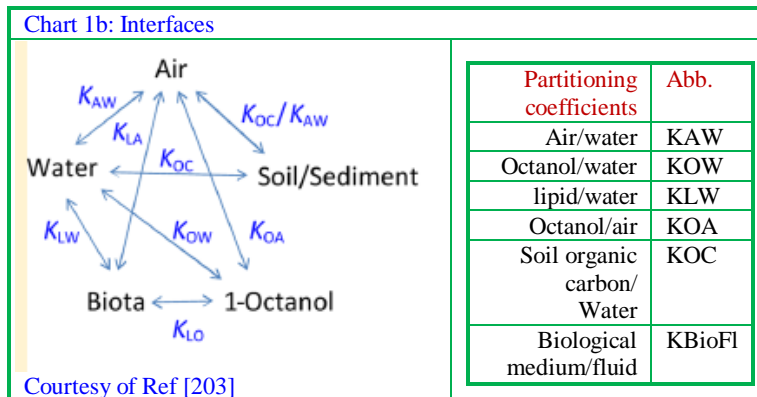
Environmental science is broad with prospecting technologies. It is a binary-, ternary-, quaternary-hybridization of several matured disciplines ([Appendix 1](#)). Metrics, on one side, is simply a measurement. At highest level, it is conglomeration of mathematics, statistics, nature-inspired algorithms at the core and software and hardware for implementation. Thus environmetrics is fusion of two paradigms viz. environmental science/technology and metrics. The mechanics is environmental processes are first translated into physical model followed by matching with standard mathematical tasks. Depending upon data structure and goal, the solution methods are selected ([Appendix 2 and appendix 3](#)). The solution and multi-dimensional graphic display is through high performance computational and visualization software. The hardware choice is dependent on required accuracy, speed, data size and most important is interpolating grids. The applications of NNs in air, water, soil pollution monitoring, abatement and futuristic planning schedules are reviewed [001-398]. Earlier, the chemistry/ polluting aspects of ozone and their impact on human health are detailed [297] and some typical applications of SOM\_NN, Rec\_NN,

RBF\_NN, MLP\_NN and ART\_NN in environmetrics were covered [296 and references 303 to 308 therein].

### Pollution cycle in air, water and soil and their interfaces

<b>Chart 1(a) Models-environment</b>	
<b>AIR</b>	
CMAQ	<ul style="list-style-type: none"> <li>Community Multiscale Air Quality</li> <li>Multiple pollutants at multiple scales</li> </ul>
NWP	<ul style="list-style-type: none"> <li>Numerical weather prediction</li> </ul>
WRF	<ul style="list-style-type: none"> <li>Weather Research and Forecasting</li> </ul>
AERMOD	<ul style="list-style-type: none"> <li>A steady-state plume air dispersion</li> </ul>
EPA PMF Positive Matrix Factorization 3.0	<ul style="list-style-type: none"> <li>Receptor model</li> <li>Constrained weighted least squares approach</li> <li>Ambient measurements and estimated uncertainties in those measurements to infer emission source</li> </ul>
Unmix 6.0	<ul style="list-style-type: none"> <li>Receptor model</li> <li>Uses ambient measurements to determine the number of source types and their impacts at a monitoring site</li> </ul>
Fused Discrete Air Quality Surfaces	<ul style="list-style-type: none"> <li>Space-time hierarchical Bayesian model</li> <li>Daily O<sub>3</sub> and PM<sub>2.5</sub> predictive surfaces.</li> </ul>
<b>Models-Water</b>	
WASP7 Water Quality Analysis Simulation Program	<ul style="list-style-type: none"> <li>Conventional Pollutants (Nitrogen, Phosphorus, Dissolved Oxygen, BOD, Sediment Oxygen Demand, Algae, Periphyton),</li> <li>Organic Chemicals, Metals, Mercury, Pathogens, Temperature</li> </ul>
EPD-RIV1	<ul style="list-style-type: none"> <li>Hydraulic and water quality simulations</li> <li>.</li> </ul>
QUAL2K	<ul style="list-style-type: none"> <li>River and stream water quality model</li> </ul>
AQUATOX	<ul style="list-style-type: none"> <li>Predicts the fate of pollutants, rganic chemicals, effects on fish, invertebrates, aquatic plants.</li> </ul>
<b>Models-Waste water</b>	
WATER9	<ul style="list-style-type: none"> <li>Wastewater treatment model</li> <li>Analytical expressions for estimating air emissions of individual waste constituents in wastewater collection, storage, treatment, and disposal facilities</li> </ul>

The experimental partition coefficients of volatile methylsiloxanes (VMS) are useful to predict distribution, transport and decay in environment [203]. Volatile methylsiloxanes (VMS, VOCs) found extensive industrial and personal care products. During WWTP, they are partitioned between air and sludge. A portion of sludge enters soil through application of biosolids [203]. The inter molecular forces between water molecules in interfaces (air-soil, metal surfaces, proteins, cells etc.) even at ambient conditions are significantly different (chart 1) from the bulk water and impart unique physico-chemical, mechanical, bio-physical characteristics as a result of varying structure and dynamics. These phenomena have shape many life processes, engineering products and scientific theories. The pursuit in the case of nanomaterial is at the stage of breaking the proverbial tip of the iceberg and is marching into vent able zoo of 2D- materials.



### I. Air (1-7)





The large thermal power plant, ferries, cruise ships and individual commuting are major sources of air pollution. The objective of air monitoring remains same viz. detecting/determining and forecasting contaminants/their degradation products in real time as accurately as (AAA) possible in spacio-temporal domain in small time intervals over small grid space. This oscillating goal has a point focus of possible clean air in as many terrine/marine pockets as possible. Fulfillment of all the objectives of NAAQS (National Ambient Air Quality Standards) compliance monitoring is a formidable reality. Yet, in near future many are achievable with evolution of sensor technology and information processing/ dissipation and inter-disciplinary tight object oriented collaboration. Although, in principle continuous pollutant monitoring is accepted and is in practice in major urban centers, calibration period and equipment failure are major factors for missing values.

The environmental conflicting issues are a complex interaction of local as well as global phenomena and their detection/resolution/remedial measures in a special dynamics are interwoven complicated interdependent micro-processes. Regression, NN models or ESs are fast but fail when the pattern changes overtime. Since NNs are data driven, generalization of model is valid only for the features embedded in the data. For example, the best predictive NN for 24-hour range, say at Santiago cannot be applied for 1-hour ahead prediction even at the same location. The others instance of failure is Cohen model, a successful predictive NN model is transferred to another location where different environmental conditions or pollution sources prevail [187]. The data at multiple locations with different prediction objectives cannot be trained with a hierarchical architecture with meta rules rather than with a single huge [174] network for reliable end results in modeling and prediction.









The tools in operation on sight in environment monitoring required complex input and not only computational resources but expertise (EPA) – MODEL 5 (Bartzis, 1995). Montanari et al. [327] reported NNs in land use conflict in the harbour area (Civitavecchia) of the Rome. From a study of 27 cases, it is inferred that environment-led policy is a safe approach to resolve the conflict. Kalapandidas reported a case based reasoning (CBR) system. It makes use of similar previous incidence to classify the expected levels of maximum concentration in prediction. There is a continuous addition of new cases and thus functions better in long term modeling at the same site and also imbibing the changing patterns at other places if there is provision.

The pollution index is generally expressed as integers, which are easily understood by the public. Jiang [44] used a NN model using the air pollution index and meteorological data. The values for PM<sub>10</sub>, SO<sub>2</sub> and NO<sub>x</sub> are correlated to an extent of 0.60, 0.69 and 0.63 for the data between 17 September 2002

and 20 may 2003. Wang et al.[367] proposed a novel two stage data driven modeling strategy to compensate the uncertainties in emission and meteorological data (Alg. 1).

Alg. 1: Multilevel hybrid algorithm for compensation of uncertainties in emission and meteorological variables	
Phase I	 Development of NN and SVM forecast models  Data: Historical values of exogenous meteorological variables
Phase II	 Residual information in previous step is calculated  Forecasting model is expanded by Taylor's infinite series  The magnitude of forecast value is refined

**NNs in meta modeling of air quality prediction:** Deterministic air quality models find potential application in prediction of spacio-temporal regime by regulatory authorities for policy development locally. However, model errors perturb forecast and Wahid et al.[24] proposed meta-modelling approach with NNs (chart 2).

Chart 2: Prediction of spatial pollutant distribution with NN	
Dispersion model	
Spatially-distributed pollutant (ozone)	$F_n(X)$  grid coordinates,  topographical information,  solar radiation  pollutant's precursor emission )
	Phase I Air Pollution Model and Chemical Transport Model (TAPM-CTM) -- <b>Photochemical dispersion model</b>  Input-output data correlation with ambient measurements  RBF_NN
	Phase II  Ozone concentrations  Sydney basin, Australia
	Phase III <b>Application</b> Real time ozone concentrations <b>Inference</b> RBF_NN >> TAPM-CTM model only

### 1. Particulate Matter (PM<sub>x</sub>)

Particulate matter, of different sizes, has adverse effects on human health causing lower respiratory problems in children and damage of upper respiratory track in aged individuals. An increase of (24 hours average) of 10 µg/m<sup>3</sup> of PM<sub>10</sub> increases 1% mortality per day due to all causes. The ill effects of PM<sub>2.5</sub> include hospitalization due to cardio respiratory disturbance and more alarmingly increase in mortality



[54]. In the current regulations, total quantity of PM is considered in warning pollution level. But, in depth studies reveal the need to correlate the ill effects on health with specific chemical elements, the concentration of each of the species in metabolism/excretion/accumulation and interaction [42]. The sources of particle for sampling are from incinerators, smelters, power plants, motor vehicles and soil. Back trajectory analysis has been in vogue. Thus, the analysis of air borne particles is equally important as gaseous pollutants. The chemical composition and size of PM are used to detect forest fire episodes. Owega [43][2004] reported the need for the refinement of the TEOM and TOFMS. LAMS (Laser Ablation Mass Spectrometer, Alg. 2b) is a real time/on-line instrument, which measures accurately aerodynamic diameter and chemical complex species of a single particle. This paves way to improve the identification (with certainty) of new episode occurrences. But, it requires accumulation of large number of mass spectra, especially during known incidences. The disadvantages are that a source emits different types of particles and many sources emit a common particle. The soft and data driven models for forecast of variation of PM are extensive.

**PM10:** A pre-emergency day is that when 24 hour moving average of PM10 exceeds WHO prescribed limit (of  $240 \mu\text{g}/\text{m}^3$ ) [49]. The anthropogenic prime sources for this particle pollutant are combustion, industries and vehicular traffic. The consequence is dust from road (PM10) and black smoke of exhausts of diesel vehicle remains suspended for several hours in the air. The sources responsible for generation of PM10 are complex including meteorological factors, topographic influences of terrain, emission sources, particle characteristics like density, shape and hygroscopic characteristics. PM10 consists of several species of different concentrations. For instance, the particle size of suspended PM in aerosol is typical. The processes are also of diverse nature and the distribution in space and time is influenced by several factors viz. wind speed, surface temperature/pressure, humidity, dew point temperature etc. It is difficult to predict PM10 compared to  $\text{NO}_2$  in urban areas [45], but improves by including climatic (lagged  $\text{PM}_{10}$ , weather classification information, opacity, and discomfort index) and Non-climatic factors (traffic levels and indices of heavy/low traffic conditions). The fact is that variables with non-linear relation with response apparently exhibit low linear correlation [171]. Thus, inclusion of weather variables that are not linearly correlated sometimes drastically improves predictive capability.


**Correlation between factors for PM10:** There is a high cross correlation among topographical, traffic, meteorological and air quality variables. PCA gives linear combination of these factors, which are (independent) orthogonal with the advantage retaining maximum variance in the data. The advantage of this method is its reduction of substantial auto correlation that is present in the data. Cheng et al. [239] showed ensemble averaging method is better than MM5, WRF models in pollution events in China. The combination of ensemble with CMAQ for simulating PM10 excelled MM5–CMAQ and WRF–CMAQ (chart 3). Antanasijević et al. [369] reported two year ahead forecast (chart 4) of PM10 with NNs employing data from 26 EU countries during 1999–2006. Nyhan et al. [294] applied NNs to predict minute ventilation and masses deposited in the lung in urban commuting cyclists. The information in table 1 summarizes typical results.

<b>Chart 3: performance of Ensemble_NN in PM10 and pollution episodes</b> <div> <div>Location</div> <div>⇒ Northern China</div> <div>⇒ Time: 2006</div> </div> <div> <div>Meteorological models</div> <div>⇒ MM5</div> <div>⇒ WRF</div> <div>⇒ Ensemble_NN</div> </div>		<b>Meteorological variable optimization</b> <div> <div>⇒ Temperature</div> <div>⇒ Surface</div> <div>⇒ Air pressure</div> <div>⇒ Wind field</div> </div>	
<b>Performance Improvement</b> <div> <div>⇒ Ensemble_NN &gt;&gt; MM5 and the WRF</div> <div>⇒ PM10 simulation</div> <div>⇒ Ensemble_NN–CMAQ &gt; 7.0% MM5–CMAQ</div> <div>⇒ Ensemble_NN–CMAQ &gt; 17.8% WRF–CMAQ</div> </div>		<b>Chart 4: Forecast of PM10</b> <b>Input</b> <div> <div>⇒ Gross domestic product</div> <div>⇒ Gross inland energy consumption</div> <div>⇒ Incineration of wood</div> </div>	<b>Data centers</b> <div> <div>⇒ Convention on Long range Transboundary Air Pollution</div> </div>



					<div><div>⇒ Motorization rate</div><div>⇒ Production of paper and paperboard</div><div>⇒ Sawn wood production</div><div>⇒ Production of refined copper, production of aluminum</div><div>⇒ Production of pig iron</div><div>⇒ production of crude steel preprocessing</div><div>⇒ GA – model selection</div></div>	<div><div>(CLRTAP )</div><div>↓ EMEP Program</div><div>↓ Regional Air Pollution Information and Simulation (RAINS from Eurostat)</div><div>📍 Location: 26 EU countries</div><div>📅 Years : 1999 to 2006</div></div>																																																								
<div><div>Table 1a: Typical studies in PMx</div><table><tr><th>PMx</th><th>Objective</th><th>Site</th><th>Methods</th><th>Ref</th></tr><tr><td>PM10</td><td>Classification on 20 classes; 11,480 particles</td><td>University of California Riverside campus</td><td>ART2a</td><td>[47]</td></tr><tr><td>PM2.5</td><td>Day average</td><td>Elpaso (Texas) Ciudad Juarez (Chihuahua) US Mexico border</td><td><div><div>📁 MLP</div><div>📁 RBF</div><div>📁 Persistence</div><div>📁 LS</div></div></td><td>[174]</td></tr></table></div>					PMx	Objective	Site	Methods	Ref	PM10	Classification on 20 classes; 11,480 particles	University of California Riverside campus	ART2a	[47]	PM2.5	Day average	Elpaso (Texas) Ciudad Juarez (Chihuahua) US Mexico border	<div><div>📁 MLP</div><div>📁 RBF</div><div>📁 Persistence</div><div>📁 LS</div></div>	[174]	<div><div>Table 1b : Index of agreement for hourly script time series of PM10 at Toolo and Vallila [45]</div><table><tr><th>Model</th><th>1996</th><th>1997</th><th>1998</th><th>1999</th><th>1996 to 1999</th></tr><tr><td>NNHoG</td><td>0.64</td><td>0.76</td><td>0.77</td><td>0.73</td><td>0.73</td></tr><tr><td>NNHeG</td><td>0.73</td><td>0.80</td><td>0.79</td><td>0.76</td><td>0.77</td></tr><tr><td>LIN raw</td><td>0.60</td><td>0.71</td><td>0.37</td><td>0.75</td><td>0.61</td></tr><tr><td>Vanilla</td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>NNHeG</td><td>0.70</td><td>0.71</td><td>0.75</td><td>0.77</td><td>0.73</td></tr><tr><td>LIN</td><td>0.64</td><td>0.66</td><td>0.47</td><td>0.75</td><td></td></tr></table></div>	Model	1996	1997	1998	1999	1996 to 1999	NNHoG	0.64	0.76	0.77	0.73	0.73	NNHeG	0.73	0.80	0.79	0.76	0.77	LIN raw	0.60	0.71	0.37	0.75	0.61	Vanilla						NNHeG	0.70	0.71	0.75	0.77	0.73	LIN	0.64	0.66	0.47	0.75	
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<b>Alg. 2: Hybrid intelligent (Time-delay Added Evolutionary Forecasting) system for one-day-ahead forecast of PM2.5 and PM10</b>	
<b>Phase I</b>	GA refines <ul style="list-style-type: none"><li>↕ Number of input nodes (time lags)</li><li>↕ Number of neurons in hidden layer</li><li>↕ Training algorithm &amp; parameters</li></ul>
<b>Phase II</b>	Forecast for next day Objfn: min (difference between forecasting and experimental concentrations of past time series)
	<ul style="list-style-type: none"><li>✦ Predict next day concentration</li><li>✦ Does not require exogenous information</li></ul>
Data	Time series at Helsinki

<b>Table 1c: Minute ventilation levels PM10 lung deposited doses</b>	
 Sixty healthy volunteers	<ul style="list-style-type: none"><li>✦ Risk factors monitored</li><li>✦ Minute ventilation</li><li>✦ Heart rate</li><li>✦ Personal air pollution exposure</li><li>✦ Local meteorological conditions</li><li>✦ GPS acquired cycling speed</li><li>✦ Road topography</li><li>✦ Obj: predicting minute ventilation</li><li>✦ Model : NN</li></ul>

<b>Table 1d: Correlation between predicted vs measured minute ventilation levels</b>	
	R <sup>2</sup>
ANN	0.82
GAM	0.74
PLS	0.56
Empirical	0.36 to 0.43

<b>Alg. 2b: Laser ablation mass spectroscopy (LAMS) [43]</b>		
Step	:	1 Aerosol particles are pre concentrated by a factor of ten (Virtual impact or and cyclone).
Step	:	2 The purpose of inlet of LAMS is to remove the gas surrounding the particles and also, to generate a single particle beam.

Step	:	3	Single particles of interest to He-Ne light whose paths are separated by a known distance.
Step	:	4	The scattered light from the particle is recorded with two photo-multiplier tubes. The time between these electronic pulses was converted into an aero dynamic equivalent diameter. This time is also crucial to determine when to fire a big sky-Nd-YAG laser (266 nm).
Step	:	5	It ablates the particle in the ion source chamber of a TOFMS
Step	:	6	When a particle is ablated, the ions are accelerated with electric fields into a field free drift tube.
Step	:	7	The ions enter a reflection, travel back through the field free drift tube and strike a micro channel plate detector. The signal from MCP is recorded by oscilloscope (500 MHz).

**PM<sub>5</sub>:** Particles with lower diameter than PM<sub>10</sub> are smart enough to penetrate into the respiratory track of humans.

**Particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>):** De Mattos Neto et al. [138] report that it is the start of intelligent prediction model (Alg.1) taking into consideration of pseudo-random walk behavior of this time series. These authors proposed a hybrid system comprising of MLP\_NN and GA (Alg. 2) for one-day ahead forecast of particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>).

**PM 2.5:** The sources of PM 2.5 in urban areas are emissions from vehicle exhaust and re-suspended surface dust. The major components are carbon, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, nitrate etc. [54,312] Prediction of PM 2.5 is a non-linear (NL) problem. The short term forecasting of PM 2.5 by MLP\_NN and RBF\_NN [174] is better than MLP (table 2), although data set has high degree of noise. The incorporation of related meteorological variables and noise reduction improves the performance of NNs and leads to accurate prediction. However, different schemes to consider past values of time series needs investigation. In 1997, SCOS97-NARSTO (Southern California Ozone Study-North American Research Strategy for Troposphere Ozone) field campaign [47] was performed wherein intensive atmospheric measurements were made during both summer and fall. South California Air quality study (SCAQS) could do only a field study in the last decade. It concentrated on bulk analysis techniques. The abundant species of PM<sub>2.5</sub> contain nitrate, sulphite, ammonium, organic carbon and elemental carbon [Chow 1994]. The limitation of this study was combinations of chemical species were not identified. Pastor et al. [47] focused real time single particle mass spectrometry with ATOFMS using ART-2a.

Table 2a: PM <sub>2.5</sub> modeling [174]			
Year	PM <sub>2.5</sub>	SD	Max
2000	8.27	4.71	26.74
2001	8.87	3.54	87.44
2002	9.91	7.42	69.21

Table 2b: Input variables used in the forecast of PM <sub>2.5</sub> [174]		
Av.value	units	
PM <sub>2.5</sub>	$\mu\text{g} / \text{m}^3$	
Max(PM <sub>2.5</sub> )	$\mu\text{g} / \text{m}^3$	
Temperature	°F	
Humidity	%	
Wind speed	m/s	
Wind bearing		Wind direction index
Av.value :Average value during first 8hrs of the day		

Table 2c: Best model for forecast of PM <sub>2.5</sub>				
Method	RMSE	R2	I#H#O#	
SLP	$1.58 \times 10^{-3}$		7-18-1	Variance
RBF	$1.28 \times 10^{-3}$	0.3712	7-20-1	
RBF	$1.32 \times 10^{-3}$	0.4611	7-21-1	0.25
RBF	$1.23 \times 10^{-3}$	0.4554	7-20-1	0.50
RBF	$1.23 \times 10^{-3}$	0.4451	7-16-1	0.75
RBF	$1.30 \times 10^{-3}$	0.4380	7-10-1	1.5
RBF	$1.27 \times 10^{-3}$	0.4143	7-10-1	3.5
Linear regression	0.27	0.3983		
Persistence	0.41	0.0806		

**NN + Knowledge recovery system:** Chan and Jian [324] applied NN for mass concentration of PM<sub>2.5</sub> and PM<sub>1.0</sub> on a heavy traffic area in Hangzhou city. A knowledge recovery unit is used for post processing optimum NN architecture, Ws etc. Standard NNs estimate pollutant concentrations, but the numerical enigmas do not provide explicit knowledge of pollution levels in terms of factors. This hybrid system identifies significant factors with transparency circumventing the limitation of black box approach of NNs.

Method	Function
NN	Estimates air pollution levels from pollution factors
Knowledge discovery	Extracts explicit knowledge from optimized NN

**Fine and coarse particles:** He et al.[73] predicted of fine and coarse particles at street level in summer and winter with hybridized NNs with Chaotic\_PSO and LM. The model is applied to forecast the trends of air pollution in similar meso-to mega-cities.

**Fine PM and CO at road intersection:** The running engines of idle vehicles during red light signals at cross roads and fast speed up when green lights are on result in drastic fluctuations as well higher emission rates of exhaust [30]. Added to it, in areas of frequent change of wind speeds and directions, the dispersion of pollutants is chaotic with a consequence of inadequacy of routine deterministic cause-effect models.

## 2. NO<sub>x</sub>, SO<sub>x</sub>, H<sub>2</sub>S etc.

In the management of local air quality, models are vital in addition to routine monitoring schedules. Before the year 2001, the predictive process of photochemical pollutants (NO<sub>2</sub>, O<sub>3</sub> etc.) was based on only human expertise in Athens (Greece) as was the practice in most other cities. A fast short-term (day-to-day) prediction is the basic need. When an air pollution episode is predicted, a complex prediction method in real time (outputting the results within few hours) has to follow for the same location that may be operated in a sophisticated central place. The urban air pollution in many metropolitan cities like Los Angeles, Mexico and Athens is high. The forecasting of SO<sub>2</sub>, NO, NO<sub>2</sub> (NO<sub>x</sub>) and ozone were done with NNs [85].

**NO<sub>x</sub>:** The source of NO<sub>x</sub> pollution is primarily from vehicle (cars, buses, trucks, off high way mobiles) exhausts in the form of NO. In fact, NO<sub>2</sub> is mainly produced from the interaction of NO with O<sub>3</sub>[58]. Thus, the net concentration of NO<sub>2</sub> not only depends upon the traffic, meteorological conditions, but also on O<sub>3</sub> profile. The details of mechanistic models for NO<sub>x</sub> and poor performance of statistical models are reviewed. The unequivocal option is NNs, since the trend is highly non-linear and complex. Gardner [58] proposed acceptable predictive models for urban environment pollution with MLP-NNs. The model for NO<sub>x</sub> can still be improved provided the inaccuracy in the forecast of the meteorological data is diminished. Further, it is better to train meteorological data on a grid rather than at isolated points. The variables used in the best model (NN) are in table 3. Here, it is found that MLP is equivalent to MLR. The peaks in NO<sub>2</sub> are much higher than predicted on 12, 13, 14, 8, 16, 17<sup>th</sup> and 28<sup>th</sup> of Dec 1991. NO<sub>x</sub> emission is of utmost concern in vehicle movements, and they can be reduced (table 3k).

**Table 3a : Comparison of NN with MLR [58]**

	NN	MLR
Err	0.91	0.90
RMSE	7.3	7.4

**Table 3c: Performance of NNs in comparison with LR for NO<sub>2</sub> in Bilbao (Spain) [170]**

Location	Forecast	NO <sub>2</sub>			
		MLP1	MLP2	LR	Persistence
Elorrieta	t+1	0.0008	0.0003	0.06	0.06
	t+4	0.08	0.125	0.34	0.43
Mazarredo	t+1	0.0005	0.0005	0.07	0.06
	t+4	0.0005	0.005	0.15	0.25
Txurdinaga	t+1	0.0001	0.0002	0.05	0.06
	t+4	0.002	0.007	0.573	0.30
Deusto	t+1	0.0002	0.0002	0.06	0.07
	t+4	0.0004	0.0005	0.17	0.26

**Table 3b: comparison of NN and linear regression with same input variables[58]**

Model number	RMSE		r <sup>2</sup> (NN)
	MLP	LR	
1	18.2	19.3	0.47
2	78.6	97.0	0.54
3	17.9	18.7	0.4
4	77.5	95.7	0.55
5	7.3	7.40	0.91
6	33.8	34.3	0.92
7	17.1	17.7	0.5
8	80.2	89.5	0.62

**Table 3d: Different categories of variables for O<sub>3</sub> and NO<sub>2</sub> in Bilbao (Spain)[170]**

Meteorological	Units	Abbreviation
Wind speed	m/s	Vx
Wind direction	N_	Vy
Temperature	_C	TEM
Relative humidity	%	HUM
Pressure	kPa	PRE
Radiation	cal cm <sup>-2</sup> h <sup>-1</sup>	RAD
Thermal gradient	_C	GRAD
<b>Air pollution</b>		
Ozone	mg/m <sup>3</sup>	O3
Nitrogen dioxide	mg/m <sup>3</sup>	NO2
<b>Traffic</b>		
Number of vehicles		NV
Occupation percentage	%	OP
Velocity	km h <sup>-1</sup> 100_1	KH

**Table 3e: Predictive models of NO<sub>x</sub> with different inputs[58]**

MLPx	O#	I#								
NO <sub>2</sub>	sin(h)	cos(h)	LOW	BASE	VIS	DRY	NO <sub>2</sub>	VP	WS	
NO <sub>x</sub>	sin(h)	cos(h)	LOW	BASE	VIS	DRY	NO <sub>x</sub>	VP	WS	s
NO <sub>2</sub>	---	---	LOW	BASE	VIS	DRY	NO <sub>2</sub>	VP	WS	Q
NO <sub>x</sub>	---	---	LOW	BASE	VIS	DRY	NO <sub>x</sub>	VP	WS	Q
NO <sub>2</sub>	sin(h)	cos(h)	LOW	BASE	VIS	DRY	NO <sub>2</sub> (t)	VP	WS	NO <sub>2</sub> (t1)
NO <sub>x</sub>	sin(h)	cos(h)	LOW	BASE	VIS	DRY	NO <sub>x</sub> (t)	VP	WS	NO <sub>x</sub> (t1)
NO <sub>2</sub>	sin(h)	cos(h)	LOW	BASE	VIS	DRY	NO <sub>2</sub> (t)	VP	WS	NO <sub>2</sub> (t24)
NO <sub>x</sub>	sin(h)	cos(h)	LOW	BASE	VIS	DRY	NO <sub>x</sub> (t)	VP	WS	NO <sub>x</sub> (t24)

**Table 3f: NO<sub>2</sub> pollution levels in Athens (Air quality operation center) [187]**

		mg/m <sup>3</sup>
Level 1	low	0–200
Level 2	medium	200–350
Level 3	high	350–500
Level 4	alarm	Over 500

**Table 3g: Prediction accuracies at different levels by NEMO [187]**

	1	2	3	4	Unable	% prediction accuracy	Number of Cases
Level 1	134	5				96.4	139
Level 2	38	22	2	3		33.8	64
Level 3		12	9	8		33.34	29
Level 4				4	4	50	8
							240

**Table 3h: Input variables for prediction accuracies of NO<sub>2</sub> pollution at different levels by NEMO[187]**

1	Date	
2	Code of measurement station	
3 to 5	NO hourly concentration at 8 a.m. (measurement at 8, 9, 10 a.m)	
6	NO maximum hourly concentration after 10 a.m.	
7	Hour that the NO maximum hourly concentration occurred	
8 to 10	NO <sub>2</sub> hourly concentration at 8 a.m. (measurement at 8, 9, 10 a.m)	
11	NO <sub>2</sub> maximum hourly concentration after 10 a.m.	
12	Hour that the NO <sub>2</sub> maximum hourly concentration occurred	
13	The wind before 10 a.m.	Factor deduced from
14	NMS wind forecast after 10 a.m.	forecast
15	The wind after 10 a.m.	measurement
16	Precipitation level from the observatory	measurement
17	NMS rain forecast	forecast
18	Temperature inversion	measurement
19	Solar radiation at 10 a.m.	measurement
20	NMS forecast of solar radiation after 10 a.m.	forecast
21	Solar radiation at 1 p.m.	
22	Maximum temperature of the day in degrees Celsius	
23 to 27	NMS forecast for next day's winds, precipitation, inversion, solar radiation, maximum temperature	

Table 3i Inputs to NN model of NO<sub>2</sub> at the University of East Anglia[45]

Temporal variables (t+24)		Concentration variables (t)	
➤	Weekday	Variable	Units
➤	Sine of year day	✓ NO <sub>x</sub>	mg/m <sup>3</sup>
➤	Cosine of year day	✓ NO <sub>2</sub>	mg/m <sup>3</sup>
➤	Hour	✓ O <sub>3</sub>	mg/m <sup>3</sup>

**Meteorological variables (t+24)**

Variable	Units	Variable	Units
<input type="checkbox"/> Pressure	kPa	<input type="checkbox"/> Sine of direction of flow	
<input type="checkbox"/> Temperature	K	<input type="checkbox"/> Cosine of direction of flow	
<input type="checkbox"/> Humidity	%	<input type="checkbox"/> Windspeed	m/s
<input type="checkbox"/> State of ground		<input type="checkbox"/> Sunshine	h
<input type="checkbox"/> Cloudiness	0–8	<input type="checkbox"/> Albedo	
<input type="checkbox"/> Dewpoint	K	<input type="checkbox"/> Solar elevation	rad
<input type="checkbox"/> Wetbulb	K	<input type="checkbox"/> Solar radiation	W/m <sup>2</sup>
<input type="checkbox"/> Rain	mm	<input type="checkbox"/> Moisture parameters	
<input type="checkbox"/> Visibility		<input type="checkbox"/> Monin–Obukhov length	m
<input type="checkbox"/> Weather		<input type="checkbox"/> Temperature scale	K
<input type="checkbox"/> Weather of previous hour		<input type="checkbox"/> Friction velocity	m/s
<input type="checkbox"/> Weather of previous 3 h		<input type="checkbox"/> Turb. heat flux	W
<input type="checkbox"/> Amount of clouds		<input type="checkbox"/> Net radiation	W/m <sup>2</sup>
<input type="checkbox"/> Type of clouds		<input type="checkbox"/> Latent heat flux	W
<input type="checkbox"/> Height of low clouds	m	<input type="checkbox"/> Mixing height	m
<input type="checkbox"/> Type of middle clouds		<input type="checkbox"/> Convective velocity scale	m/s
<input type="checkbox"/> Type of high clouds		<input type="checkbox"/> Gradient of the potential temperature	K/m

**Table 3j: Index of agreement for hourly time series of NO<sub>2</sub> at Toolo and Vallila [45]**

Model	1996	1997	1998	1999	1996-1999
NNHoG	0.85	0.86	0.87	0.89	0.87
NNHeG	0.89	0.90	0.90	0.91	0.90
<b>NN2HeG</b>	<b>0.89</b>	<b>0.91</b>	<b>0.91</b>	<b>0.91</b>	<b>0.91</b>
NN3HeG	0.89	0.91	0.92	0.92	0.91
LIN raw	0.78	0.83	0.84	0.81	0.82
DET raw			0.77	0.75	0.76
NNHeG	0.87	0.86	0.88	0.87	0.87
LIN raw	0.83	0.80	0.81	0.04	0.80
DET raw			0.70	0.68	0.69

Ho	Homosedastic	He	Heterosedastic
G	Gaussian	2 or 3	Two or three component mixtures of heterosedastic noise

**Table 3k: Reduction of NO<sub>x</sub> emission**

- ↓ Exhaust gas recirculation alternate fuels
- ↓ Turbo charging.
- ↓ Different mode of combustion.

**SO<sub>x</sub>:** The level of SO<sub>2</sub> [51] has been continuously decreasing in most of the western industrialized countries, while there is a noticeable increase in the developing nations and Eastern Europe [52]. Local pollution levels of SO<sub>2</sub> are however, a consequence of typical variation of meteorological/topographical conditions and operational details of surrounding industries. The sources of this [51] classic air pollutant are combustion of fossil fuels, power generation industry, traffic and heating. The ill effects of SO<sub>2</sub> include chronic bronchitis and low birth rates. The maximum SO<sub>2</sub> at noon is due to indiscernible cumulative effect of all sources and meteorological conditions. Perez [51] opines that the pollutant concentration of the previous day(s) is crucial for SO<sub>2</sub>, NO and NO<sub>2</sub>. Hence, it cannot be pinpointed to a single source to take up preventive measure during working days. Thus, modeling SO<sub>2</sub> is multifaceted and there appears to be no single simple modelling approach [182] for prediction of trend of SO<sub>2</sub> at a specific location. Several statistical methods have been tried, but neuro-fuzzy-NN, Wavelet analyzers are found to be promising techniques (table 4).

**Table 4a: Ranking of models based on classical statistics and advanced performance measures [182]**

	RMSE	MAE	r	FA%	SI%	Auc	SP%	SR%	Bias	d
High	WAG	ANN	ANN	ANN	ANN	ANN	ANN	ANN	MNN	ANN
	MNN	WAG	WAG	MNN	WAG	WAG	WAG	MNN	PER	WAG
	-	GAM	GAM	NFU	GAM	GAM	GAM	NFU	LPH	MNN
	-	LPH	MNN	-	MNN	MNN	MNN	-	-	GAM

	-	MNN	NFU		-	NFU	LIN		NFU	-		-	-
	-	PER	-		-	-	-		-	-		-	-
Medium	NFU	-	-		WAG	-	PER		-	WAG		ANN	ANN
	GAM	-	-		GAM	-			-	GAM		WAG	-

**Table 4b: Validity of NN models for different time intervals [85]**

SO <sub>2</sub> (RMSE)		Period (years)				TSP(RMSE)	
Tr	Te	Tr		Te		Tr	Te
		From	To	From	To		
18	18	1996	1997	1997	1998	20	19
19	19	1996	1998	1998	1999	21	20
20	19	1996	1999	1999	2000	21	19
19	17	1996	2000	2000	2001	20	18
Samples of SO <sub>2</sub>	Tr : 601	Te : 151					

**Table 4c: Prediction performance of NN models for different time intervals[85]**

SO <sub>2</sub> NP : 151				Period (years)		TSP NP : 151			
RMSE (ug/m <sup>3</sup> )	IA	Success	FP	From	To	RMSE (ug/m <sup>3</sup> )	IA	Success	FP
38	0.47	113	38	1997	1998	52	0.69	105	46
26	0.53	125	26	1998	1999	53	0.58	103	48
21	0.71	132	19	1999	2000	39	0.53	112	39
19	0.82	136	15	2000	2001	30	0.78	121	30

**Table 4d: Comparison of NNs with persistence model in prediction of SO<sub>2</sub>[51]**

#hour ahead prediction	SLP	Lin NN	Persistence
4	0.34	0.39	0.65
12	0.41	0.42	0.57
16	0.89	0.88	1.69
24	0.45	0.45	0.57

**Table 4e: Comparison of NN with nonlinear regression for SO<sub>2</sub> in different locations[186]**

Location	Model	RMS		corr	
		Tr	Pred	Tr	Pred
Industrial	NN	0.10	0.59	0.89	0.68
	NLR	0.40	0.62	0.64	0.57
Commercial	NN	0.10	0.53	0.80	0.72
	NLR	0.25	0.47	0.59	0.52
Residential	NN	0.10	0.46	0.95	0.63
	NLR	0.34	0.35	0.61	0.48

$$Y_{t+1} = a_1 * Y_t + a_2 * (W_t + k * a_3)^{-a_4} + a_5 * (T_{t+1} + a_6)^{-a_7} + a_8 * (R_{t+1} + a_9)^{-a_{10}} + e_t$$

Y : Log of SO<sub>2</sub>

W: Wind speed

T: Temperature

R : Relative humidity

E: White noise



$z_t$ :	$z$ at $t^{\text{th}}$ time instant	$z_{t+1}$ :	$z$ at $t+1^{\text{th}}$ time instant
a	Regression coefficients		

Adaptive neuro-fuzzy system (Table 4) is used to estimate and predict the air pollution levels in Zonguldak on daily basis. The impact of meteorological factors on the levels of SO<sub>2</sub>, TSP is studied. The performance is greater than 70%.

**Table 4f: Variation of RMSE for SO<sub>2</sub> with input in ANFIS [85]**

Input set	Training error (RMSE)	Average test error (RMSE)	AP	Atmospheric pressure
AP, RH, WS, SR, P, T, SO <sub>2j-1</sub> or TSP <sub>j-1</sub>	18	18	RH	Relative humidity
WS, RH, T, (SO <sub>2j-1</sub> or TSP <sub>j-1</sub> )	19	21	WS	Wind speed
AP, RH, WS, SR, P, T	25	32	SR	Solar radiation
AP, RH, WS, SR, P	30	38	P	Precipitation
			T	Temperature
			(SO <sub>2j1</sub> TSP <sub>j1</sub> )	Previous day's SO <sub>2</sub> concentration
			(TSP <sub>j1</sub> )	Previous day's s TSP concentration


**Table 4g: Forecast of Pollutants with Elman NN in Italy [37]**

Station	Units	RMSE							
		Belgio	Boccadifalco	Castelnuovo	Di Blasi	Giulio Cesare	Indipendenza	Torrelunga	Unita` d'Italia
SO <sub>2</sub>	$\mu\text{g m}^{-3}$	3.13	0.58	3.5	4.14	2.61	2.4	2.31	3.12
CO	$\text{mg m}^{-3}$	0.65	0.13	0.29	0.39	0.36	0.29	0.29	0.50
NO <sub>2</sub>	$\mu\text{g m}^{-3}$	32.09	7.02	5.23	6.37	4.89	4.5	5.23	8.46
O <sub>3</sub>	$\mu\text{g m}^{-3}$	---	---	4.99	4.44	----	---	---	
PM <sub>10</sub>	$\mu\text{g m}^{-3}$	6.47	--	6.43	5.33	7.44	4.53	----	

### Volcanic air pollution

The continual eruption of Mount Kilauea/ Hawaiian Islands outbursts SO<sub>2</sub> and sulphates. Their interaction with oxygen and water vapor forms Vog, a source of air pollution in this area. Perez [33] found NN and frequency model capture central tendency, but poor in predicting extreme events (chart 5).

**Chart 5: Vog models in Hawaii**

 Location: west, south and southeast coasts of Hawaii	Models	SO <sub>2</sub>	Freq > NN
	Regression	SO <sub>4</sub> <sup>2-</sup>	NN > Freq
	NN		
	Frequency		
		+	Models capture general tendency
		-	Poor to predict extreme events
		-	Irregular outliers

**H<sub>2</sub>S**: The biogas is produced from anaerobic digestion of municipal sewage sludge, organic wastes from industries, households and farms. The major application of biogas is in heat and power generators apart from the routine use as a cooking fuel. The presence of H<sub>2</sub>S, NH<sub>3</sub> and X<sub>2</sub> (halogens) in the biogas and non-methane organic compounds reduce the lifetime of fuel cells to a greater extent [175] (table 5). Further, the information on the toxicity is inadequate. Thus, the detection, reduction and complete avoiding of the trace quantities are essential in producing biogas of requisite quality and here, NNs played a role.

The mechanistic models for the emission of NH<sub>3</sub> from manure storage using volume and surface area as inputs are proposed. However, extension of the model to the field study is much more complex and the results are not astounding. A model including the inputs from atmospheric transfer and soil processes, did not succeed. It is reconciled due to unaccounted variation of manure patches, droplets and pH, which are not easily predictable in the process. Thus, mechanistic models are not adaptable for emission of NH<sub>3</sub> in the field studies in real time. The emission of NH<sub>3</sub> from manure was modeled with MLR and it was observed that the regression is time dependent. To circumvent the limitation, MLR model for different time periods (0 to 6, 7 to 12, 13 to 24 hrs and 1 to 6 days) was proposed consisting of linear profiles. The emission of NH<sub>3</sub> is fitted with two parameters. The accumulated ammonia emission value for 227 data sets is fitted with Michaelis-Menten equation with a overall R<sup>2</sup> value of 0.94. However, E<sub>max</sub> and k<sub>n</sub> = a/b depend upon experimental conditions. This restricts the use of linear and non-linear regressions. Thus, data driven models are preferable and NN is a prospecting tool.

**Table 5: NN modeling of H<sub>2</sub>S**

**(a) Input for predicting H<sub>2</sub>S t+1 [175]**

- ⇒ Sulfate loading rate
- ⇒ H<sub>2</sub>S in biogas ppm NH<sub>3</sub> in biogas ppm
- ⇒ Total sulfides in reactor
- ⇒ Biogas-productivity
- ⇒ pH
- ⇒ Organic loading rate

**(b) : Architecture of NN for the prediction of H<sub>2</sub>S [175]**

Architecture	I#-5-1	I#-7-1
Transfer functions	Tansig	Purelin
Number of training data	100	131
Number of validated data	35	27
O#	Hydrogen sulfide in biogas [ppm] t+1	
O#	Ammonia in biogas [ppm] t+1	

**(c): Training strategy of NN for the prediction of H<sub>2</sub>S [175]**

Train function	Train dm
Learning rate	0.001
Train epochs	5000
Performance goal	0.02
Minimum performance gradient	1*10 <sup>-8</sup>
Momentum constant	0.9
Maximum performance inc	1.04
Alg. batch gradient descent with momentum algorithm	

**NH<sub>3</sub>**: NN requires less number of input variables compared to Michaelis-Menten model to calculate K<sub>m</sub> and E<sub>max</sub>. The quality can definitely be improved with more data points and advances in NN (table 6). The amount of nitrogen available in the long term and NH<sub>3</sub> emitted during manure application on the fields was modeled by NNs, which predicts a part of effect of NH<sub>3</sub> on environment [52].

**Table 6(a): Input for predicting NH<sub>3</sub> t+1[175]**

	Units
Nitrogen loading rate	G N m <sup>-3</sup> d <sup>-1</sup>
Ammonia in reactor	mg N-NH <sub>3</sub> l <sup>-1</sup>
Ammonium in reactor	mg N-NH <sub>4</sub>
Total inorganic nitrogen in reactor	mg N-NH <sub>4</sub>
Biogas-productivity	m <sup>3</sup> Biogas m <sup>-3</sup> d <sup>-1</sup>
pH	
Organic loading rate	kg CODm <sup>-3</sup> d <sup>-1</sup>

**Table 6(b) Input (X) in NH<sub>3</sub> models [52]**

Dry matter (% total matter)	Minimum temperature (°C) 1st day & 2 <sup>nd</sup> day
pH-value	Maximum temperature (°C) 1st day & 2 <sup>nd</sup> day
Ammonium concentration (kg Nm <sup>-3</sup> )	Precipitation (mm) 1st day & 2 <sup>nd</sup> day
Ammonium applied (g Nm <sup>-2</sup> )	Wind speed (m s <sup>-1</sup> ) 1st day & 2 <sup>nd</sup> day
Vegetation type 1=bare soil 2=grass 3=shoots land 4=residue	Irradiation, daily sum (Whm <sup>-2</sup> ) 1st day & 2 <sup>nd</sup> day

**Table 6(c) : Input to NN model of NH<sub>3</sub> emission[52]**

Minimum temperature	°C	pH-value	
Maximum temperature	°C	Ammonium concentration	kg N m <sup>-3</sup>
Irradiation, daily sum	Whm <sup>-2</sup>	Ammonium applied	g N m <sup>-2</sup>
Windspeed	m s <sup>-1</sup>	Dry matter	% total matter
Vegetation type	<ul style="list-style-type: none"> <li>▶ 1=bare soil</li> <li>▶ 2=grassland</li> <li>▶ 3=shoots</li> <li>▶ 4=residue</li> </ul>	Precipitation	mm

Ćirović et al.[214] used NNs for routing light delivery vehicles with data driven NN approach (chart 6d).

**Chart 6d: Route design of environmentally friendly and un-friendly vehicles with NNs**

Phase I	NN model for route calculation for EFV and EUFV
	Performance test
Phase II	Modified Clark–Wright algorithm
Testing model	Centre of Belgrade
Model input	Monitoring data at 40 automatic measuring stations for the air quality (SEA, 2012)

**Chart 7: Tropospheric ozone models for Tabriz**

Input	Models
<ul style="list-style-type: none"> <li>⇒ Temperature,</li> <li>⇒ Solar radiation</li> <li>⇒ Dew point temperature</li> <li>⇒ wind speed</li> </ul>	<ul style="list-style-type: none"> <li>⇒ MLR</li> <li>⇒ NNs</li> <li>⇒ Gene expression programming</li> <li>AR type</li> <li>✗ chaos theory</li> <li>⇒ ARIMA</li> </ul>
<b>Data</b> August 2010 to March 2011 Hourly data	
<b>Inference</b> MLR, NN, GEP < AR	

Input	Model	
<ul style="list-style-type: none"> <li>Logistics operating costs</li> <li>Environmental parameters (exhaust emissions and noise)</li> </ul>	<ul style="list-style-type: none"> <li>NN</li> <li>Tnn : SAA</li> <li>Environment noise</li> <li>Logistics operating costs</li> </ul>	<b>Chart 8: PCB-11 in consumer goods</b> <b>PCB-11 levels</b> <b>0.27 to 86 ppb</b> <b>Location:</b> <ul style="list-style-type: none"> <li>26 countries</li> <li>five continents</li> </ul> <b>Materials</b> <ul style="list-style-type: none"> <li>Magazines</li> <li>Advertisements</li> <li>Maps</li> <li>Postcards</li> <li>Brochures</li> <li>Napkins</li> <li>Garments</li> </ul>

**Ozone:** The models viz. LDF (linear discriminant function), QDF (quadratic discriminant function), MLR, MLR-BP\_NN, RBF\_NN are compared to forecast possible shifts in the prediction of ozone in Houston from a 12 year (1990 to 2002) period from daily air quality data collected by Texas commission on environmental quality. This is an extensive comparative study while the earlier studies used ARIMA. Khatibi et al. [284] reported Tropospheric ozone (chart 7) models for Tabriz with autoregressive, regressive and NN type models.

Some of the recent applications of NNs include pollution studies in Annaba, Algeria [63], volcanic smog (vog) in Hawaiian islands [35], prediction of tropospheric ozone concentrations in Dilovasi, Turkey [124], particulate matter from satellite and ground based observations [370], forecasting PM10 [33, 369] in Thessaloniki and Helsinki [372, 139], metropolitan areas [198], PM2.5 [324], evolution of haze, SO<sub>2</sub>, NO<sub>2</sub> [32], classification of SO<sub>2</sub> pollutant concentrations in Salamanca, Mexico [32, 28], fungal spores [306] and detection of atmospheric perturbation [140]. The determination of diffusion coefficients of pure compounds in air [76], dew points of acidic combustion gases (SO<sub>3</sub>, SO<sub>2</sub>, NO<sub>2</sub>, HCl and HBr) [133], regional air quality modeling [239] and cooperative 3D-air quality [341] are also benefited by data driven neural network models.

### 3. PCBs

**PCB-11:** The non-Aroclor congener (3,3'-dichlorobiphenyl) of DCP had been a byproduct inadvertently generated since early 1970s in the manufacture of organic pigments. The scale was in ppb (parts-per-billion) in different printed materials, but recently found even in air, water, sediment and biota. Lohani et al. [255] found that the sources of high levels of PCB 11 measured in environment are plausibly due to pigments (chart 8). Toxic Substances Control Act (TSCA) stipulates a maximum average of 125 ppm and at this rate the maximum allowed is of the order 42 kg y<sup>-1</sup>. But, the amount of outflows and sequestration of PCB 11 in Delaware River Basin is in the range of 30 and 280 kg y<sup>-1</sup> sounding an alarming scenario.

**PCB Effects on health:** The PCB exposure at prenatal stage was as a consequence of mother eating Lake Michigan sports fish contaminated with this toxic pollutant. Around 234 children were born with low birth weight. If PCB were transmitted further with breast feeding, it has a pronounced effect on cognitive functioning.

**Breast milk toxicity:** Kowalski et al. [378] studied toxicity of breast milk with PCB for new born in Brazil using Kohonen NNs (chart 9). The typical factors found are industries, proximity to a polluted river, type of milk (colostrum, foremilk and hindmilk) and also number of past pregnancies.

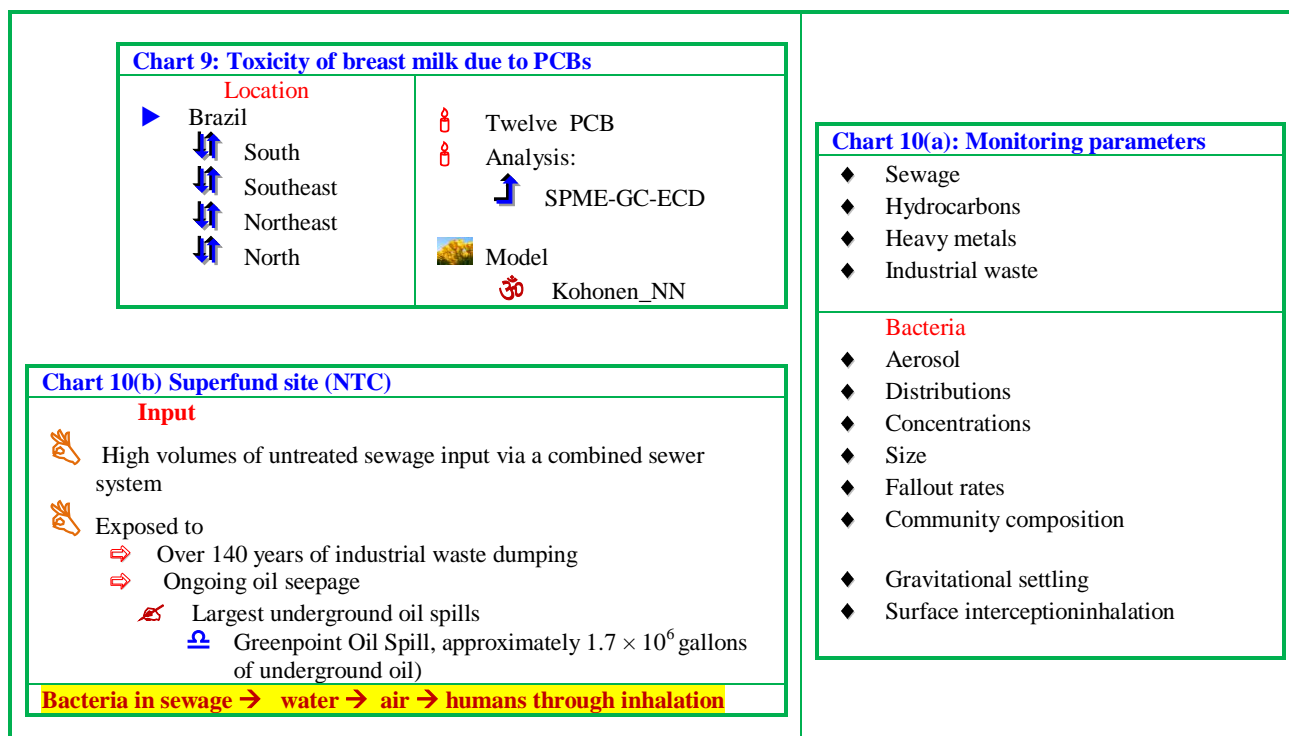
### 4. Aerosols

Exposure to ambient aerosols is unavoidable and the best is to reduce the exposure time. It requires not only accurate measurement techniques, but also robust predictive models for aerosols and its constituents. Motor vehicle exhaust, road dust, industrial/biogenic emission [12] and other species are the prime sources of the dangerous pollutant particles in the aerosols. Metals in aerosols are of varying sizes and exist as

different species. Thus, metal markers are good indicators to identify the major sources of primary urban aerosol particles [42]. The specific relationship between ambient aerosol and adverse health effects are not fully well understood/established, although, the effects of chemical elements have been hypothesized. Bulk compositions are essential to design pollution control strategies and study relationships between ambient aerosols and human diseases. Aerosol time of flight MS (ATOF-MS) instrument was developed in 1994 which measures size and composition of individual aerosol particles in real time. Only 12 samples were used in multivariate calibration (MVC) model to predict bulk chemical composition. The advantage was not clear because of small size of the data. The disadvantage of this method is that it doesn't give quantitative estimation of species, although it outputs total concentration. The morbidity data is correlated with the size and distribution of particles in the atmosphere. The increase in number of vehicles, depletion of petroleum resource leads to diesel engines as an alternative. The ways and means of reducing emission rates will continue until exhaust reduces to zero level.

ART-2a is used to classify the minor components of the aerosol particles. The data is from **RSMS III**, a laser ablation time-of-flight-MS, which simultaneously detects positive/negative ions. NNs take care of non-linearity introduced by measurement errors (response, concentration), experimental equations and assumptions on the accuracy of calculation model. Zhao and Hopke [12] used ART-NNs and PLSR to estimate bulk aerosol composition from ATOFMS data. The predictions for 1996 were reasonably good based on 1995 data set. This three layer NN model [51] can be made operational for Santiago. After training the data set for other stations, the model will result as an efficient SO<sub>2</sub> predictive tool.

**Aerosols\_microbial:** Dueker et al.[210] found Actinobacteria and Proteobacteria in bacterial aerosols at Newtown Creek (NTC). It is reported as the first study of community composition and local deposition of bacterial aerosols in public waterway (chart 10) and Superfund site with a high dense population located in New York.





It is reported that bacteria (pathogens) are viable for aerosolization from terrestrial and aquatic surfaces. The aerosols travel even thousands of kilometers spatially before coming back to ground. The sources of the bacteria (pathogens) are generally disposal of untreated sewage into local waterbodies, rivers, estuarine





and coastal systems. The fresh water sewage with human pathogens remains in a dense stratified surface area. The bubbles release them in to air forming aerosols. Onshore winds transport the material along with water and deposits on the terrain surface. In the near-shore inhabitation places, coarse aerosols contain even more hazardous larger particles (PM<sub>x</sub>).








The prediction of emission levels in LPG-Diesel Dual Fuel Engine [135,340] with ANFIS and emissions of a biodiesel fuel [29] of NN are successful.



### 5. Persistent organic pollutants (POPs)

They are semi-volatile compounds with moderate vapor pressure. POPs discharged in the lower latitude tropical/subtropical countries (Australia, Botswana, China, Hong Kong, India, Japan, South Korea, etc.) even locally, reach polar and pristine (remained in a pure state or clean from dirt or decay by human activity) environmental regions through long-range atmospheric transport (LRAT or global fractionation and condensation) and persist long. Thus, xenobiotic persistent organic pollutants are ubiquitous in the environment. Hence, a less error prone data and robust knowledge is the need of the hour to estimate toxicological adversities upon generations of biota as well as human race. It is interesting to compute the time for POPs to fall below threshold limits, if the emissions are cut off in totality [206]. As a partial answer, long term (exceeding a decade) continuous monitoring programs are in operation. The different types of trends are in chart 11c. Advanced technologies in water wastewater treatment minimize the release of environmental POPs. Choi et al. [213] simulated concentrations of range of compounds in different in warm temperate environment using a regional contaminant fate model, CoZMo-POP and a generic bell-shaped emission profile. The ranges of partition and degradation characteristics are same as those for POPs (chart 11).

<b>Chart 11(a): Effect of POPs on regional and global pollution</b>														
<b>Sampling</b> ⇒ Passive air samplers XAD resin ⇒ Location  Karachi, coastal city  Lahore, agricultural region	<table><tr><th>Pollutant</th><th>ng/PAS</th></tr><tr><td>Heptachlor</td><td>10–26</td></tr><tr><td>HCHs</td><td>33–65</td></tr><tr><td>DDTs</td><td>63–92,</td></tr><tr><td>Endosulfan</td><td>39–101</td></tr><tr><td>PCBs</td><td>48–61.</td></tr></table>	Pollutant	ng/PAS	Heptachlor	10–26	HCHs	33–65	DDTs	63–92,	Endosulfan	39–101	PCBs	48–61.	
Pollutant	ng/PAS													
Heptachlor	10–26													
HCHs	33–65													
DDTs	63–92,													
Endosulfan	39–101													
PCBs	48–61.													
<ul style="list-style-type: none"><li>– High Lindane, Endosulfan<ul style="list-style-type: none"><li>• Ongoing use in agricultural fields</li></ul></li><li>– DDTs<ul style="list-style-type: none"><li>• Older dumps</li><li>• Secondary sources</li></ul></li></ul>	<ul style="list-style-type: none"><li>– Agricultural/industrial waste through river</li><li>– Streams in to Arabian Sea</li><li>– Weathered POPs → regional/global maritime pollution</li></ul>													

<b>Chart 11(b):Hazardous environmental hormones</b>	
 Organochlorine pesticides (OCPs)	
 Polychlorinated biphenyls (PCBs)	
 Agriculture yield enhancers	
 Herbicides, fungicides, Plasticizers, polystyrenes,	

<b>Chart 11( c ):Trends in concentration with emission and reversibility</b>	
Trend	Reversibility
 Concentrations rise and drop in concert with emissions	Fast
 Concentrations rise and drop with emissions  Maximum in concentrations considerably delayed relative to maximum in emissions	Slow
 Concentrations rise  But do not drop in response to emission reductions	No
 Concentrations rise and initially drop in response to emission reductions  But stabilize at a constant level or decline at much slower rates	Partial

<b>Chart 11(d):Models for uptake of chemicals by plant from polluted soils</b>	
 Root uptake,	
 Shoot uptake	



- ▶ Via root,
- ▶ Via soil-air
- ▶ From deposited soil

### EPA-pesticide datasets

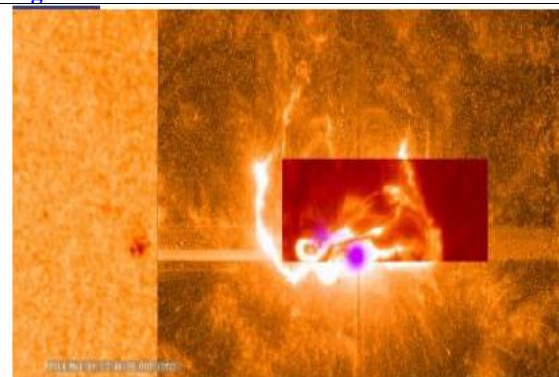
**Chlordane Pesticide Dataset (EPA):** This dataset containing 2,400 enantiomer-specific measurements for five pairs of chlordane enantiomers were compiled by EPA from peer reviewed published results.

**Pesticide Dataset (EPA):** The chemical information (structures, chemical names, identification numbers, pesticide class [insecticide, herbicide, and fungicide]) for 1,700 pesticides is available in a spreadsheet format with twenty fields.

**Environmental hormones:** These are natural/ xenobiotic (synthetic) chemicals (resembling endocrine hormones) released into environment (chart 11b). When they reach ground or inhaled, disrupt hormonal activity resulting in many reproductive health hazards. The endocrine-disrupting compounds (EDCs) are xenobiotic chemicals interfering with the endocrine systems of mammals and lower animals. Each toxicant is present below a toxic level. But, one is exposed to numerous agonists and antagonists which perturb several steroid-dependent signaling pathways with a cumulative effect. Vinclozolin, a fungicide, is also endocrine disruptors producing epigenetic changes in the genome without altering DNA sequence. These endocrine disrupting chemicals act as estrogens, antiestrogens or antiandrogens and thus alter reproduction in a variety of organisms. In fact, the exposures during early development of fetes have consequences on adult stages and the effects are transgenerationally transmitted.

**Solar radiation forecasting:** The insular feature is a hurdle in solar (Fig.1, chart 12) forecasting. The one-day-ahead-forecast (with a 1 h temporal resolution) of global horizontal irradiance (GHI) from weather research and forecasting (WRF) model is biased. Lauret, et al. [134] proposed NN as a post-processing method in the forecast of (WRF) model for solar radiation at mesoscale numerical weather prediction (NWP). The data used is from ground station and bias error analysis was performed by NN, which picks up relevant inputs. Here, specific model output statistics (MOS) is employed in the frame of a solar PV forecasting project that takes place in La Reunion Island, a French overseas territory located in the Indian Ocean.

**Fig. 1: Sun flare and internal structure**

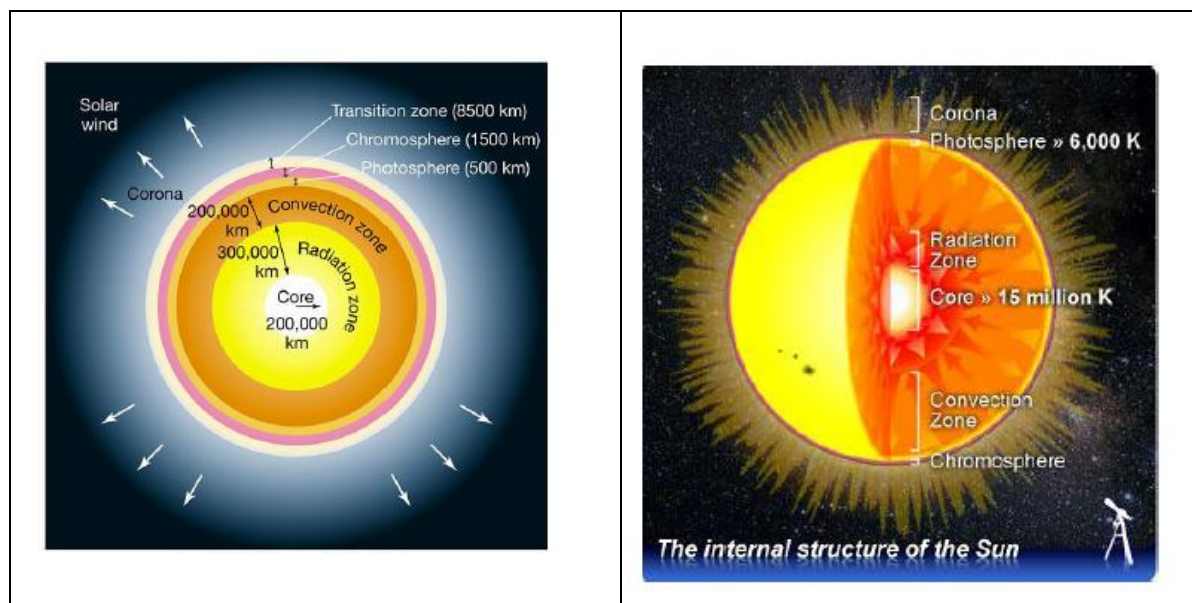


Sun flare (Courtesy of NASA report)

**Chart 12: Facts and figure of Sun**

	G2 star
<u>Diameter</u>	1,390,000 <u>km</u>
<u>Composition</u>	70% <u>hydrogen</u>
	28% <u>helium</u>
<u>Mass</u>	1.989e30 <u>kg</u>
<u>Temperature</u>	5,800 <u>°k</u>
Surface	
<b>Sun_Core</b>	
<u>Temperature</u>	15.6 million <u>°k</u>
Pressure	250 billion <u>atmospheres</u>
Density	>150 * (water_density = 1)





## 6. Engineered nanomaterials

The sparkling utility of nanomaterials from medical applications to consumer products increased their production on exponential scale. The literature reports on cytotoxicity and genotoxicity of carbon-nanotubes, nano\_Ag, nano\_TiO<sub>2</sub> on human lung, dermal, and visceral cells warrant preventive care.

**Nanotechnology:** It is a comprehensive tool making use of science, engineering, and technology at nanoscale (approximately 1 to 100 nano ( $10^{-7}$ ) meters) for applications in industry, medicine, cosmetics with almost unattained characteristics in the last century materialistic world.

**Nanomaterial contamination of natural environment:** The complicated wastewater matrix promotes transformation of nanomaterials into different species. These various forms remain in sludge, effluent and further transported in landfill or incineration operations. Thus, wastewater treatment plants (WWTPs) are one source of nano species into natural environment including aerosols. Some of the NP compounds are very reactive in natural environment and many chemical, physical and biological transformation of differing toxicities result. Thus, extrapolation of laboratory data (for example pristine ENMs) to in vivo is erroneous and lead to mysterious conclusions. Further, their contamination in water, soil and air comprehensively demand a complete picture of toxicity to eco-systems.

**Nanomaterial exposure to humans:** The humans are invariably exposed to engineering nanomaterials (chart 13) at manufacturing units and transportation. The inhalation through aerosols is the second route for human exposure.

**SToxR of nanomaterials:** The cytotoxicity and genotoxicity of nanoAg, nano zerovalent iron, nanoTiO<sub>2</sub> and nanoCeO<sub>2</sub> (0.1 to 20 mg/L) from sequencing batch reactors were studied for A549 human lung epithelial cells.

**Chart 13: Nanomaterials and environment**

Nanotechnology		
Materials of 1-100nm size		
► Synthesis		
► Measurement		
► Property		

Abbreviation	Expanded form	Abbreviation
NP	NanoParticles	NanoPart
MNP	MetalNanoParticles	MetNanoPart
fMNP	Functionalized MNP	fMetNanoPart
fAuNP	Functionalized gold nano particles	fAuNanoPart
ENM	Engineering nano materials	EngNanoMat

- ▶ Toxicity
- ▶ SXR
- ▶ Modeling
- ▶ Informatics

#### Factors affecting lifecycle of nanomaterials

- ↗ Environmental
- ↗ Ecological
- ↗ Technological
- ↗ Economic
- ↗ Sociopolitical

Abbreviation	Expanded form
CQC_nano	Computational quantum chemistry of nano materials
SXR_nano	SXR for nano materials
NN_nano	Neural network models for nano materials

X	Nano_X
Atom	Nano_Au; Nano_Ag
Molecule	Nano_H <sub>2</sub> O; Nano_NaCl;
Material	Nano_graphite Nano_fenofibrate

#### NanoMat\_informatics

- 💧 Data
  - ▶ Nanotechnology processes
  - ▶ Materials
- 💧 Data cycle
  - 🔍 Collection
  - 🔍 Organization
  - 🔍 Validation
  - 🔍 Storing
  - 🔍 Sharing
  - 🔍 Model

#### Nanoinformatics → rational decision tools

- ↘ Standardized data
- ↘ Analysis tools
  - 🌀 Quantitative qualitative data
  - 🌀 Toxicity
  - 🌀 Environment
    - ➡ Transport
    - ➡ Fate

#### Uncertainties

- 📁 Data
- 📁 Modeling
- 📁 Toxicity metrics
- 📁 Prediction acceptance levels
  - ↘ false positives
  - ↘ false negatives

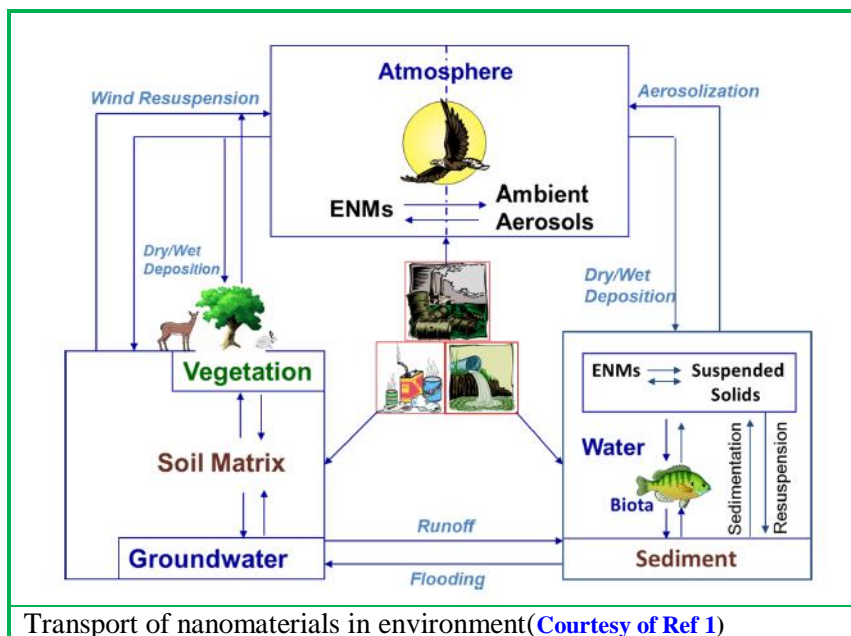
- 💧 Prediction
- 💧 Experimental Achievement

#### Toxicity-Metrics

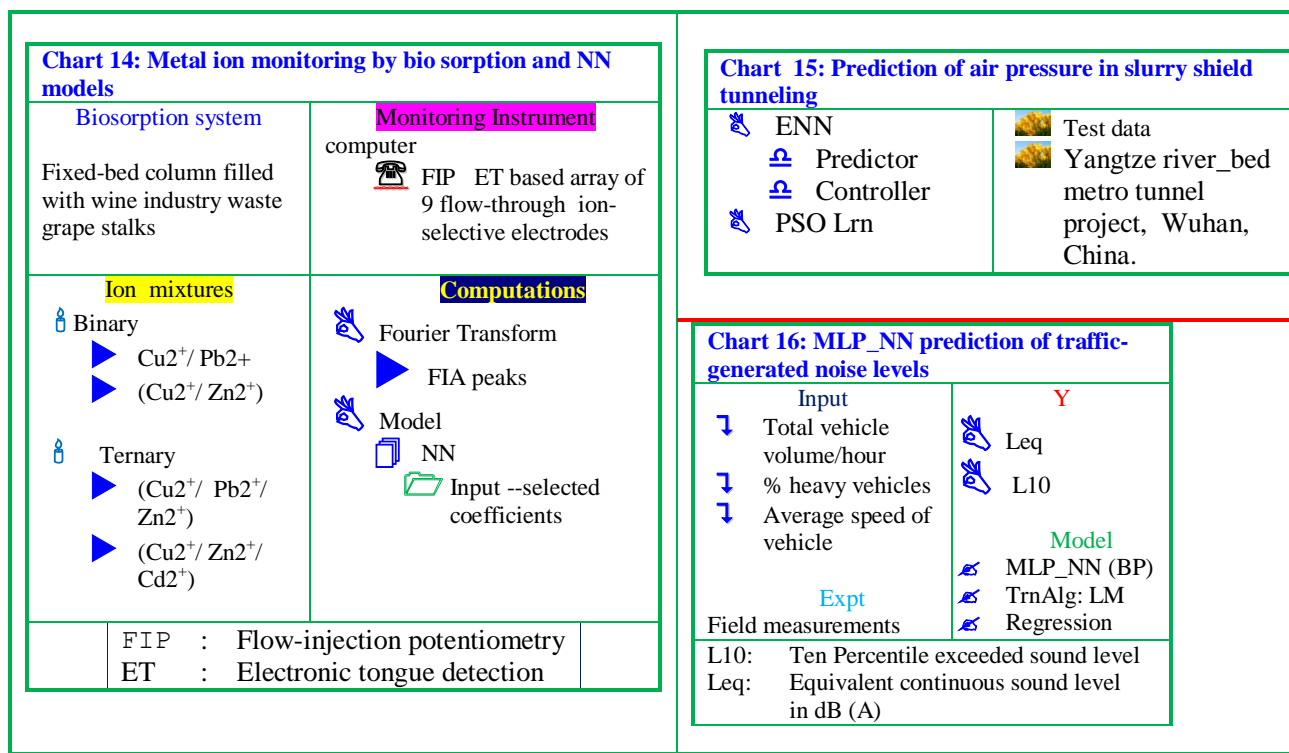
- 📁 In vitro
  - 📄 HT Exp.
  - 📄 LT Exp.
- 📁 In vivo
  - 📄 Mechanistic
  - 📄 Conceptual
  - 📄 First principles
- 📁 SXR\_nano

#### Computational\_Nano\_Science

- ✖ Critical tools
- ✖ Simulations
- ✖ Computations
- ✖ Predictive modeling
- ✖ Nano
  - 🌀 Materials
  - 🌀 Devices
  - 🌀 Systems



**Monitoring metal ions pollutants:** Wilson et al.[377] reported an automatic monitoring system for binary/ternary polluting metal ions using biosorption principle and NN modeling (chart 14).



**Pollution with lead (Pb) metal:** Czech et al.[132] found that MLP\_NN (Statistica 9.0) predicts that lead in animal tissues and organs correlates excellently with measured values in locations in surroundings (100 km<sup>2</sup> around) of a lead–zinc ore mining and processing plant (‘Boleslaw’) at Bukowno in southern Poland. The goal was to monitor the transfer of lead in the soil–plant–animal system from different features of soil and plants and extent of pollution.

#### Air chamber pressure

Zhou et al.[71] found enhanced face stability in slurry shield tunneling using Elman NN both for control and prediction of air chamber pressure (chart 15).

**Noise pollution prediction on highways:** In India, the highway traffic is typical in a variety of two- wheelers with exponential growth in their numbers. The overall poor maintenance of heterogeneous vehicles, non-adherence of traffic norms and high pitch continuous horn blowing for longer time intervals worsen the extent of noise pollution scenario. Kumar et al.[383] predicted traffic-born noise levels on highway with MLP\_NN (chart 16) with success.






**Pollen complexes:** Air borne pollen causes allergy [59] in human beings. The material was collected on a tape and manual inspection under a microscope was earlier practice. The limitations of the method are requirement of skilled technicians, long time and non-feasibility of continuous monitoring. NN could predict *Artemisia* and *Phleum* but not *Betula*. It demands an improvement in gas sensors employed and advances in NN methodology is required. Pollen is distinguished from other air borne particles now with an electronic nose. It consists of a gas sensor array, pyrolysis unit and NNs. The samples used are, dust from windows near the traffic zone, ordinary soil and pollen/ diesel/ petrol soot. The gases evolved, after heating at 250K in a specially designed furnace, are analysed by electronic nose. PCA although divides the classes, it could not resolve the groups within pollen. NN not only improved the major classes, but also resulted in partial resolution of groups within the classes. Kalman [59] suggests an ionization chamber and electro filter for sampling and heating in the experimental front.

**Pollution at filling stations:** Benzene is carcinogenic and the maximum limit stipulated by European community by the year 2010 is  $5 \mu\text{g}/\text{m}^3$ . The exposure of employees involved in filling operations/miscellaneous tasks including cash collection is a matter of prime concern. The amount of benzene in urban and rural filling stations is monitored and a separate NN is trained for each group of workers. Passive sampling resulted in a maximum of  $27 \mu\text{g}/\text{m}^3$  integrated over two weeks for the employees. The seasonal variation is significant and there is a variation of 20% between summer and winter. This study suggests for the improvements of the infrastructure of the gasoline station including up gradation of vapor recovery systems.

Statistical models function in cause and effect framework. Ozone conducive meteorological conditions are well known now. The photochemical smog is very high during summer. It is due to the high temperature, high insulation, high stability, low mixing heights and low mid-day relative humidity. Many of the ozone episodes occur when the listed conditions prevail

**Pollution due to insecticide residuals:** From 1950s onwards, more than ten million tons of lindane ( $\gamma$ -HCH being active isomer) were used as insecticide. The three isomers ( $\alpha$ -,  $\beta$ - and  $\gamma$ -) have the potential to travel long distances (chart 17). They are persistent in the environment and also bioaccumulate in organisms resulting in adverse toxic effects. However, in 1990s, production of HCH was abandoned and in 2009 Stockholm convention on persistent organic pollutants (POP), no exemptions were sanctioned even for ongoing production. Woehrschimmel et al.[211] reported a global model for its fate and transport over the period 1950 to 2050. This has a goal of noting alarming levels even in remote locations with estimated emissions in near future.







**Chart 17:Uncertainties with model for concentrations of  $\alpha$ - and  $\beta$ -HCH**

-  Errors in expected long-term changes in climate  $\rightarrow$  perturbs current forecast of climate temperatures and precipitation during the 21st century
-  Emission inventory fails to identify past hotspots  $\rightarrow$  Local high discrepancies between observed and model outputs
-  Unrecognized emissions in Northern Russia  $\rightarrow$  underestimation of oceanic concentrations in the Bering and Chukchi Seas
-  Underestimation of oceanic concentrations  $\rightarrow$  uncertainty in predictions of the sea-ice effect on atmospheric levels
-  Uncertainties of input parameters in environmental and chemical model

## 7. Personalized air monitoring move

Like personalized medicine dreamt a decade ago, personalized air monitoring systems now appear in advanced countries to augment better health care by reducing long exposures to high transient air pollution (chart 18). The per capita increase of asthma and other bronchial diseases can be intercepted with next generation finer-grained PMx personal air monitoring systems. Snyder et al. [207] from EPA, US elaborated the state of air monitoring programs and future directions in increasing the accuracy and involvement of public in sharing the knowledge for a better informed society to meet challenges and comply with the benefits of e-governance in bringing down pollution and its ill effects. Some of fine and coarse PM has wider spatial and longer temporal distribution compared to secondary pollutants viz. ozone and particulate sulphates. Further, the concentrations of NO<sub>2</sub>, CO, HAPs, ultrafine (< 0.1  $\mu\text{m}$ ) PMs differ within tens to hundreds of meters along highway side if downwind blows with high rate.

**Chart 18: State-of-art-of air-monitoring systems and future gadgets**



AirNOW ( EPA) program

High quality mobile air pollution sensors




physiological sensors and location (GPS),

health indicators (e.g., heart rate, blood oxygen levels)

a person's exposure

Internet technology

+ high information states, industry, Federal, State







Data collection

Small

Lowercost

Mass-produced sensors




**Basic components of sensors**


Sensor element responding to varying pollutant species; mass in a given volume of sampled air







Transducer → converts responses to electrical signals;

Data storage / link to a communication device

 Microradio transmitter or cell phone

Power source

 Battery or energy harvesting like solar power



**Technical and experimental challenges in Air monitoring**

Robust sensors

High quality data,

Integration from multiple sensors




visualization

Rigorous evaluations of sensors,

Data of poor or unknown

Quality → wrong decisions.

More harmful than no data






**Organizations**

Government

Industry

Researchers









**Information dissipation**

Government

www

Research Databases



**Goal**

Forecast

Monitoring

Compliance

Trends

Research

Enforcement


**PM sensors**

- Light scattering
- Light absorption
- Direct particle mass
- directly by changes in frequency of an oscillating sensor
  - indirectly based by light scattering using a proportionality constant that relates the scattered light to a defined (e.g., <2.5 μm) aerodynamic diameter

Current air pollutants measurements (U.S.)


- regulated under EPA's NAAQS (<http://www.epa.gov/air/criteria.html>),
- PM chemicals
- fine coarse
- hazardous
- benzene, toluene, xylene
- emitted directly into air
- CO, NO, NO2, and certain

Basic principles of commercial gas sensors



**Interactions between the sensing gas phase pollutant**

Sensor	Gas monitored				
Electrochemical cell	CO	NOx	O <sub>3</sub>	---	VOC
Metal oxide semiconductor	CO	NOx	O <sub>3</sub>	---	VOC



**Measure absorption of light**

Non-dispersive IR 4.26 μm	CO <sub>2</sub>	---	---
UV 254nm	CO <sub>2</sub>	---	---
Visible	CO <sub>2</sub>	O <sub>3</sub>	---
Chemiluminescence	---	---	NO <sub>2</sub>



## II. Water (8-11)

## 8. Water resources

Long term (20, 50, 100 years) ahead forecasts (of even low accuracy) of climatic conditions, resources of river basins, population, health hazards and natural catastrophic events are of critical value to plan a sustained progress of society.

## 8.1 Long term forecast

**Forecast of Climate by 2100:** Inspite of intense research to forecast climatic conditions by 2100, the picture is still misty. But, at the secondary level, stream water/ atmospheric/ sea surface temperature, rain fall, pollutant concentration level of ground level, global warming and its consequences are vital for aquatic life and human comfort/ health/ life span etc. Piotrowski et al. [96] studied the impact of Levenberg\_Marquardt and nature-inspired methods in training NN models ([chart 19](#)) to forecast temperature of Biala Tarnowska river (a natural stream) in southern Poland. The prominent prediction errors are related to freezing and melting processes in river during winter in the mountainous catchment.

**Chart 19: TrnAlgs of NN of NN models of stream water**

- 🌀 PSO
- 🌀 Evol Strategies
- 🌀 Direct search
- 🌀 22 Metaheuristics
- 🌀 LM

**Precipitation during 2070-2099 in New Zealand:** NNs are used to derive the changes of site of and temperature characteristics over New Zealand. These models are used to obtain the changes of mean monthly precipitation from circulation variables projected in a transient climate change experiment performed by Hadley Centre Global climate model. The results are predicted for a far off period 2070-2099.

**Conditional density estimation network (CondDensEstNN):** It is a probabilistic extension of MLP. Cannon [101] used this model to forecast precipitation downscaling, extreme value analysis in hydrology, wind retrievals from satellites and air quality ([Alg.3](#)). The software is developed in R programming language and applied for suspended sediment concentrations and discharge data in Fraser River at Hope, British Columbia, Canada.

**Alg. 3. CondDensEstNN (R-package)**

- ✚ Estimation of parameters of a specified PDF of predictors with conditional distribution
- ✚ Flexible model for the mean, the variance, exceedance probabilities, prediction intervals, etc

**Streamflow:** Su et al. [346] used MLP\_NN to predict streamflow in the Songhuajiang River basin, an agricultural land in China. It is a noteworthy forecast study for the next forty years ([chart 20](#)).

**Streamflow and discharge:** Araghinejad et al. [286] applied ensemble\_NN ([Alg. 4](#)) to forecast peak discharge of red river (Cannada) and stream flow of Zayandeh-rud (Iran) with better results compared to classical approaches ([chart 21](#)).

**River discharge:** Zeng et al. [347] performed a long term (forty years ahead) discharge forecast of river (Yangtze) discharge with NNs ([chart 22](#)). The prediction is possible by global climate model extrapolation of climate.

**Chart 20: Forecast of precipitation and streamflow in Songhuajiang River basin during 2011–2050**

Three scenarios

- ☞ Climate conditions of ECHAM5/MPI-OM
- ☞ Ensemble mean of CMIP5 models

**Inference : Precipitation and streamflow**

- ☞ Seasonal / inter-annual tendencies differ if emission scenario changes
- ☞ Inter-decadal changes are not obvious

**Chart 22: Long term (2050) forecast of Yangtze river discharge**

Data	Model
☞ Precipitation,	☞ NN
☞ Temperature,	☞ Forecast
☞ River discharge	☞ climate
☞ Period : 1961 to 2000	☞ Global climate model
	☞ ECHAM5/MPI-OM.
	Forecast (2011-2050) discharge
	☞ Yangtze River basin
	☞ Datong hydrological stations

**Chart 21: Forecast of river stage with ensemble NNs**

peak discharge during floods	Red River, Canada
seasonal streamflow	Zayandeh-rud, Iran
	NN
	kNN
ensemble ANNs > probabilistic forecast	
Alg. 4: Ensemble of NNs	
Step 1:	Create individual ensemble members
Step 2:	Combination of outputs of the ensemble members
	Method: probabilistic approach using k-nearest neighbor regression
Output	

**Inferences of forecast**

River discharge _ time interval	Trend
Annual	No obvious trends
Decadal	Small
Seasonal	Different trends during 2011–2050
Four seasons	Ratios of river discharges within a year tend to change

**Chart 23: NN prediction for Habitat suitability modelling for mayflies**

Location: Flanders (Belgium)

	(presences)	mayflies
samples	4289	

**Factors**

- ☞ physical–chemical water characteristics,
- ☞ dominant land use type
- ☞ structural parameters

Samples with mayflies	Samples without mayflies
☞ Lakes	☞ Ammonium nitrite
☞ O2	☞ Kjeldahl nitrogen
☞ Forests	☞ total phosphorous
	☞ Orthophosphate
	☞ BOD, COD, pH
	☞ Conductivity
	☞ Industrial areas

- ☞ Trn : 66%
- ☞ Test : Ten fold CV

**Models**

- ☞ Logistic regression
- ☞ SVM
- ☞ NN
- ☞ Random forest
- ☞ Classification trees

**Prediction**

Year	mayflies prevalence %
1990s	20 to 40,
Last decade	same
	Forecast
2015	46
2027	72 by



Performance	
percent correctly classified instances	
kappa-statistics	

### Short term forecast

**Prediction of mayflies (Ephemeroptera) in the next decades in Belgium:** Lock and Goethals [123] predicted with ensemble of NN, SVM etc. that mayflies (Ephemeroptera) in Flanders (Belgium) will increase to 46% by 2015 and to 72% by 2027 (chart 23).

**Absorption in natural waters by 2022:** Chen et al.[351] predicted total absorption ( $a(\lambda)$ ) and backscattering ( $bb(\lambda)$ ) coefficients of natural waters in the years 2015, 2022 (chart 24) by neural network-based semi-analytical algorithm (NNSAA) and the initial values are based on quasi-analytical algorithm.

Chart 24: Forecast of absorption coefficient of natural waters by 2022		
	NNSAA	QAA
Yellow Sea and China East Sea	$R^2 > 0.82$ MRE = 20.6–35.5%	$R^2 < 0.73$ MRE = 32.2–69.6%
Global 1922 climatological seasonal mean	1923 July 2002 to September a : 443 bb: 555	
<b>Information bits</b> If equatorial oceans Then a(443) value in the surface water in the equatorial Pacific > equatorial Atlantic in the upwelling region & integrate a(443) in the Atlantic >> tropical gyre areas		

## 8.2 Water resources and management

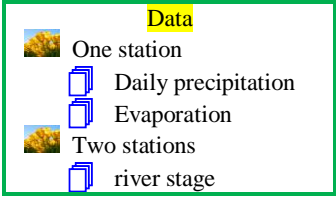
The water catchment information with minimum/ maximum values and uncertainties in consumption for various purposes along with desired quality measure indices are prime factors in water management.

**Precipitation:** Li et al.[262] compared efficiency of four precipitation **products** in estimation of hydrological research over Yangtze River basin (chart 25).

Chart 25a: High resolution multisensory precipitation products	
TRMM Multisatellite Precipitation Analysis TMPA research	3B42 V7
(Near) real-time	3B42 RT
Climate Prediction Center MORPHing t	CMORPH
Precipitation Estimation from Remotely Sensed Information using NNs	PERSI_NN
<b>Gauge data</b> Location: Yangtze River Time period: April 2008 to March 2012 Temporal scales: Year to day	

Chart 25b: Intercomparison of four high resolution sensors			
↓ RMSE	3B42 V7	Not always superior to CMORPH	
↓ Probability of Detection			
↓ daily scale			
↓ Near real-time datasets	3B42 RT	Overestimates annual rainfall over the basin	
	CMORPH and PERSI_NN	Underestimate it.	
↓ Upper Yangtze	3B42 RT dataset	Positive bias ( $>1$ mm day $^{-1}$ )	
	CMORPH dataset	Negative bias ( $-0.2$ to $-1$ mm day $^{-1}$ )	
↓ Seasonal scales	CMORPH	Exhibits negative bias during cold periods.	
↓ gauge data	CC( CMORPH, data )	Highest	
	CC(3B42 RT, data)	More scattered	
↓ PDFs	3B42 V7 3B42 RT	Retrieve PDFs in high-intensity events	

**Daily forecast:** Yu et al.[263] proposed two hybrid algorithms combining fuzzy\_NN with discrete and continuous wavelet transforms for long term precipitation, evaporation and river stage data (chart 26).

Chart 26: Long term forecast of river TS data			
		CWT	TLT
		DWT	SLT
		Forecast-practical utility	
		TLT >> SLT	
		CWT Cont.WT:	Continuous Wavelet Transform
		DWT Discr.W:	Discrete Wavelet Transform
		LT:	Long term
		SLT:	Seeming
		TLT:	True
		TS:	Time series



Phase	model	Output
I	NN_classification	convective activity
If convective activity		
Then regression_ANN		
II	NN_regression	Thunderstorm intensity forecasting

**Rainfall retrieval:** Wei [321] identified influential factors viz. brightness temperatures of 19, 22, 37 and 85 GHz for retrieval of rainfall. The results of Bayesian networks (BN) and BN hybridized with scattering index (SI)/ polarization corrected temperature (PCT) are compared with SI, SI\_SVR and MLP\_NN (chart 30).

**Rainfall and runoff (TS) forecast:** Farajzadeh et al.[398] forecasted monthly rain fall in Urmia lake basin for the period 2012-2017 with NN and ARIMA models(chart 31). The correlation coefficient and RMS are 0.62 and 12.43mm. Urmia lake basin, located in northwestern Iran, is the second largest saline lake in the world. The water level of the Urmia Lake has been decreased from 1997 as a cumulation of consequences of construction of dams, climate changes and mismanagement of water resources. The result was emergence of thousands of hectares of salty land with ecological imbalance. Soil moisture is critical geo-physical parameter playing a key role in absorption and runoff of rain.

**Hourly run off:**Tayfur et al.[256] predicted hourly run off at small catchment areas with generalized regression\_NN in Italy from measurements of soil moisture and rainfall (chart 32).

The drastic seasonal changes render the prediction of runoff of Annapolis River catchment a hard task to model. Piotrowski and Napiorkowski [283] found that NNs with hybrid training using DE with Local/ Global Neighbors, LM excels other EAs in forecast of runoff river(chart 33).

Chart 30: identification of rainfall intensity	
Data	Model
<ul style="list-style-type: none"> <li>Location: Tanshui river basin, Taiwan</li> <li>meteorological data</li> <li>Period: 2000–2012 ; typhoons :71</li> <li>Instrument :Special Sensor Microwave/Imager (SSM/I) of the National Oceanic and Atmospheric Administration (NOAA)</li> <li>Response : seven passive microwave brightness temperatures,</li> <li>Obj : detect rain rates</li> </ul>	<ul style="list-style-type: none"> <li>Bayesian networks + polarization corrected temperature (PCT) + scattering index (SI) methods</li> <li>Learning Alg <ul style="list-style-type: none"> <li>Tabu search</li> <li>Simulated annealing</li> <li>Genetic algorithm</li> </ul> </li> </ul>
Expert opinion	
<ul style="list-style-type: none"> <li>BN identifies influential factors in rainfall retrieval and causal relationships</li> <li>BN + [PCT, SI] &gt;&gt; [SI-SVR] [MLP_NN] if heavy/ torrential downpouring rainfall</li> </ul>	

Chart 31: Multiple-models	
<ul style="list-style-type: none"> <li>NN</li> <li>ARIMA</li> <li>Predictive uncertainties</li> </ul>	Test period : 2012-2017 R = 0.62 RMS = 12.43 mm

Chart 32: Forecast of hourly run off	
<b>Input</b> Rainfall + soil moisture Depth 10, 20, 40 cm	Trn : October 2002–March 2003 Predict: anuary–April 2004
<b>Output</b> Discharge	<b>Sub-catchments of Tiber river</b> Colorso : 13 km <sup>2</sup> Niccone : 137 km <sup>2</sup>
Model	Performance

Generalized  
regression\_NN
 $R^2$  :0.87  
Nash–Sutcliffe efficiency:  
0.86
**Chart 33: Forecast of rainfall-runoff**
 River : Annapolis River catchment  
daily rainfall–runoff
**Seasonal changes**

- ⇒ Runoff
- ⇒ Rapid floods
- ⇒ Dry summers
- ⇒ Severe winters with snowfall
- ⇒ Snow melting
- ⇒ Frequent freeze and thaw
- ⇒ Presence of river ice

**Tr. Alg.Model.Evolution (Tame)**⇒ **Differential Evolution (DE)**

- ☐ Distributed DE + Explorative–Exploitative Population Families
- ☐ DE + Self-Adaptive
- ☐ DE + Global and Local Neighbors
- ☐ DE + Grouping
- ☐ JADE

⇒ **PSO**

- ✦ Comprehensive Learning Particle Swarm Optimization
- ✦ Efficient Population Utilization Strategy Particle Swarm Optimization

⇒ **Levenberg–Marquardt algorithm**

⇒ Levenberg–Marquardt alg

- ✦ Speed
- ✦ Trapped in poor local optimum
- ☯ **Remedy:** Multi-start approach

**Chart 34 : Forecast of runoff Annapolis River, Nova Scotia, Canada**

- ⇒ Differential Evolution
- ⇒ Global and Local Neighborhood
- ⇒ Trailing
  - ▶ Levenberg–Marquardt
  - ▶ Evolutionary Computation

**Table 7: Explanatory variables of predictive model for stream nitrogen concentration [397]**

Input variables	
Forest	FOR
Agriculture	AGR
Urban	URB
Wetland	WET
Other categories	OTH
Animal unit density	ANI
Average annual precipitation	PRE
Annual stream flow	FLO.
OTH	Difference between total watershed area and the four other areas
Output variables	
Mean Inorganic nitrogen concentration	INC
Total nitrogen concentration	TNC

**Table 7(b) : Performance of NN in prediction of stream N2 with input variables**

Data	Average CC for five sets of data	
	Total nitrogen	Inorganic nitrogen
Tr	0.861	0.845
Te	0.845	0.832

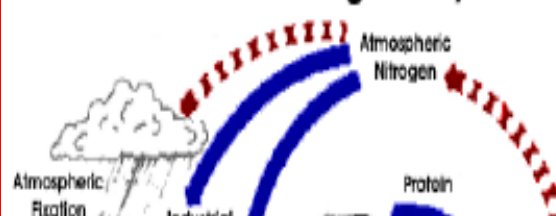
**The Nitrogen Cycle**

Table 7(c): Comparison of NN stream N2 with FAS at different sites [397]					Courtesy from Olery et al (1997)
Site	MSE		CC		
	NN	FAS	NN	FAS	
Duifpolder local control	0.0003	0.028	0.999	0.913	
Woudse Droogmakerij Local control	0.065	0.959	0.179	0.918	
Woudse Droogmakerij Centralized control	0.021	0.986	--	--	

**Product-Units\_NN:** The first hidden layer consists of neurons where inputs are raised to exponential weights. In the other layer, summation function is used for the neurons. Although, it is difficult to train unbounded weights, acceptable results are found for weights in the interval  $[-1, 1]$ . Piotrowski and Napiorkowski[4] applied ProdUnitNN to forecast runoff of Annapolis River, Nova Scotia, Canada with success(chart 34).

NNs have been proven with better prospects in imputation of missing data in rainfall around Luvuvhu river catchment [333], air pollutant prediction, streamflow in the Shire river basin, Malawi [335] and daily flow rates of Middle Firat catchment [136].

#### ⇒ Stream water:

The streams and natural rivers consist of a main channel and flood plains. Rainfall-/stream-/river- flow models have a function in flood mitigation measures, construction of hydraulic structures, and prediction load of sediment and water resource management of arid inland zones. The nitrogen concentration in streams is through non-point source pollution at the watershed level. The concentration has been found to increase in USA and Europe. Denitrification process is used when high nitrogen concentration is found. The control requires the origin and distribution of N<sub>2</sub> in stream water. The spacio temporal patterns are complex. Lek [397] predicted the export of nutrients (inorganic and total N<sub>2</sub>) in stream water versus the parameters of watershed drainage area and its environment(table 7). The patterns are non-linear or with non-monotonous trends. The maximum contaminant level stipulated by US-EPA for NO<sub>3</sub>-N is 10 mg/lit. WASMOD is nitrogen-modeling software, which accepts the description of nitrogen discharge as a function of soil relief, land use and climate. Performance of radial basis and MLP\_NN (trained with LM) in predicting daily watershed runoff is better than that with MLR. Zounemat-Kermani et al.[25] reported NNs are superior to MLR in one-day ahead forecast of stream flows in Alabama, USA (chart 35).













Chart 35: Prediction of one day ahead stream flows																				
<div><div><div></div><div>MLR</div></div><div><div></div><div>MLP_NN</div></div><div><div></div><div>RBF_NN</div></div></div>		<div><div> Location  Cahaba River, Alabama</div><div><div>Residual analysis</div><div> RMSE, MAE</div><div> CC</div><div> K-fold CV</div></div></div>	<table><tr><th>Statistic</th><th>MLP_NN (LM)</th><th>MLR</th></tr><tr><td>Average (MAE)</td><td>100-18</td><td>100</td></tr><tr><td>Ave(RMS)</td><td>100-21</td><td>100</td></tr></table>		Statistic	MLP_NN (LM)	MLR	Average (MAE)	100-18	100	Ave(RMS)	100-21	100							
Statistic	MLP_NN (LM)	MLR																		
Average (MAE)	100-18	100																		
Ave(RMS)	100-21	100																		
Test		Performance																		
Mann-Whitney		statistical significance (measured median - predicted median)																		
Levene's		Differences in variances																		
		<table><tr><td></td><td colspan="3">RMSE m<sup>3</sup>/s</td></tr><tr><th>Prediction</th><th>RBF_NN</th><th></th><th>MLP_NN (LM)</th></tr><tr><td>Highest flow rate rangeduring flood</td><td>26.8</td><td>&lt;&lt;</td><td>40.2</td></tr><tr><td>Watershed runoff</td><td>19.2</td><td>&gt;&gt;</td><td>18.8</td></tr></table>				RMSE m <sup>3</sup> /s			Prediction	RBF_NN		MLP_NN (LM)	Highest flow rate rangeduring flood	26.8	<<	40.2	Watershed runoff	19.2	>>	18.8
	RMSE m <sup>3</sup> /s																			
Prediction	RBF_NN		MLP_NN (LM)																	
Highest flow rate rangeduring flood	26.8	<<	40.2																	
Watershed runoff	19.2	>>	18.8																	

Chart 36: Monthly streamflow forecast	
Data	

Chart 36(b) Chemical composition of synthetic storm water	
Solution of deionized water → autoclaved	
Concentration	Major ions

 six monthly streamflow sets  two successive gauging stations		<b>mM</b> 5.1 NaCl 0.75 CaCl <sub>2</sub> 0.075 mM of MgCl <sub>2</sub> 0.33 Na <sub>2</sub> SO <sub>4</sub> 1 NaHCO <sub>3</sub> 0.072 NaNO <sub>3</sub> 0.072 NH <sub>4</sub> Cl 0.016 Na <sub>2</sub> HPO <sub>4</sub>  Ionic strength 20 mM pH range [6.9 to 7.2] pH adjusted with 0.1 N NaOH or 0.1 N HCl	
<b>Phase I:</b> SLP_NN <b>Phase II:</b> Input(s) and output records were decomposed into sub-time series components using wavelet transform. <b>Phase III:</b> Wavelet_NN for each sub-time series			
Performance		<b>Inference</b>	
 RMSE		LGP >> WANN	
 Nash–Sutcliffe efficiency			

**Stream flow prediction:** Mehr et al.[265] found linear GP is better than wavelet\_NN in the prediction of monthly streamflow (chart 36). Mehr et al.[98] found that Linear\_genetic\_Programming performs better than NNs (MLP\_, RBF\_ and GR\_) in successive-station monthly streamflow prediction for two gauging stations on Çoruh River. RMS and Turkey Nash–Sutcliffe measure are considered for performance comparison.

**NN + ABC:** Kisiet al.[282] reported a hybrid NN with artificial bee colony (ABC) algorithm to estimate a daily stream flow and river carried suspended sediment concentrations at Rio Valenciano and Quebrada Blanca Stations. The logarithm transformed data were also used as input to the model and the hybrid model was better than neural differential evolution, adaptive neuro-fuzzy and rating curve.

#### ⇒ Stormwater

The storm water originates during precipitation and snow/ice melt events. It soaks into the soil (infiltrate), held on the surface and evaporate or runoff. Finally, it end up in nearby streams, rivers, or other water bodies (surface water). It contains a myriad of contaminants including suspended solids, nutrients, heavy metals, hydrocarbons, and pathogens.

#### ⇒ Rivers

For successful water resources management, the key factors are accurate/precise measurements, shrewd analysis, trustworthy future plans for irrigation, energy generation and drinking water. The Water Resource Data mining (WR\_DM) is interlinked with climate changes, land utilization and pollution. The land ocean coupling is understood from river flow involving processes based on land-atmosphere characteristics. Albostan et al. [136] found NNs are superior to MLR in predicting daily rainfall data at a station not included in training from four stations on Murat River. Cole et al. [259] successfully predicted daily mean temperature in Delaware River over a wide range of conditions with GLScos, ANN, and HFM models (Chart 37, table 8). It serves as a basis to manage thermal releases in regulated river systems.



**River flow:** Nayak et al.[269] investigated conceptual and Wavelet\_NN models for river flow from the results of rainfall\_runoff relationships in Malaprabha basin in India (chart 38). The results show that the loosely coupled sequential hybrid model consisting of wavelets transforms and NNs excelled simple NNs and also popular NAM (North American Mesoscale) model. Badrzadeh et al.[270] proposed a hybrid NN model for m-day ahead forecast of Harvey river flow with higher accuracy compared to component models(chart 39). He et al.[257] found SVM excels NN and ANFIS in the

forecast of river flow in semiarid mountain regions of China (chart 40). Lohani et al. [255] introduced a concept of rare and frequent hydrological situations in fuzzy models. Threshold subtractive clustering based Takagi Sugeno (TSC-T-S) fuzzy inference system is used to predict low to medium (frequent events) as well as high to very high flows (rare events) in upper Narmada basin, India (chart 41). Antanasijević et al. [254] reported generalized regression NN to forecast dissolved oxygen in river Danube with good modeling practices (chart 42). Sirdari et al.[233] found that NN (accuracy: 97%) is better than genetic programming (93%) in modeling bedload transport of small rivers in Malaysia (chart 43). Kim et al.[126] found that a tight coupling of phase wise refinement of knowledge and evolutionary optimization of parameters of multivariate models of riverine water increased the quality by more than 50% compared to MLR and NNs(chart 44).

**Chart 37: Prediction of temperature of Delaware River**

Input

Weather station

- Climate data
- Solar radiation
- Wind speed

U.S. Geological survey gages

- Temperature
- Hydrologic data

Location

- Upper Delaware River (USA)

(1-, 3-, 5-) day ahead forecast success

- Baseline-modified prime index of agreement

Models

- Gen.LS
- Cosine trend
- ARIMA
- NN
- Mechanistic Heat Flux
  - Basis: Energy gain and loss

Data	years
Trn	2008 to 2011
Test	2012

Performance

- RMSE
- Nash Sutcliffe efficiency
- percent bias
- Index of agreement statistics

Table 8: predicted daily mean river temperature		
Statistic	ARIMA	All models
RMSE	0.57	0.58–1.311
NSE	0.99	0.99–0.97
d	--	0.98–0.99

**Chart 38: Rainfall-runoff model for Malaprabha basin Model**

<b>I. Phase</b> Rainfall-runoff <b>II. Phase II</b> River flow			
Wavelet_NN modeling			
Stage	Input	Model	Output
I	<b>Daily data</b> <ul style="list-style-type: none"> <li>Rainfall</li> <li>Discharge</li> <li>Evaporation</li> </ul> <b>Time period</b> 1980 to 2000	Wavelet transform	Decomposed sub series
II	Decomposed sub-series	NN	Calibration <b>Performance</b> 

**Chart 40: River flow forecast in semiarid mountain regions in China**

<b>Models</b> <ul style="list-style-type: none"> <li>NN</li> <li>SVM</li> <li>ANFIS</li> </ul>	<b>Performance</b> <ul style="list-style-type: none"> <li>RMSE, CC</li> <li>Nash–Sutcliffe efficiency coefficient</li> <li>Mean absolute relative error</li> </ul>
<b>Inference</b> SVM > [ANN; ANFIS]	

**Chart 41: Threshold subtractive clustering based Takagi Sugeno (TSC-T-S)-FIS for river flow prediction**

<b>Data: Hourly rainfall</b> <ul style="list-style-type: none"> <li>River flow</li> </ul> <b>Location</b> <ul style="list-style-type: none"> <li>Upper Narmada basin,</li> </ul>	<b>Preprocessing</b> <ul style="list-style-type: none"> <li>Rainfall–runoff data classified into frequent and rare events</li> </ul>
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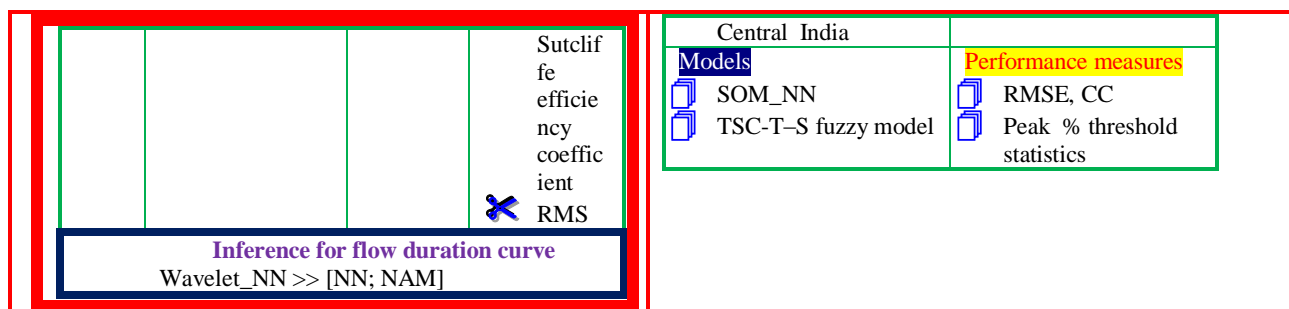


Chart 39: Multi-step-ahead forecast of river flow

m-day ahead forecast m : [1, 2, 3, 4,5]	Harvey River Western Australia	Daubechies and Symlet wavelets	→	decomposes river flow time series to different levels
--	-----------------------------------	--------------------------------------	---	---

Model	Training	Ws
wavelet neuro-fuzzy model	LM	optimum number of fuzzy rules
NN	Subtractive clustering method	

Chart 42: Dissolved oxygen Forecast in Danube River

**Objectives**

- Optimum data normalization
- Monte Carlo
- Min-max
- Median
- Z-score
- Sigmoid /tanh

- Input selection techniques
- Variance inflation factor
- Correlation analysis
- Genetic algorithm

- Uncertainty analysis
- Input variables
- Model

Input	
water quality variables	19
# sites	17
Sampling	9 years monthly

Best results of GRNN

- Normalization
- Min-max
- Input selection
- CC(DO, X)

**Inferences**

- CC(measured vs. predicted) DO : 0.85
- distribution (model results)  $\approx$  distribution (experimental data)
- Influence of variables on model  
Temp > pH >  $\text{HCO}_3^-$  >  $\text{SO}_4^{2-}$  >  $\text{NO}_3^-$  > N

Chart 43: NN modeling of bedload transport of small rivers in Malaysia

small rivers in Malaysia	y
<ul style="list-style-type: none"> <li>flow discharge</li> <li>water depth</li> <li>water surface slope</li> <li>surface grain diameter (d50)</li> </ul>	Estimate bedload transport

Chart 44: Tight integration of KB refinement and Evol optimization

**Iterate**

Knowledge refinement  
New case studies  
Evolutionary Alg.

Optimise MV\_  
model-parameters  
such that

Values are  
within range &

Consistent  
with ecological  
a priori  
knowledge

End Iterate

**Limitatons of complicated Env models (save EPA, US models)**





- Poor prediction and reality
- Inaccurate/ out-of-range parameters
- Non-accountability of rare nonlinearities.

**GreyNNs in river stage forecast:** Alvisi and Franchini [334] introduced grey number theory into NN modeling of river stage forecast (chart 45). They found that even when data is uncertain, Grey\_NN is better than Bayesian\_NN. The parameters of NN are represented by grey numbers and output is an interval (not a crisp floating point value) unlike in all types of NNs.

**NN + SVR:** Chih-Chiang Wei[142] et al. reported NN and SVR are better than MLR to forecast river stages during typhoons in Tanshui River Basin in Taiwan (chart 46)

Chart 45: Grey_NNs in river stage prediction	Chart 46: NN prediction of river stage during typhoons
<ul style="list-style-type: none"> <li>+ Crisp forecasts derivable from output of grey_NN</li> <li>⇒ Output_bands of grey_NN narrower than Bayesian_NN</li> <li>+ % of observed values are same band : [envelope of the intervals]</li> </ul>	<div data-bbox="691 506 1084 663"> <p>Tanshui River Basin,Taiwan</p> <p>Hydrological datahourly</p> <p>Period : 1996–2007.</p> <p>50 historical typhoon events</p> <p>Forecast : [1 h to 4 h]</p> </div> <div data-bbox="781 688 1024 751"> <p><b>Inference</b></p> <p>[NN, SVR] &gt; MLR</p> </div> <div data-bbox="1105 506 1438 787"> <p><b>Models</b></p> <ul style="list-style-type: none"> <li>⇒ Lazy learning models</li> <li>↓ Locally weighted regression</li> <li>↓ k-nearest neighbor</li> <li>⇒ Eager learning</li> <li>↓ MLR</li> <li>↓ SVR</li> <li>↓ NN</li> </ul> </div>

**Temperature of river water:** DeWeber and Wagner[253] brought out neural network ensemble for prediction of mean daily temperature of river water in eastern U.S. (chart 47).The model could predict mean daily water temperature in 197,402 individual streams in the warm season (May–October) of 2010 endorsing its generalizability for newer streams under different environments.

Chart 47: Ensemble of NNs in prediction of mean daily temperature of river water										
<div>Predictor categories</div> <div><div> Climatic</div><div> Landform</div><div> Land cover attributes</div></div>	<table><tr><th>Data</th><th>RMSE °C</th></tr><tr><td>1980 to 2009 Trn : 886</td><td>1.91</td></tr><tr><td>Test 2010</td><td>1.93</td></tr><tr><td>Validation 96 sites</td><td>1.82</td></tr></table>	Data	RMSE °C	1980 to 2009 Trn : 886	1.91	Test 2010	1.93	Validation 96 sites	1.82	<div>Influential predictors</div> <div><div>+</div> Mean daily air temperature</div> <div><div>+</div> Prior 7 day mean air temperature</div> <div><div>+</div> Network catchment area</div> <div>Predictors with negative effects</div> <div><div>-</div> Forest land cover<ul style="list-style-type: none"><li><div></div> Riparian catchment</li></ul></div>
	Data	RMSE °C								
	1980 to 2009 Trn : 886	1.91								
	Test 2010	1.93								
	Validation 96 sites	1.82								

**DO in Surma river:** Masrur Ahmed [288] predicted dissolved oxygen with MLP\_NN and RBF\_NN models by measuring BOD and COD in the Surma River, Bangladesh for a three year period (table 9a).

**Rapid changes in river flow:** In Taiwan, typhoons and intensive storms resulting in rapid and short term high river flows pose a threat to the quality of water and plight of ecosystem. This necessitates the monitoring/estimation of daily water quality rather than conventional monthly/quarterly schedules. Chang et al.[245] found NARMAX\_REC\_NN modeling using hydrological data successfully estimated ammonia nitrogen, an indicator of water quality. Further, the model detects peak values during the critical period (September–April) for pollution (chart 48).

Chart 48: NN model for Ammonia nitrogen in Taiwan river	Chart 48(b) Typical input factors for river water quality[163]																										
<b>Hydrological Input factors</b> <ul style="list-style-type: none"> <li>Discharge</li> <li>Days w/o discharge</li> <li>Water temperature</li> <li>Rainfal</li> </ul> <p>Model : NARX_RecNN</p> <table> <tr> <td>CC</td><td>0.926</td></tr> <tr> <td>RMSE</td><td>0.386</td></tr> </table>	CC	0.926	RMSE	0.386	<table> <tr> <td>%DO</td><td>% Dissolved Oxygen</td></tr> <tr> <td>Cond</td><td>Conductivity e Lab Meter</td></tr> <tr> <td>DO</td><td>Dissolved Oxygen</td></tr> <tr> <td>DOC</td><td>Dissolved Non-Purgeable Organic C</td></tr> <tr> <td>DRP</td><td>Dissolved Reactive Phosphorus</td></tr> <tr> <td>Ecoli</td><td>Escherichia Coliforms e MF MFC/ NA-MUG</td></tr> <tr> <td>FC</td><td>Faecal Coliforms e MF MFC</td></tr> <tr> <td>FS</td><td>Faecal Streptococci e MF</td></tr> <tr> <td>HardT</td><td>Hardness Total</td></tr> <tr> <td>HCO3</td><td>Bicarbonate</td></tr> <tr> <td>HPC</td><td>Heterotrophic Plate Count 35°C</td></tr> </table>	%DO	% Dissolved Oxygen	Cond	Conductivity e Lab Meter	DO	Dissolved Oxygen	DOC	Dissolved Non-Purgeable Organic C	DRP	Dissolved Reactive Phosphorus	Ecoli	Escherichia Coliforms e MF MFC/ NA-MUG	FC	Faecal Coliforms e MF MFC	FS	Faecal Streptococci e MF	HardT	Hardness Total	HCO3	Bicarbonate	HPC	Heterotrophic Plate Count 35°C
CC	0.926																										
RMSE	0.386																										
%DO	% Dissolved Oxygen																										
Cond	Conductivity e Lab Meter																										
DO	Dissolved Oxygen																										
DOC	Dissolved Non-Purgeable Organic C																										
DRP	Dissolved Reactive Phosphorus																										
Ecoli	Escherichia Coliforms e MF MFC/ NA-MUG																										
FC	Faecal Coliforms e MF MFC																										
FS	Faecal Streptococci e MF																										
HardT	Hardness Total																										
HCO3	Bicarbonate																										
HPC	Heterotrophic Plate Count 35°C																										

**Cruise in river:** Lu and Liu [23] simulated and tested a hybrid (fuzzy\_NN and ACO) model based controller for vessel cruise on a river (chart 49).

Chart 49: Simulation and cruising vessel on a river with hybridized NN	Chart 50: Long-term (6 and 12 months ahead) forecasting of Standard Precipitation Index drought																														
<table> <tr> <th>Function</th><th>Algorithm</th></tr> <tr> <td>Optimal sailing line</td><td>ACO</td></tr> <tr> <td>Short sailing time</td><td></td></tr> <tr> <td>Tidal current, river current vessel velocity</td><td>searching pattern of ACO</td></tr> <tr> <td>Position of the coordinate</td><td></td></tr> <tr> <td>Tracking error</td><td>switching law</td></tr> <tr> <td>Compensation of uncertain nonlinear factors</td><td>FNN controller</td></tr> <tr> <td>Estimation of vessel velocity</td><td>identifier</td></tr> <tr> <td>Tuning of parameters</td><td>Adaptive law from Lyapunov function</td></tr> <tr> <td>Compensation uncertainties of tidal current</td><td></td></tr> <tr> <td>River current</td><td>Robust controller</td></tr> <tr> <td>Simulation &amp; practical cruising Vessel on a river</td><td>Model test</td></tr> </table>	Function	Algorithm	Optimal sailing line	ACO	Short sailing time		Tidal current, river current vessel velocity	searching pattern of ACO	Position of the coordinate		Tracking error	switching law	Compensation of uncertain nonlinear factors	FNN controller	Estimation of vessel velocity	identifier	Tuning of parameters	Adaptive law from Lyapunov function	Compensation uncertainties of tidal current		River current	Robust controller	Simulation & practical cruising Vessel on a river	Model test	<table> <tr> <th>Location</th><th>Models</th></tr> <tr> <td>Awash River Basin in Ethiopia</td><td>ARIMA NN SVR wavelet + NN wavelet + SVR</td></tr> <tr> <td>Performance RMSE, MAE, R2 Persistence measure</td><td>Preprocessing wavelet</td></tr> </table>	Location	Models	Awash River Basin in Ethiopia	ARIMA NN SVR wavelet + NN wavelet + SVR	Performance RMSE, MAE, R2 Persistence measure	Preprocessing wavelet
Function	Algorithm																														
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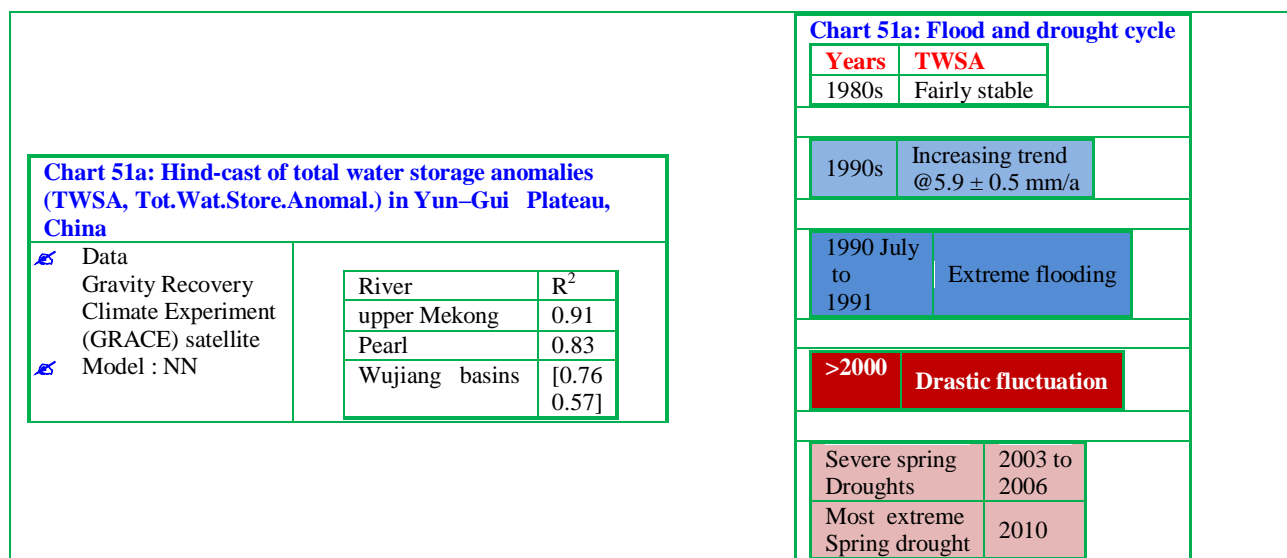
The data driven robust NNs have been found superior to other model driven procedures in water quality index for Kinta River (Malaysia) [305], also other rivers [339,394], precipitation over complex mountainous terrain [5], water flow [99], discharge routing of Kizilirmak river in Turkey [284], spatial distribution of macro invertebrates under flow regulation in the Lijiang river [125], estuary water stage [2], river stage forecasting with uncertainty [334], flood evaluation river mapping from MODIS images [3], monthly reservoir inflow forecasting [278], prediction of daily and hourly multi-time-step ahead intermittent reservoir inflow of Koyna river watershed in Maharashtra, [279], steel pipe pile in Arkansas river [107], daily suspended sediment in river [224], eco system health by richness of native fish Júcar River Basin District [371], blind fish [113], river discharges at Yichang and Datong hydrological stations under three greenhouse gas emission scenarios from 2011 to 2050 in the Yangtze River [347],

runoff at Annapolis River, Nova Scotia, Canada [4], catchment [271], prediction of discharge in straight compound open channel flow [221], monthly stream flow forecasting [280], total nitrogen concentration on monthly basis in streams [240], forecasting river flow rate from the Melen Watershed of Turkey Western Black Sea region [275], estimation of monthly river flow in arid inland basin of Northwest China [276], monthly river flow forecasting [277], river flow forecasting [283], riverbed grain-size distribution [272], dissolved oxygen in a riverine [118], riverine fish diversity [266], longitudinal dispersion coefficients in rivers [217] prediction of river temperatures [274], sediment load of river systems (Mississippi, Missouri and Rio Grande) in USA [9], soil acid sulfate mapping in Sirppujoki River catchment area, south-western Finland [247], Soil water dynamics [287], spatial distribution of soil heavy metals in Huizhou City [344], suspended sediment concentrations in Fraser River at Hope, British Columbia, Canada [101], suspended sediment modeling [281], prediction of daily sediment (suspended) load in rivers [373], predicting sediment yield in the Nagwa agricultural watershed in Jharkhand, India [8], prediction of upstream and downstream station sediment data [273], river suspended sediment estimation [103], suspended daily load in Doiraj River, Iran [268], chemical pollution in sediments in estuary of Nerbioi-Ibaizabal River (Bilbao, Basque Country), discharge-suspended sediment relationships [282], longitudinal velocity in open channel junctions [222], water resource, SST [345] and sediment classification [114].

#### ⇒ Floods and droughts

The floods in River Nile destroy houses, crops, roads and basic infrastructure causing people to migrate to other regions. Elsafi [10] predicted flooding at Dongola Station for Nile (Blue Nile, White Nile, Main Nile) and Atbara rivers using the data between the period 1965 and 2003. A wavelet NN along with parallel GA is proposed to simulate and predict floods in arid areas in China. The model was compared with Xinanjiang hydrological model. The success of the NN is in that the simulated runoff is strongly related to the rainfall with four time steps lag and the observed runoff with three step lag. The wavelet TF models have strong nonlinear trends. GA overcomes local optima often encountered in BP training. The parallel version drastically (82%) reduces CPU time. Belayneh et al. [258] found that NN or SVR with wavelet pre-processing is an adequate model for 6 and 12-month ahead forecast of drought index for a river basin in Ethiopia (chart 50).

**Alternate floods and droughts:** These irregular cyclic phenomena occur in Southwest China over a large karst plateau (Yun-Gui Plateau) [349] as a consequence of total water storage anomalies for the last three decades (chart 51).



	2008	Severe flooding	

### ⇒ Flood management

Forecasting the extreme values rather than a normal one of discharge in a river is crucial to manage floods and droughts. Experimental monitoring is hazardous and is to be planned fast at the time of catastrophe. The incidents are untold and thus spread over a long period of time. Hence, the activity is costly. The long practiced rating curve is a cause and effect functional relationship between measured discharge and water level. ARIMA is inadequate as the phenomenon is complex [326]. Thus, the routine hydrological models often fail especially to predict run offs or the extreme (very high or very low) values [173]. Further, results are not convincing even in gazed catchment areas for short-term and long-term management. However, neural networks a machine-learning paradigm supersedes in performance in hydrology, watershed and flood management. Bhattacharya [326] compared the results of NN, rating curve and M5 model tree. The combined urban and rural water system management prevents flooding water, scarcity and quality of drinking water. The system is multifarious and dynamic in time. For example, a typical large water system consists of 1200 subsystems, 1500 regulating structures in 12 time steps. The data for optimization consists of 100K variables, 100K constraints and 350K non-zero data points [385]. AQUARIUS is a software package, which builds deterministic models for water quality and quantity in urban and rural areas. Lobbrecht [385] employed NNs and fuzzy adaptive system to control the operation of water management with acceptable accuracies. The machine learning technique is used for flood prevention. Juma et al. [220] found that SLP excels MLR in predicting discharge coefficient (Cd) for a hollow semi-circular crested weirs (table 9), which are small overflow dams. They are used to alter/raise water flow upstream and regulate spill water downstream watercourses and rivers. Tayyebi and Pijanowski [231] found NNs are superior to CART and MARS in modeling land use change in South-Eastern Wisconsin (SEWI) and Muskegon River Watershed (MRW), Michigan (chart 52).

**Table 9(a): Prediction of DO with MLP\_NN and RBF\_NN**

Dataset	MSE	E	R
Test	0.465	0.905	0.904
validation	1.009	0.966	0.963

E: coefficient of efficiency

**Table 9(b): NN model of Q(t) as a function of artificial precipitation for three years [385]**

Site	MSE		cc	
	NN	FAS	NN	FAS
Duifpolder local Control	0.003	0.028	0.999	0.913
Woudse Droogmakerij Local control	0.065	0.179	0.959	0.918
Woudse Droogmakerij Centralized control	0.021	--	0.986	--

**Table 9(c): Comparison of models applied to AQUARIUS control [385]**

Site	Average water level (m+MSL)		
	Control with	Intelligent control with	
	AQUARIUS	ANN	FAS
Duifpolder	3.16 * 0.104	3.15 0.085	3.14 0.099
Woudse Droogmakerij	4.56* 0.034	4.60 0.029	4.59 0.028
Woudse Droogmakerij	4.56 ** 0.035	4.55 0.048	--

\*\* : central control      \*: local control

**Table 9( d): SLP\_NN for discharge coefficient estimation**

	MSE	R
SLP 2-10-1	0.0011	0.91
SLP >> MLR		

**Table 9(e): comparison of RMSE for water level discharge models and prediction accuracies[326]**

Model	RMSE		%Ve data with prediction error		
	Tr	Ve	>5%	>10%	>20%
Model tree	92.0	69.7	20.3	1.6	0.2
ANN	90.5	70.5	21.4	3.1	0.3
Conventional rating	143.3	111.2	42.4	11.8	1.9

**Chlorophyll-a**

Cho et al.[310] reported the influential factors to predict chlorophyll-a (chart 52a) and consequently abundance of algae in a water reservoir behind the dam on the river using NNs. Muñoz-Mas et al.[148] studied microhabitat suitability for adult brown trout (*Salmo trutta* L.) with prob\_NNs in Iberian rivers.

**Effect on habitat:** Fukuda et al.[122] used NN, CART etc. to find spatial heterogeneity of habitat in small agricultural canals of Japan. This information based on quantity and quality of medaka (*Oryzias latipes*) is pivotal in conservation and restoration to increase biodiversity (chart 53).

**Chart 52a: NNs to predict Chl-a in man-made Lake Juam**

Automatic monitoring data	Weather data
<ul style="list-style-type: none"> <li>Water temperature</li> <li>pH</li> <li>Dissolved oxygen</li> <li>Electric conductivity</li> <li>Total organic carbon</li> <li>Chl-a</li> <li>Total nitrogen</li> <li>Total phosphorus</li> </ul>	<ul style="list-style-type: none"> <li>Precipitation</li> <li>Temperature</li> <li>Insolation</li> <li>Duration of sunshine</li> </ul>
TimeSeriesData	Hydrologic data
Trn: 2008–2010 Test : 2011	<ul style="list-style-type: none"> <li>Water level</li> <li>Discharges</li> <li>Inflows</li> </ul>

**Chart 52(b) Land use classes**

Agriculture
Forest
Urban

**Chart 52( c): Land use change models**

USA	Time interval
South-Eastern Wisconsin (SEWI)	1990–2000
Muskegon River Watershed Michigan	1978–1998

**Chart 52(d): Inferences**

- ▶ Forest gain close to current forests
- ▶ Agriculture gain closer to agriculture and forest patches
- ▶ Influence of elevation is high on urbanization
- ▶ No effect in SEWI



<div><div>Chart 53: Models for spatial heterogeneity of habitat</div><div><div><div>Input</div><div><div><div>water depth</div><div>flow velocity</div></div><div><div>Species</div><div>Japanese medaka (<i>Oryzias latipes</i>),</div></div></div><div><div>Models</div><div><div><div>CART</div><div>NN</div><div>SVM</div><div>Xx</div></div></div></div></div><div><div><div>Model</div><div>2D hydrodynamic</div><div><div>⇒</div><div>Basis: Information entropy</div></div></div><div><div>Coupled ecohydraulics</div><div><div>Quantification</div><div><div>⇒</div><div>Habitat quality &amp; spatial heterogeneity</div><div>→</div><div><div>⇒</div><div>Differences in canals</div><div><div>○</div><div>Earthen</div></div><div><div>○</div><div>Concrete-lined</div></div></div></div></div></div></div></div></div>	<div><div>Chart 54: NN model for respiration rates of global krill</div><div><div><div>Oceanographic conditions with depth</div><div><div><div>Temperature</div><div>Light</div><div>Oxygen availability</div><div>Latitude</div><div>Day of the year</div><div># daylight hours</div></div></div><div><div>Basal variables</div><div><div><div>oxygen consumption</div><div>Temperature</div><div>Body mass</div><div>Depth</div></div></div></div></div></div></div>
<div><div>Chart 55: SLP_NN for conservation of biodiversity</div><div><div><div>Area : yangtze river basin</div><div>2.143 million km<sup>2</sup>, (20% of china's territory)</div></div><div><div>Biodiversity</div><div>Habitats of 627 indicator species</div></div><div><div>Planning tool,</div><div>Marxan,</div><div>Output: Optimal set of planning units,</div></div><div><div>Models</div><div>Priority ranking analysis with SLP_NN( 6-12-1 BP)</div><div>Hierarchical cluster analysis → classifications of human disturbances</div></div></div></div>	<div><div>Chart 56: Simulating groundwater flow Kathajodi–Surua Inter-basin of Odisha</div><div><div>Data for MODFLOW model</div><div><div><div>Calibration</div><div><div><div>Period :</div><div>February2004 to May 2006</div></div><div><div>Frequency :</div><div>Weekly</div></div></div><div><div>Validation</div><div>June 2006 to May 2007</div></div></div><div><div>Simulation</div><div><div>Groundwater levels</div><div>18 observation wells</div></div><div><div>NN model</div><div><div><div><div>Weekly rainfall, evaporation</div><div>River stage</div><div>Water level in drain</div><div>Pumping rate of tube wells</div><div>Groundwater levels at previous time step</div></div><div><div>Trn: 122</div><div>Test : 52</div></div></div><div><div>Week_ahead Prediction</div><div><div>groundwater levels</div><div>18 observation wells</div></div></div></div></div></div></div></div></div>
<div><div>Chart 57: Imputation of missing data</div><div><div><div>Data</div><div>Rainfall</div><div>RBF_NN</div><div>Par_opt : Shuffled Complex Evolution</div></div><div><div>Location</div><div>Luvuvhu River Catchment</div><div>ObjFn : RMSE</div></div></div><div><div><div><div></div><div>NSE</div><div>% Bias</div></div><div><div>Calibration</div><div>[0.55 to 0.85]</div><div>[-2 to 23]</div></div><div><div>validation</div><div>[0.49 to 0.75]</div><div>[2 to 19]</div></div></div><div><div>NSE:Nash–Sutcliffe Efficiency</div></div></div></div>	

**SOM+ART:** Park [364] reported a two level classification approach with SOM and ART to understand the multivariate ecological data. This hierarchical clustering process is used to study the variations in communities of macro invertebrate in stream eco systems. Ab initio understanding of ecological model is beyond the present realm of understanding. Fuzzy inference system (FIS) introduces experts' heuristic/empirical as well as traditional skills from practitioners' knowledge.

**Respiration of krill:** Tremblay et al.[127]studied respiration rate with seasonality of Antarctic krill, North Pacific krill *Euphausia Pacifica* (chart 54). NN model for a global krill respiration has a correlation of 0.78 indicating a decrease in respiration with increasing LAT and decreasing DLh. The standard respiration rates with MLR and general additive model accounting seasonal effects showed that in mid-June metabolic activity was minimum while it is at maximum in late December.

#### ⇒ Ecological imbalance restoration

**Ecological engineering designs of river banks with concrete structure:** The construction of concrete banks along rivers associated with human development has become a serious problem in Taiwan. Most ecosystems used by amphibians are lakes and stream banks, yet no related design solutions to accommodate the needs of amphibians. The need to develop the relevant design specification considering protection of the amphibian is imperative. Chuang and Chang [117] simulated climbing ability of Swinhoe's Frog with NNs with good concurrence of experimental data. These results are critical in ecological engineering designs of river banks in any region. Zhang et al.[246] used results of SLP\_NN and defined 17 biodiversity priority areas containing 33,200 units (approximating to of 0.83 million km<sup>2</sup> area) in Yangtze River Basin, China (chart 55). It is based on experts' knowledge, mountain boundary data and irreplaceability of units in the model. There is protection of 56% of 32 types of rare forest ecosystem in these areas.

#### ⇒ Ground water level

Mohanty et al.[267]found NN results are better than those of simulation model (MODFLOW) for weekly forecast of ground water level in 18 wells in Kathajodi–Surua Inter-basin of Odisha, India (Chart 56).

#### ✂ Missing data and imputation

It is not uncommon that most datasets is riddled with missing values, questionable quality especially in low budget situations. The hydrological modeling, water resources planning and management are not an exception. But, the primary requirement of reliable information is primary data of good quality for long duration over shorter grid intervals with state-of-art-sampling, analytical methods and processing algorithms. Mawale et al.[335] reported that SOM\_NN is promising tool to impute missing values of rainfall and stream flow in Shire River basin in Malawi. Nkuna and Odiyo [333] proposed RBF\_NN imputation method to fill missing data in rain fall based on neighboring stations measurements. It is satisfactory (chart 57) for hydrological modeling as well as planning and management of water resources.







### 8.3 Marine environment

Around 70% of earth surface is covered with (oceanic) water bodies. The atmosphere above and earth crust below is its environment apart from distinctly diverse flora and fauna, living organisms, and energy and mineral resources. The main constituent water has miraculous physic-chemical-biological characteristics in all its three phases and in nano- to macro assemblies of pure compound and in association with organic and inorganic species and in interfaces. This marine component as a whole is an important sub-category of global eco-system. During last few decades, a large number of different kinds of wastes are discharged into oceans/seas indiscriminately.

#### ↓ Rain over oceans

Ghosh et al.[350] applied NNs in detection and estimation of extent of rain (precipitation) over the global oceans from Oceansat-II scatterometer data (chart 58). NNs are used in identification of rain/no\_rain and quantitative measure of extent of rain. The prediction of instantaneous/ 3-day/ monthly/ seasonal rain rates and probability distribution of monthly values of scatterometer agree well with AMSR-E data.

**Chart 58: Satellite data for prediction of rainfall over global oceans**

Detection of rain	Oceansat-II overpasses
 Oceansat-II scatterometer <ul style="list-style-type: none"> <li>○ Radar back scattering coefficient and</li> <li>○ Brightness temperature measurements from</li> </ul>	 Rain/no_rain prediction
 Rain sensitive parameters	 Tropical Rainfall Measuring Mission (TRMM)
 Weather prediction NN model	 Advanced Microwave Scanning Radiometer for Earth Observation Satellite (EOS) (AMSR-E)












**Chart 58b: Quantitative estimation of rain by NN**

Region	I	II	III	IV	V
Geographic	(25°N–25°S)	(15°N–45°N)	(35°N–70°N)	(15°S–45°S)	(35°S–70°S)
Rain %	93	87	90	79	85
No_rain %	97	87	86	84	86
Rain (mm h <sup>-1</sup> )	45	25	25	45	20
RMS error of instantaneous rain	1.86	0.69	0.47	0.56	0.46

### ↑ Ocean wave models

The phenomenon of wave transmission through floating breakwaters by considering all the boundary conditions is difficult to model. One of the reasons is vagueness of values of variables, complicated interactions and also their effect on breakwater. This being so, the entire ocean system is responsible of rain cycle, temperature equilibrium and typhoons /tsunamis. It is similar to other mega complicated systems viz. solar system, human brain, life, origin of universe and beginning of life. Puscasu [336] proposed Neural Network Interaction Approximations (NNIA) in third generation ocean wave models resulting in noteworthy improvements (chart 59).

**Chart 59: NNs in third-generation ocean wave models**

Wavewatch III model	
 Robust NNIA  Speed_of_model NNIA > 2 * DIA NNIA > 200 * (-Web-Resio Tracy,exact method)  Emulates exact nonlinear interaction for single- and multi-modal wave spectra	Datasets  Test scenarios  Idealistic  Real  <b>Accuracy_ NNIA</b>  Configuration dependent  <b>Future scope</b>  Emulation- practical  Time consuming exact nonlinear interactions  Fast, high accuracy
<div style="display: flex; align-items: center; justify-content: space-between;"> <div style="border: 1px solid black; padding: 5px;">wind-wave spectra</div> <div style="text-align: center;"> <b>Direct mapping</b>   </div> <div style="border: 1px solid black; padding: 5px;">Exact nonlinear interactions</div> </div>	

### ⇒ Sea surface wind speed

Sea surface wind speed is calculated from Advanced Microwave Sounding Radiometer 2 (AMSR2) data. In the first algorithm, brightness temperature at higher frequency over the oceans is simulated by numerical procedures and subsequent inversion with NNs. The second procedure is based on low frequency channels. These values are highly correlated with estimates of MetOp-A scatterometer ASCAT for low and moderate wind speeds. The study area [352] is at platform weather stations in the North Sea and Norwegian Sea even for high wind events.

## ♦ Salinity Oceans

The measurement of salinity in large scale in open ocean environments from space has been a long term practice. But, this is not used for estimation in coastal regions. Geiger et al.[110] compiled~2 million salinity records from four regional research vessels and analysed for prediction of salinity in Mid-Atlantic coastal region and estuaries (chart 60). Gueye et al.[97] reported an inverse model with SOM\_NN to estimate vertical salinity profiles(chart 60b) in tropical Atlantic Ocean only from input of surface characteristics. It is considered as a classification task. The tidal levels and fresh water discharge effects are influential factors for many human activities in estuarine waters.































Chart 60: NN prediction of salinity in Mid-Atlantic coastal ocean and estuaries																
Salinity is critical for  circulation patterns,  river plumes,  transport ecosystem		Corr ([spectral shape of water-leaving radiance, sea surface temperature] versus in_situ_salinity) = Very high														
		<table><tr><td># records</td><td>~ 2 million salinity</td></tr><tr><td>Regional research Vessels</td><td>Four</td></tr><tr><td>Period (years)</td><td>2003–2008</td></tr></table>	# records	~ 2 million salinity	Regional research Vessels	Four	Period (years)	2003–2008	<table><tr><td> <b>Data</b> ~9 thousand salinity records  MODIS-Aqua data.</td><td>High matching</td></tr></table>	 <b>Data</b> ~9 thousand salinity records  MODIS-Aqua data.	High matching					
# records	~ 2 million salinity															
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Predictive_NNs for salinity																
 Mid-Atlantic coastal region		 Estuaries  Hudson,  Delaware  Chesapeake														
		<table><tr><td></td><td colspan="2">Range of RMS_errors (psu)</td></tr><tr><td>NN model</td><td>1.40</td><td>2.29</td></tr><tr><td>Null model</td><td>4.87</td><td>10.08</td></tr><tr><td>Natural range</td><td>0</td><td>32</td></tr></table>				Range of RMS_errors (psu)		NN model	1.40	2.29	Null model	4.87	10.08	Natural range	0	32
	Range of RMS_errors (psu)															
NN model	1.40	2.29														
Null model	4.87	10.08														
Natural range	0	32														

Chart 60b: SOM_NN to predict vertical salinity in tropical Atlantic ocean	
Input	Performance
 Latitude  Sea surface salinity	+ CC >0.95 + SOM_NN >>> [ in situ data ; classical_model]
- Inadequate model for high time-space variable areas  <b>Reason:</b> Limited available dataset in spacio-temporal domain	

The salinity of near-surface coastal and estuarine waters changes drastically in spacio-temporal regime and an accurate quantitative data is an absolute need for probing into ecological processes. The satellite remote sensing is a sought after method. But, sensors and algorithms available to monitor open ocean salinity are not adequate [359] for coastlines high resolution applications. Liu et al. [95] reported random forest (RandForest) model was better than MLP\_NN, CART etc. to predict SSS (sea surface salinity) in coastal waters making use of in situ as well as remotely sensed data (chart 61).

Chart 61: Prediction of sea surface salinity (SSS)	
	Hong Kong Sea, China
<b>Data</b>	<b>Models for SSS = fn (X;)</b>
 In situ measurements  Optical remotely sensed data from China's HJ-1	 MLR  MLP_NN (BP)

satellite	 CART						
<table> <tr> <td colspan="2"><b>X</b></td></tr> <tr> <td> SST</td><td> Total inorganic nitrogen (TIN)</td></tr> <tr> <td> pH</td><td> Chl-a</td></tr> </table>		<b>X</b>		 SST	 Total inorganic nitrogen (TIN)	 pH	 Chl-a
<b>X</b>							
 SST	 Total inorganic nitrogen (TIN)						
 pH	 Chl-a						











### Color of oceans

Remote sensing data has unique features like wide range, synchronization and high spatial resolution. This data is useful to monitor the color of water and to assess the water quality parameters of oceanic, coastal and inland waters. In the estimation of color of oceans from satellite data, the primary pre-processing required is filtering (removal) of atmospheric signal. The black pixel assumption valid for phytoplankton dominated waters is generally employed. But, turbid water violates this heuristic. Goyens et al.[354] compared the performance of four algorithms viz. standard NIR from NASA, NIR similarity spectrum, NIR-SWIR, NN. These results are instrumental in improving retrieval of leaving radiance ( $L_w(\lambda)$ ) in water from satellite images. Ioannou et al.[355] retrieved inherent optical properties (IOP) of Phytoplankton and non-Phytoplankton in ocean water with NN modeling from remote sensing satellite data (chart 62). In another step, chlorophyll concentrations were calculated more nearer to measured ones than in earlier similar high tech ventures. Orzepowski et al.[7] studied the efficiency of MLP\_NN (chart 63) in retrieving diffuse attenuation coefficient for down welling spectral irradiance ( $K_d$  at  $\lambda=490$ ) using field measurements of global oceanic and coastal waters.

**Chart 62: Prediction of Phytoplankton characteristics and chlorophyll concentration with NNs using remote sensing satellite data in multiple-sequential-steps**

Input (X)		Model	Output	
<div>Wavelength</div> <div>412, 443, 488, 531, 547, 667 nm</div>	<div>ॐ</div> NN	<div>▶</div> In-water particulate backscattering (bbp), phytoplankton (aph) <div>▶</div> Non-phytoplankton (adg) absorption coefficients at 443 nm.		
<div>Non-phytoplankton absorption coefficient (adg) at 443 nm</div>		<div>▶</div> dissolved (ag) <div>▶</div> particulate (adm)		
<div>Data set (NOMAD) Rrs</div>	<div>ॐ</div> NASA bio-Optical Marine Algorithm <div>ॐ</div> NN model	<div>▶</div> retrieve IOP at 443 nm	<div>Res (measured NOMAD and retrieved IOP values)</div>	<div>Very low</div>
<div>Input</div> <div>IOP</div> <div>related Rrs values</div>	<div>ॐ</div> NN	<div>▶</div> chlorophyll concentration [Chl]		
<div>Inference</div> <div>Accuracy_[Chl] &gt;&gt; MODIS OC3 algorithm ; &gt;&gt; without_IOP in input</div>		<div><div>📡</div> MODIS (or similar satellite)</div> <div><div>📡</div> Probe: water remote sensing reflectances (Rrs)</div>	<div><div>🔄</div> <div>Satellite</div></div>	

Chart 63: Diffuse attenuation coefficient	
Datasets	Models
 NASA bio-optical marine algorithm dataset (NOMAD)  Eastern China Seas dataset	 Lee's quasi-analytical algorithm-based semi-analytical model,  Wang's switching model, Chen's semi-analytical model,  Jamet's neural network model,  MBPNN
Instrument(MODIS)	
NASA Moderate 5420 Resolution Imaging Spectroradiometer ()	
Atmospheric effect removal	Near-infrared band-based and shortwave infrared band-based combined models
Inferences	
 MLP_NN >>other models	
 28% uncertainty in estimating Kd(490) from the MODIS data	

Neural network modeling is used in ocean color [338,358], color of European seas[357], tropical cyclone track forecasting [62], ocean wave energy in Atlantic/ Pacific Oceans, [329], wave transmission prediction [330], wave forecasting in East-coast of India [331], forecasting ocean wave height [328], oceanic total alkalinity estimation [289], surface salinity in the Chesapeake Bay [359], prediction of two unnamed seamounts in the Arabian sea [232], prediction of bathymetry from satellite altimeter [232], sea level in Darwin Harbor [100] and prediction of marine beach water daily quality in Hong Kong [251].



#### Chlorophyll-a

Coad et al.[149] proposed successful predictive models for Chlorophyll-a concentrations with NNs. The accuracy decreases with one-, three- and seven day-ahead predictions(chart 64). A further step in near real time sourced data from telemetered monitoring buoys, automated systems and results of mixing predictive model enhanced the efficacy of proactive algal bloom managerial strategies. Awad [121] estimated concentration of chlorophyll-a from Hyperion satellite hyperspectral images using FF\_NN data driven model (chart 65).

Palmer et al.[348] performed an intensive study of algorithms for retrieval of chlorophyll-a (chl-a) in the highly turbid and productive waters of Lake Balaton, Hungaryby MERIS (MEdium Resolution Imaging Spectrometer)data of 10 years. Sentinel-3 Ocean and Land Colour Instrument (OLCI) will be used in the phase of the study.

Fluorescence Line Height Maximum Chlorophyll Index	Good
NN	Less accurate

**Chart 64: Prediction Chlorophyll-a concentrations**

Monitoring schedule (15 min intervals)	▶ One, three and seven days in advance
⇒ Chlorophyll-a,	▶ Daily mean, 10 <sup>th</sup> and 90 <sup>th</sup> percentile
⇒ Temperature (water and air)	
⇒ Salinity	⚙ Model
⇒ Photosynthetically available radiation	📡 NN

**Chart 65: Factors affecting Quality of coastal sea water**

- 🌊 Turbid river inputs
- 🌊 Open sea flushing
- 🌊 Different pollution sources
- 🌊 environmental disasters manmade
  - Oil spill
  - Continuous discharge
    - Chemical
    - Solid wastes.

**Factors for cyclone track**

- 🌀 Wind pressure
- 🌀 SST
- 🌀 Air temperature
- 🌀 Ocean currents
- 🌀 Earth's rotational force coriolis force

**Chart 66: Multiphase modeling of time series**

Method	Function
NARX	Identification of wave-structure interaction system
Multi-tone harmonic probing method	Linear and quadratic frequency response functions
Quadratic Volterra series	Prediction of time series of response

The content of chlorophyll a in coastal waters of Galician rias (NW Spain) from MERIS full resolution data [361] and in optically complex waters (rias Baixas, NW Spain) [360] were investigated with NNs. The origin of green macroalga [87], macro invertebrate image databases retrieval [108] and dispersal of a pelagic species [131] drew attention with data driven NNs.



#### Marine structure

Kim[22] applied NARMAX based quadratic Volterra series to predict dynamic response of a slender marine structure (chart 66).



#### Cyclone forecast

In accurate forecast of cyclone, a combination of global as well as basin specific techniques is used to minimize economic toll and no-loss-of-human life. The dissimilarity of geographical and climatological profiles of various cyclone formation basins are hurdles for a single forecast system.

### 9. Quality of water

Water quality analysis, stipulations and their indicators vary with purpose and standards of the concerned authority/governance. Concentrating on recent trends, multivariate statistics, time series analysis and neural networks are sought after tools in number crunching to bring out information and action course by agencies. Liu et al.[307] applied hybrid SVR with real coded GA in forecasting water quality in aquatic factories of YiXing, in China (chart 67). López-Lineros et al.[252] validated an automatic detection of incorrect records and retaining quality control stipulations for ten-minute river stage data using non-linear autoregressive\_NN (NonLin-AR-NN). The detection efficacy was ascertained by injecting noise of different magnitude. The hybrid NN detected more than 90% of altered records, while long practiced conventional tests detected only around 13% for high noise. Further, nonLin-AR-NN has consistent efficiency even at intermediate/ lower error ratios, while earlier test could detect up to 6% of erroneous data. Olawoyin et al.[215] used SOM\_NN to probe into water, soil and sediment quality in petrochemical regions of NigerDelta (Nigeria) (chart 68).



**Knowledge extraction by rough sets in water quality (TDS) analysis:** The vagueness and inherent uncertainties in water contamination/pollution poses a threat to reach the desired accuracy. Karami et al.[109] used rough sets, variable consistency dominance-based tool, to derive knowledge in TDS (chart 69) in Latyan Watershed, north of Tehran, Iran.

**Chart 67: Prediction of water quality in aquatic factories of YiXing, in China**

Real-valued GA	Optimal SVR parameters
SVR	Input : optimal parameters
<b>Inference</b>	
[SVR + GA_realValue] >> SVR or MLP_NN_BP	

**Table 10: Quantitative estimation of phycocyanin in potable water sources with NNs**

Model	(R <sup>2</sup> ) Location		Spectra (Expt & simulated ) RRS ESA/Sentinel-3/OLCI EO-1/Hyperion spectra NP : 363 #field surveys: 24
	CIN	SA	
PLS_NN	0.92	0.09	
TBM	0.77	0.94	
NN	0.86	0.95	

RRS	Remote Sensing Reflectance Spectra
OLCI	Ocean And Land Color Instrument
SA	South Australia
TBM	Three Band Model
CIA	central Indiana USA

**Chart 68 : SOM\_NN for quality of water, soil and sediment in into petrochemical areas of Nigeria**

Goals Identification of pollution sources Similarities in quality of samples <b>Model</b> SOM_NN	<p><b>X</b></p> <ul style="list-style-type: none"> <li>▶ Chemical,</li> <li>▶ Ecotoxicological</li> <li>▶ Toxicokinetic</li> <li>▶ Physical</li> </ul> <table> <tr> <th></th><th>#Samples</th></tr> <tr> <td>Water</td><td>11</td></tr> <tr> <td>soil</td><td>38</td></tr> <tr> <td>sediment</td><td>54</td></tr> </table> <p><b>Location</b>   Niger Delta, Nigeria   4 areas</p>		#Samples	Water	11	soil	38	sediment	54
	#Samples								
Water	11								
soil	38								
sediment	54								

**Chart 69: Rough sets in rule extraction of TDS in water**

<p><b>X</b></p> Precipitation River water temperature Runoff measured at 22 monitoring sites MODIS sensor 16-day NDVI Land surface temperature	<p>Time period : 2002–2007</p> <p><b>Pre-processing</b></p> <ul style="list-style-type: none"> <li> Moving average filter</li> <li>+ Decreased noise</li> <li>+ Reduction in the width of the boundary region between the lower and upper approximations</li> </ul> <p><b>extracted rules</b></p> <ul style="list-style-type: none"> <li>+ Effective</li> <li>+ Straightforward in probing into relationships between TDS &amp; environmental factors</li> </ul>
<p><b>Rule (If X then \$\$ TDS) generation</b></p> <p> Data mining tool VC-DRSA TDS data to the explanatory variables</p>	

## 9.1 Drinking water

According to one estimate, one billion (884 million) people have no access to safe drinking water worldwide. Estrogens are common in river and sometimes found even in drinking water. The scarcity of potable water and even fresh water for domestic/industrial use is multiplying with increase in world population as well as contamination of water bodies. Now, even using water once in a day has become luxury. Phycocyanin is a threat to diminution of quality potable water sources. The remote monitoring of

cynobacterial blooms in central Indiana and South Australia is of recent interest. Song et al.[234] reported (table 10) PLS\_NN is better than TBM and NN through remote sensing spectral data.

**Soak holes:** They are drilled directly into the top of the fractured-rock. The storm water and sediment runoff from the city streets are collected into the soak holes and reaches water table through infiltration. The immediate effect in Mt.Eden aquifer cannot be predicted by conventional mathematical methods. Hong [386] studied the effect of storm water infiltration of rainfall on the ground water quality using SOM-NN. The extraction of knowledge from ground water usage, the land use patterns and geological data is performed through hierarchical Kohonen SOM-NN. The decrease in contamination of surface water of the urban streams, and increasing the recharge of the shallow aquifer are the noteworthy advantages.

**Reservoirs:** These are an alternative major source of drinking water in regions with insufficient ground water sources. NNs were used as innovative computational tools in water resource management in Cyprus. NN model performs better in the estimation of annual water supply with inputs -- area of the watershed, altitude, slope, average of annual and monthly rain height. Iliadis [163] proposes that this model can be applied to other countries with appropriate changes to imbibe the information about areas facing the water deficit or flood.

**Quality of the potable water:** The quality of the potable water reaching the consumer depends on multistage compound subsystems viz., quality of input water, processes in treatment plant, distribution system, chemical/microbiological deterioration [181] in the distribution pipes etc. Of the several processes, coagulation/flocculation/ sedimentation/ filtration are typical sub processes deserving attention. The practices in water treatment are governed by complicated non-linear relationship between a large number of physical, chemical, biological parameters, operational conditions and the quality of the chemicals used. Further, the processes in coagulation are multiple interwoven and are not understood rendering the development of a mechanistic/physical model extremely difficult. Thus NNs, the data driven models have been in vogue with commendable success rate. This drinking water source protection measures in operation in China after 2005 incident in Songhua River are still hampered by inadequate monitoring schedules. It is worth noting that GA-NN model predicted better even 8 h ahead of time.

## 9.1 Drinking water through treatment

### - Residual aluminum

In order to keep the outlet from water treatment plant (WTP) [183] as clean as possible, the optimum alum and powdered activated carbon for different control actions are critical. The acceptable residual aluminum concentration in the water is 0.2 mg/l [190] and any higher amount of Al is against the imposed laws. In coagulation, alum ( $\text{Al}_2\text{SO}_4 \cdot 18\text{H}_2\text{O}$ ) is used. If the PH is not maintained, the added alum decreases the pH resulting in removal of DOC (Dissolved Organic Carbon) [White-1997]. NN was used as a module in the process for predicting optimum concentration of alum and carbon. Jar test determines optimum alum dose [190], the disadvantage being cost and longer time (table 11). These limitations are circumvented in current drinking WTPs by inverse-process models as well as earlier ones. Since, the prediction was poor; the forecasted water quality parameters are included in the input. It is a straightforward prediction approach of optimum alum doses directly. Maier [181] employed the NN model to assist the treatment plant operators in estimating optimum alum dose [183] for WTPs in southern-Australia, which is based on improving inverse model. The model predicts treated water quality parameters from raw input -- turbidity, color, residual aluminum, absorbance of UV light at 254nm, pH and alum dose. Another option is prediction of optimal alum dose from raw and analytical parameters of treated water.

Table 11: details of NN predictive model for optimum alum dose in water treatment for drinking [181]									
Model	Output		Input				Architecture I-H1-H2-O	Lr rate	Momentum
M1	Turb	Col	Turb UVA-254	pH	Col		7-8-0-3	0.5	0.5
	UVA254		Alk	DOC					
M2	Resid-Al	pH	I# of M1 + UVA-254	Turb	Col		10-8-0-2	0.8	0.2
			Alum-dose						
M3	Alum-dose		I# of M1 +	Turb	Col		9-8-8-1	0.8	0.2
			UVA-254	Resid-Al					

#### - Residual Cl<sub>2</sub> in drinking water

Chlorination is carried out in the final disinfection phase of a drinking water treatment system to eliminate pathogens [194]. The amount of chlorine should be sufficient to ensure microbiological stability and as low as possible not to cause odor throughout the distribution system. Residual chlorine is an efficient indicator of quality of water in the distribution system. The minimization of disinfection by-products, which are formed by the interaction of organic matter and excess residual chlorine in the treated water, is warranted [183]. Further the greater the organic matter present in the water, the higher is the chance for the formation of DBPs. The decay of residual chlorine is related to operational conditions of the treatment plant, the characteristics of pipelines in the distribution system and the quality of the input water. The chlorine content is controlled by monitoring it at strategic points in the treatment plant and distribution system. Although, online-analysis is employed, there is a time delay due to the passage of water between different points. Thus, modeling and prediction of residual chlorine in the entire cycle is of prime concern (table 12). From the forecast values of residual chlorine, the operator will adjust the dose in the treatment plant, for example, the storage tank outlet and at a point located down the stream in the pipeline [194].

Chlorcast is a decision support system with NN in the core, to model the residual chlorine in drinking water tank and water distribution system for a city (table 12). It is applied for water distribution at city of Sainte-Foy [183] based on time series model for evolved residual chlorine. The advantage of this method is generation of information useful for operator. The chlorine dosage or residual concentration at critical location can be modeled separately. Yet, the disadvantages noted are requirement of large amounts of past data, disability to explain results, bacterial regrowth due to under doses of chlorine and by-products formation [190] that are harmful to human health due to over doses.

Table 12a: Model errors of forecast model (residual chlorine –verification database) [194]		
Model	Erel (%)	REQ
Trivial 0.089	11.3	0.122

Table 12b: Forecast models in different seasons [194]				
Model	Winter		Summer	
	Erel (%)	REQ	Erel (%)	REQ

ARX (6 inputs)	11.5	0.130
ANN (6 inputs)	11.1	0.128
ARX (7 inputs)	9.0	0.111
ANN (7 inputs) 0.073	8.8	0.108

<b>Table 12c: Average errors in forecast model for extreme values of residual chlorine under summer condition [194]</b> <b>Values of residual chlorine at the tank outlet</b> <Mean $\pm$ 6 S.D. >Mean -S.D.			ARX	NN
			0.162	0.121
			0.151	0.103

<b>Table 12d: Forecast models in different seasons [194]</b>				
Model	4-inputs		6-inputs	
	Erel (%)	REQ	Erel (%)	REQ
Trivial	9.3	0.036		
Winter condition				
ARX	26.3	0.090	9.1	0.037
ANN	25.5	0.087	8.9	0.036
Summer condition				
ARX	18.8	0.098	9.0	0.055
ANN	17.9	0.092	8.8	0.053

<b>Table 12e: Performance of Chlorcast in Treatment for drinking water (Clear water tank)[190]</b>			
Data	#observations	R2	MAE (mg/l)
Entire data sets	3551	0.9871	0.012
Training	2494	0.9990	0.003
Test	701	0.9603	0.032
Prediction	356	0.9551	0.032
MAE :Mean average error			

<b>Table 12f: Performance of Chlorcast in drinking water treatment (distribution network) [190]</b>			
Data	#Observations	R2	MAEa (mg/l)
Entire data sets	2886	0.9904	0.008
Training	2025	0.9999	0.001
Test	581	0.9710	0.024
Production	280	0.9624	0.025

## 9.2. Domestic Water consumption

In Kuwait, fresh water is only from desalination plants and 88% of the total consumption is in the residential houses [387]. The inputs for NN are those from best MLR model. The treatment plants of drinking water remove microorganisms and natural/anthropogenic chemicals [190]. The reduction of color, odor, turbidity etc., ensures acceptability from aesthetic point of view, while removal of biological and chemical components is to safeguard the health of the consumers. A NN model was developed to predict the turbidity and color of treated water from Rosedale water plant in Edmonton, Alberta, Canada. The software employs only a single parameter, (i.e absorbance of UV light at 254nm) to control turbidity and color. The limitation of this method is that it ignores high aluminum content, responsible for Alzheimer disease. Further, it is implicated in individuals requiring renal dialysis. The water use in different countries and the variables relevant are described in table 13.

<b>Table 13a: Water consumption in different countries</b>		<b>Table 13b: Input variables to model weekly water consumption in few of residences of Kuwait [387]</b>					
Water consumption per person per day	Liters	#	Name of Input variable	Model 1	Model2	Model3	Model4
Bahrain,	526	X1	Income category	✗	✓	✓	✓
Kuwait,	481	X2	Number of rooms	✓	✓	✓	✓
UAE	700	X3	Number of bath rooms	✓	✓	✓	✓
USA	744	X4	Number of people residing	✓	✓	✓	✓
		X5	Size of attached garden	✓	✓	Log(x5)	Log(x5)
		X6	Temperature (average)	✓	✓	Log(x6)	✗
		X7	Humidity (average)	✗	✗	Log(x7)	✗
		X8	Transformed week-in-the-year	✗	✗	✗	✓

## 10. Pollution of water bodies

✍ Discharge standards

The discharge standards of effluents waste and gases into environment (air, water and land) are a part of keeping up the quality standards [183] of environment. This in turn produces healthy living surroundings for the inhabitants.

**Urban lakes contaminated with Perfluorooctane sulfonate (PFOS):** Perfluorooctane sulfonate (PFOS) is a wide spread pollutant in urban lakes worldwide. Xiao et al.[393] performed EDA of PFOS concentration in 304 fish from 28 urban lakes using GIS information. The NN model is applied to lakes in Minnesota contaminated with high levels of PFOS (chart 70). The highlights and limitations of EDA and Kriging interpolation are compared.













Chart 70: NN model for PFOS in urban lakes	
 Preliminary classification	 Hierarchical cluster analysis
 Predictor Screening	 Regression tree
 Model : NN	Source of pollution
 Test data	 Industries
 Minnesota	 Commercial activities
 #lakes :40	 Vehicular traffic
	 Surface runoff

Chart 71: SOM_NN + PCA for water toxicity in river basin	
Pollutants 60 Period : 2007 and 2008	Sampling stations: 232

PCA	Influential variables trends in pollution
Kohonen_ SOM_NN	Spatial distribution of toxic risk

**Water toxicity:**Carafa [374] et al. reported tool for water managers in mitigating Water toxicity in a Mediterranean River Basin District using SOM\_NN (chart 71). The field data on macroinvertebrate and diatom communities are correlated with NN\_model predicted toxic profiles.




**Arsenic pollution and its effects on human health:**The increase in arsenic levels in environment is through anthropogenic activities. The species of inorganic arsenic (as As(III) or As(V)) in the ground water depend up on pH, adsorption reactions, biological activity and redox conditions. The contaminated drinking water and crops harvested are routes of ingestion causing severe ill effects on human health [293].












### Health hazard due to edible mushrooms

Li et al. [77] reported SparR (structure parameter relationships) for logarithm of formation constant of macrocyclic ligands and cesium with six molecular descriptors using MLR, NN, uniform design optimized (UDO\_) SVM with a correlation coefficient of 0.95 for test set. Edible mushrooms have high affinity for cesium with a consequence that humans eating those mushrooms accumulated cesium and had health disorders.

### Surface water pollution

The fast pace industrialization and growing population are key factors for surface water pollution events even in China in the last two decades. Burchard-Levine et al.[236] reported an early (2 h ahead) warning model topredict NH<sub>3</sub>-N, COD and TOC with GA-ANN using most sensitive input variables monitored 12 km upstream(Chart 72).

Chart 72a: GA_NN for drinking water source quality					Chart 72b: Quality of surface waters	
	Sensitive Input	MSE	MPE	Regression	 Protection of ecosystems	
NH <sub>3</sub> -N,  TOC,  CODmn,		0.0033	6	92		

	 TP,  NH <sub>3</sub> -N  Turbidity				 Long term sustainable water supply  Control of emissions  Minimize priority pollutants in surface water  Protection of coastal seawater on an international basis → min (anthropogenic pollutants )
COD	 Turbidity  COD <sub>mn</sub>	0.201	5	0.87	
TOC	 Turbidity  COD <sub>mn</sub>	0.101	2	0.94	

#### Aquifer quality deterioration

Other sources of contamination for aquifers, like in ground water, are residential, agricultural and industrial activities. Further the untreated wastewater or even the partially treated one decreases the quality of water [185]. Nitrate, both from point and non-point sources pollute shallow aquifers. Nitrate is lost from soils by leaching because of its high mobility. The generation of land use scenarios is an optimization task.

#### Ground water contamination



A number of human induced changes continuously threaten natural aquatic ecosystems. The ground water is contaminated through transportation of chemicals from industrial, commercial, residential activities as well as influences from the storm water infiltration, transport and reactions. Accidental spills, landfills, storage tanks, pipelines etc. further deteriorate its purity. Thus, decrease in the quality is due to complex and uncertain factors. When the reservoirs are near the agricultural land, the nutrients/pesticides diffuse through leaching. The chlorinated compounds in air reach surface of land as dense nonaqueous phase. It then passes through unsaturated zone under capillary and gravitational force and settles in low geological layers of low permeability resulting in long term (persistent) contamination of groundwater. On the other hand, chlorinated ethenes in the ground water volatilize and migrate by diffusion into soil surface and then into air. The thermodynamics and kinetics of the mass transport processes between unsaturated and saturated zones of sub surface dictate net fate of the chemicals [208]. One of the groundwater pollution sources is nitrate nitrogen (NO<sub>3</sub>-N) from agricultural activities. The saturated water content (SWC), field water capacity (FWC) [88], effects of chicken manure on ground water [26] and groundwater pollution in Shandong by nitrate nitrogen [308] were investigated with NN modeling.

#### Fecal pollution of water resources

##### **Fecal indicator bacteria (FIB)**

The downstream water is generally contaminated with fecal indicator bacteria (FIB) rendering them for high risk to waterborne illness, if consumed as potable or during recreational exposures. LVQ\_NN has been used to forecast recreational water quality using fecal indicator organisms [394] in Charles River Basin (Massachusetts, USA).

##### **Fecal indicator bacteria (FIB)**

-  Enterococcus faecalis
-  Escherichia coli

**Microbial fecal source tracking (MST):** Reischer et al.[212] reported that dominant sources of fecal pollution in mountainous karst spring catchment area of Austria were ruminant animals(chart 73). This is from a monitoring schedule of 17 months employing nested sampling design covering hydrological and pollution dynamics of the spring. E. coli is one of the FIB used in regulatory limits. But, recently fecal Bacteroidetes having host-specificity are detected by quantitative real-time PCR

#### Marine pollution

The transportation on seas and oceans day by day introduce marine invasive species posing a great threat for the sustenance of marine organism. The genus Physalia (Cnidaria: Siphonophora) around coastal New Zealand, were used to arrive at factors influencing a passive disperser. Of several routes of marine pollution, oil spills counts high and arise due to uncontrollability over made-made ventures themselves.



### - Oil spill

The eco system pays a price for Oil spills (Chart 74) which indirectly affects of welfare of mankind and life of marine organism. A simulation model was developed for trajectory of oil released from pipeline leaking in the Gulf of Mexico. For synthetic-aperture-radar (SAR) observations, a Texture-Classifying Neural Network (Tex.Class\_NN) was used to delineate ocean oil slicks. During the simulation, GNOME model was driven with ocean currents from NCOM (Navy Coastal Ocean Model) outputs and surface wind data measured by an NDBC (National Data Buoy Center). Wei et al.[299] employed NN classification to pin point the oil spill areas from SAR images. Based on historical data of other oil-spill episodes, MLR model was developed. Singh et al. [303] proposed a semi-automatic model for detection of oil spill with NN. The system is optimized utilizing wind and current history information for near real time offshore platform pollution making use of TerraSAR-X over the North Sea. Synthetic Aperture Radar (SAR) is an effective tool for remote sensing through satellite.

<div>Chart 73: Monitoring parameters</div> <div><div>◆ Genetic markers for fecal sources</div><div>◆ Microbiological</div><div>◆ Hydrological</div><div>◆ Chemo_physical</div></div>	<div>Chart 74( a): Cost of losses</div> <div><div>Cleanup</div><div><div><div></div>Socioeconomic</div><div><div></div>Environmental</div></div></div>
	<div>Chart 74(b): Semi-automated approach for oil spill detection</div> <div><div><div></div>Data: 156 TerraSAR-X images</div><div><div></div>X: Eight feature parameters extracted from each segmented dark spot</div><div><div></div>Classification algorithm: NN</div></div>

Chart 74( c): Marine pollution due to a few oil spill accidents				
2006	pipeline bombing	Lagos, Nigeria	<div>People #</div> <div>Killed270</div> <div>injured170</div>	
2010,	Drilling Platform explosion	Deepwater Horizon Gulf of Mexico	<div>oil Leak:1.43 million m<sup>3</sup></div> <div>water pollution:9900 km<sup>2</sup></div>	
2011	Pipeline explosion	Dalian, China	<div>oil Leak:1500 tons</div> <div>water pollution:430 km<sup>2</sup></div>	
Daily production of oil:			<div><div></div>87 million barrels globally</div>	

Schulz and Matthies et al.[301] found NN is superior to MLR in predicting litter from fishing, shipping, and tourism sources in southern North Sea even with less information. Lammoglia, [356]detected oil seepages on the ocean surface by qualitative remote characterization.

Influential factors	
➤	Biological nature of the process.
➤	Variability of influent composition
➤	Dynamic nature



**11. Waste water (WW) Treatment**

- Delay of analytical results and
- Lack of on-line sensors

The prime concern is to maintain natural water systems with as high quality as possible (AHQAP). Waste water treatment before letting them into rivers helps in ensuring good quality of flora and fauna in marine/riverine environment and other water bodies. Thus, the management of wastewaters or waste solid is indispensable, but not an option. Municipal wastewater contains suspended solids, organic matter, pathogens and nutrients. Oxidation of biodegradable organics is stabilized as low energy compounds by maintaining the optimum level of microorganisms and oxygen through aerators [192]. The management of odor in waste water treatment plants (WWTP) and solid waste is complicated. The emissions from bio waste and their treatment plants are more offensive to the public. It leads to an odor impression with 50% of defined population. The laws in Germany are stringent regarding the odor acceptance.

**WWTP (Waste water treatment plant)**

The processes depend upon sources of wastewater, their flow rates/chemical/biological composition/processes, ambient conditions etc. The recycle rate of the settled sludge and mode of operation in different seasons and geologically diverse sites dictate the requisites parameters for real time control. The multiple objectives in this pursuit are target efficiency of process and minimum ratio of cost to throughput under dynamic loading conditions [166]. Thus, the wastewater treatment plants (WWTP) involve composite physical/biological/chemical/ processes not viable by classical modeling approaches [183]. The number of influencing factors for anaerobic digestion is large and differs with the source of the waste. The physical modeling of biogas formation involves mass transfer between gas and liquid phases. The data on mass transfer coefficients between gas and liquid phases are scarce rendering anaerobic biological treatment of wastewater is an intricate process. Further, the processes in WWTP are dynamic involving incoming water discharge, actuation and outgoing variables [192]. They are with strong non-linear distribution between I/O variables in spatio-temporal domain. Input as well as output variables are interrelated. Missing data, variable errors associated with different factors are common. The magnitude of variables in time domain is in small chunks with varying patterns. Hence the process is multifaceted and not amenable for straightforward solution. Thus, WWTP translates into inverse-hard, non-polynomial (NP)-incomplete models. The solution is not unique and the choice of best set of models from the multiple sets of solutions is also not viable. NNs had a track record of offering a solution for systems with incomplete information. But, the complicated equations are solvable with recent numerical methods using high-ended hardware systems. Thus, translation into mathematical model is not trivial.

**WWTP processes → inverse-hard, non-polynomial (NP)-incomplete models**

**Limitations of mechanistic models in WWTP:** Mechanistic or ab initio physico-chemical-biological-meteorological principles projected on to mathematical framework results in a set of stiff differential equations. The data requirement, boundary conditions and solution procedures are not a cake walk like for a small set of ODEs in routine use [164]. Further, extensive simulations are required before arriving at even a sub optimal solution. Also, the system of equations developed for WWTP are stiff with a broad range of time constants necessitating long simulation time due to small integration steps required (table 14). The way out is to resort to surrogate mechanistic approach, where in complex mechanistic models are replaced with simpler ones. For instance, ODEs are used instead of PDEs. The model fails when tanks connected in series are employed. The complexity of model is reduced through boundary location. Removing the upstream parts of the sewer mechanics reduces the size of the system.

**Control theory:** The programmable classical logic control theory employs a trial and error method of mathematical models. The advantage claimed then was minimal human expertise and thus was deemed as automated system. Further, the provision for manual intervention in case of catastrophic incidents was acclaimed as a remarkable way of disaster management. Now, continued stipulations are in the direction of alerting and automated control of disastrous moments.

**Table 14 Comparison between plant performance indexes for Scenario 1 calculated for 20 years of mechanistic WWTP model (ASM3) and ANN predictions**

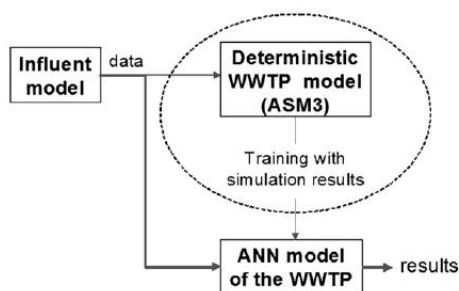
Variable	No. of violations	
	ASM3	ANN
BOD5 (g/m3)	0	0
SNH4 (g N/m3)	5894	5620
TN (g N/m3)	8081	8403
TSS (g/m3)	0	0
COD (g/m3)	0	0

#### ANN input variables:

- $S_I$  – soluble inert material
- $S_S$  – readily biodegradable substrate
- $S_{NH4}$  – ammonium nitrogen
- $X_I$  – particulate inert material
- $X_S$  – slowly biodegradable substrate
- $X_H$  – heterotrophs
- $X_A$  – autotrophs
- TSS – total suspended solids
- Q – flow rate
- T – temperature

#### ANN output variables:

- BOD<sub>5</sub> – biochemical oxygen demand
- TKN – total Kjeldahl nitrogen
- $S_{NH4}$  – soluble ammonium
- TN – total nitrogen
- TSS – total suspended solids
- COD<sub>TOT</sub> – total chemical oxygen demand



Courtesy ref [164]

**Table 14(b) Reduction of CPU time for simulation of WWTP [164]**

✂ Replacing mechanistic models with simple ones	ODE reduced to partial differential equations
✂ Reduced activated sludge model	Integrated urban water system simulation
✂ Activated sludge model 2d	Empirical model with WWTP data
✂ Secondary classifier of WWTP	Mechanistic model replaced by empirical
✂ Primary classifier	Empirical model
✂ NN	Reduction in CPU

**Table 14( c): Advantages of NNs over mechanistic models in WWTP[164 ]**

- ✦ NNs function over wide range of operating conditions with adequate prediction accuracy
- ✦ Simulation is (36 times) faster than with mechanistic models
- ✦ Repeated training is not necessary when combined with integrated waste water system. The time reduces by a factor of 1300

**NN models:** In this decade, data driven evolutionary NNs with well proven supporting tools are not the alternative by choice or prejudice but based on their performance and growing theoretical proofs of their purpose under a variety of conditions. Raduly [164] replaced mechanistic model by NNs with lot many advantages. The data for a longer period (one year) is minimum as the seasonal temperature variation has profound influence. The current stipulation is to run the plant with comparable performance under all environmental conditions, unlike in yester years when the performance was assessed based on weather conditions of that day. Now, model should respond to changes in frequency, intensity and duration of rain events. Data for longer periods are essential to take care of rare occurrences of combined sewer overflows. For example, a three-year data cannot recognize overflow in case of a detention cycle of 5 years. Further, more accuracy is needed and complexity increases if it is integrated with urban waste water system. However, models, (model driven or data driven), simple or complex do not explain all the experimental results in toto.

**SLP\_NN:** Sridevi et al.[72] applied SLP\_NN with LM training to predict biohydrogen production in distillery wastewater of a hybrid up flow anaerobic sludge blanket reactor (chart 75).

**Chart 75: prediction of biohydrogen production in sludge blanket reactor**

SLP_NN	NP : 231;
4-20-1	Temp: (34 ± 1 °C)
Trn: LM	pH : 6.5

**Fuzzy NN:** Honggui et al.[93] introduced a fuzzy NN based online fault detection of sludge volume index (SVI) sensor. The results of earlier fault free operation of WWTP were the training data set and detection of fault was from residual of measured and predicted concentration values. The rigorous testing on a real WWTP system showed the efficiency of NN approach.

**NN + Fuzzy logic+ rough sets:** A hybrid fuzzy NN employing rough set theory for automating the control of processes in industrial wastewater treatment is proposed. The analysis consists of three stage sequential procedure. It searches a set of multi-objective control strategies. Rough sets have greater indiscernable capability. GAs are parallel procedure in search space and they are the best to arrive at an optimum without the knowledge of derivative information. The choice of fitness function is the key factor. The combination of Gas, rough sets fuzzy logic with NNs is far superior compared to individual components or their binary- ternary- hybrid strategies (table 15). Fuzzy sets and rough sets are used to analyze inexact imprecise uncertain and vague knowledge.

**NN + SVM:** Betrie et al.[368] found NN to be better than SVM and integration of results of prediction of NN and SVM by aggregation is superior to component procedures (chart 76).

**Table 15(a): The definitions of parameters for modeling analysis industrial waste water**

Parameters	Definition
<b>Control variables</b>	
pH at B1	The pH value at B1
pH at H1	The pH value at H1
pH at I1	The pH value at I1
TP	Total phosphorous (mg/L)
TN	Total nitrogen (mg/L)
TFR	The flowrate of treatment effluent (m <sup>3</sup> /d)
EL	The amount of electricity consumption (kW h/d)
<b>State variables</b>	
HAC	Acetic acid at equalization basin

a	:	Acetic acid at equalization basin (mg/L).
b	:	Benzoic acid at equalization basin (mg/L).
c	:	Paratoluic acid at equalization basin (mg/L).
d	:	Terephthalic acid at equalization basin (mg/L).
e	:	Total organic carbon at equalization basin (mg/L).
f	:	The pH value at B1.
g	:	The pH value at H1.
h	:	The pH value at I1.
i	:	The flowrate of treatment effluent (m <sup>3</sup> /d).
j	:	The amount of electricity consumption (kW h/d).
k	:	Total phosphorus.

























	(mg/L)		1	:	Total nitrogen
BA	Benzoic acid at equalization basin (mg/L)				
Ptol	Paratoluic acid at equalization basin (mg/L)				
TA	Terephthalic acid at equalization basin (mg/L)				
TOC	Total organic carbon at equalization basin (mg/L)				
<b>Control goals</b>					
COD	COD in the effluent (mg/L)				
SS	SS in the effluent (mg/L)				
cost	Partial operatingcost (NT\$/ton COD removal)				

**Table 15(b) : The derived fuzzy rule table based on GA and rough set theory**

Rule no.	State variables						Control variables					
	a	b	c	d	e	f	g	h	i	j	k	l
1	4	3	—	—	6	2	1	2	3	3	—	—
2	5	3	—	—	6	4	2	3	7	6	—	—
3	4	3	—	—	4	3	2	4	6	2	—	—
4	3	3	—	—	4	2	1	2	3	3	—	—
5	5	3	—	—	7	3	2	4	6	2	—	—
6	4	3	—	—	5	3	3	3	6	5	—	—
7	5	3	—	—	4	2	2	3	5	5	—	—
8	3	3	—	—	6	2	3	3	7	5	—	—
9	2	2	—	—	4	2	2	3	3	5	—	—
10	4	3	—	—	3	3	2	4	6	2	—	—
11	2	3	—	—	3	2	1	2	3	3	—	—
12	5	3	—	—	5	4	2	3	7	6	—	—
13	2	3	—	—	5	4	2	4	7	5	—	—
14	2	2	—	—	2	4	3	1	2	3	—	—
15	4	2	—	—	5	3	3	4	5	4	—	—
16	2	3	—	—	4	2	2	3	3	5	—	—
17	3	3	—	—	5	2	3	3	7	5	—	—
18	2	2	—	—	5	4	2	3	7	6	—	—
19	3	3	—	—	3	3	2	4	6	2	—	—
20	2	3	—	—	2	4	2	3	7	6	—	—
21	5	2	—	—	5	2	2	1	4	6	—	—
22	2	2	—	—	3	2	1	3	4	2	—	—
23	4	2	—	—	6	2	1	3	4	2	—	—
24	2	2	—	—	6	2	2	3	5	6	—	—
25	1	3	—	—	3	3	2	4	6	2	—	—
26	4	2	—	—	7	2	3	3	6	6	—	—
27	1	3	—	—	2	4	2	3	7	6	—	—
28	4	2	—	—	3	2	2	3	5	5	—	—
29	5	2	—	—	3	4	3	1	2	3	—	—

W. C. Chen, N. Chang, J. Chen,  
Rough set-based hybrid fuzzy-neural controller design for industrial waste water

Water research, 37(2003)95-107

<b>Chart 76: Prediction of acid rock drainage chemistry</b>		<b>Component</b>	
Aggregation method for  NN  SVM  Predictive uncertainties	Prediction of acid rock drainage chemistry  Probability bounds analysis	<b>1</b>	<b>2</b>
		 Caffeine  Cocaine  Carbamazepine  Diazepam  Fluoxetine  Hydrocodone  Ketorolac	 Tramadol  Alcohols  natural hormones  Hormone-like chemicals
<b>WWTP technologies</b>		<b>EDC</b>	
 Bioaugmentation  Membrane reactors  Advanced oxidation process  Sorption  Electrochemical methods		 Pharmaceuticals  Personal care products  Pesticides  Industrial products  Phytoestrogens	

The limitations of individual component modules are diminished or eliminated while retaining the unique positive features. The information and knowledge bits generated out of the simulation experiments are pivotal in intelligent design of new WWTP plants. The objective is to enhance the functional aspects and decreasing the operational costs of the already running prototype plants. In yesteryears the performance indices were evaluated under dry weather. But, nowadays the efficiency of targets are focused in all hostile environmental conditions. Thus the data input requirements include historical incidents under varying intensity and duration of rainfall/temperature in addition to the rare sewer/detention pond over flow situations. Raduly [164] developed NN models separately for influent flow and the treatment process. He opines a one-year of influent data and decadal data for overflow of detention pond will result in reasonable conclusions. The CPU time for simulation of such a mega event is very large but a first step to quantify the control strategies in a real time operable WWTP.

**NN + Fuzzy logic + GA (Multi objective optimization)** :With a priori information of contaminants in the waste stream at the input of the plant, NN-predictive models guide personnel (operators) at WWTPs to maintain quality of effluents. In Houston city, an automated wastewater flow control at pilot level was studied. It controls the incoming flow by 14 pumps of different capacities. NN is employed to activate or deactivate the pumps based on weather conditions, time of the year and approved protocol. Prior to this, this task was done by human operators. A multi objective control strategy for WWTP was successfully applied for a plant in Taiwan [183]. A representative static function was generated in the model. The treatment plant is controlled through automatic tuning employing the fuzzy logic and GA is used along with NN. A sequential NN architecture consisting of two MLPs for WWTP with notable success is reported. The first NN is for a routine control, while second monitors the critical parameters. The output is to control measures like stopping the process or controlling the inputs. Here, SLP\_NN (16-6-14) was used, where two of the variables are real numbers representing the average wastewater level over an hour and 2hours. The other 14 inputs are binary variables corresponding to the status (off or on) of the pumps. Based on 120 samples, the actual pump status and that predicted by NN are almost the same with a small error. Thus, NN mimics the human operator performance paving way to automation. It is based on past data and not on explicit decision rules. Phase wise automation and providing information for the operators will increase the efficiency of the wastewater treatment plant.

**WWTP at El-Gabal El-Asfar:**An NN model was applied to the WWTP located at El-Gabal El-Asfaron the east bank of Nile River, Egypt [183]. It is considered as the largest WWTP in Middle East. The operations

include screening and grit removal, primary sedimentation, surface aeration, final clarification followed by chlorination. This plant is a non-nitrifying conventional type. BOD and SS at different locations in the treatment process for a period of 10 months are analysed with NNs. Fuzzy NNs to simulate up flow in anaerobic sludge blanket and fluidized bed reactor. Knowledge discovery from databases (KDD) is employed [391] for aqueous effluents from a manufacturing plant.

NN modeling found place in maintaining DO concentration in a WWTP [111], adaptive control dissolved oxygen [27], industrial wastewater treatment process in activated sludge treatment in a pulp mill [309], COD concentration of effluent [116] etc.

#### Combined sewer systems (CSS)

Although combined sewer systems are no longer constructed the existing ones require newer methods of control [165]. CSS collects and transports both urban wastewater and rain/storm water. It is a network of urban drainage system. Real time regulation involves control of gates pumps **and weirs**. CSSs contribute to the pollution of nearby water bodies especially when the load exceeds the discharge capacity of sewer or treatment plant. The modeling of CSS is complex in each of its sub goals. The typical modules are storm water runoff, sewer hydraulics etc. The simulation and appropriate use of the real time data are attempted. Rec.NN is applied for CSS in King County wastewater treatment division, Seattle, USA. Jordan-NN captures the dynamic and rapid response characteristics of the combined sewer systems [165]. The inputs are spatially distributed rainfall (current and past) previous controls optimal gate controls from OPTCON and UNSTDY. The network is retrained offline as and when new storm events occur.

**Inadequacies of WWTP:** Synergistic environmental toxic effects have been noticed even for binary mixtures and thus there is a concern regarding inadequate efficacies of WWTPs. Although each compound is less than toxic limit, their cumulative sum results in **deleterious effects**. Since many WWTPs are designed for removal of EDCs/ degradation products, they enter environment. The stringent protocols of REACH (Registration, Evaluation, Authorization, and Restriction of Chemicals) now impose restrictions on new chemicals should pass through environmental clearance of European Chemical Agency (ECHA) to minimize substances of very high concern (SVHCs).

### III. Soil (12-13)

**Soil pollution:** Soil is not a homogeneous mixture of matter. The special heterogeneity is a good probe to follow dynamics of soil heavy metals (SHM), a consequence of man-made activities as well as nature's processes. Li et al. [344] studied special distribution and pollution of SHM in Huizhou City, Guangdong Province with NNs and combined results with GIS spatial correlation of SHM. And NNs, as universal function approximators, is one step forward in proposing a data driven models without explicit model driven equations.

**12.1 Plant uptake Models for pollutants from contaminated soil:** Takaki et al.[204] studied plant uptake models for neutral hydrophobic organic pollutants based on experiment data(**chart 77**). The plant uptake processes are good indicators to estimate effect on humans exposed to these toxic substances from polluted land. The crop yield is modelled using soil quality, nitrogen fertilizer and cropping year with radial basis function- (RBF-) NN.

Chart 77: Plant uptake models [204]						
Model	Uptake of Chemicals through	If				
EUSES	Root	<table><tr><td>logKOW</td><td>&gt; 8</td></tr><tr><td>logKOA</td><td>&gt; 11</td></tr></table>	logKOW	> 8	logKOA	> 11
logKOW	> 8					
logKOA	> 11					







### 13. Treatment/disposal of solid waste

**Municipal solid waste (MSW):** Sewage contains organic moieties, sulphurous and nitrogenous compounds producing directly or indirectly mal-odor[176]. Municipal solid waste (MSW) disposal is through land filling. The emission of gases and leachate production are the prime causes of environmental pollution. The limited barren land area also demands the treatment of municipal solid waste (MSW) (table 15) [388]. Combustion pyrolysis and gasification are the means of energy recovering but are criticized due to gaseous pollution.

Table 15: Hidden units and learning rate [388]				
ESS		1.7		
Epochs		4000		
Lrn_rate		0.05		
# hidden units	3	5	7	9
ESS	1.657	1.641	1.636	1.674
ESS		1.8		
# hidden units		7		
Lrn_rate	0.01	0.05	0.1	0.15
Epochs	2913	534	224	542
ESS : Error sum of squares ;      Lrn_rate : Learning rate				

**Mal-odor:** The concentration of odorant at the threshold is defined as one odor unit. It is a paradox that the odor of molecules with different molecular structure is similar although molecules with similar structures have distinctly different odors. In spite of considerable research, well-defined principles are not yet available relating the odor with principles of physics/chemistry/biology. In addition to it experimental measurement of odor is very complicated but humans are good at it without any effort. However some animals like dog and pig have 100 to 1000 fold neurons olfactory (responsible in the odor recognizing) organ. In the scientific front hitherto a panel of judges discriminate the flavour/odor and of course taste.

**E-nose:** Recent advances in sensors research electronics, computer science, information theory and artificial intelligence-2 revolutionized the odor measurement and electronic nose (E-nose) is a viable reliable and accurate device conforming to the routine needs. Yet they don't replace trained sniffer dogs and other animals in their natural habitat. E-Nose with embedded NNs is exposed to sewage samples collected from different locations viz. inlet works settlement tank activated sludge and final effluent of a WWTP producing 12 sensor array responses for each sample [176]. The total organic carbon (TOC) by flame ionization technique GC with MS and gas sensors with NNs are unbiased measures of odor. In fact there is no single sewage odor but several compounds with the distinct odor characteristics results in a typical sewage odor. Thus it is not necessary to use GC-MS for detection and quantification of each compound. Otherwise, left for longer periods of time especially in absence of O<sub>2</sub> results in unbearable odor due to anaerobic processes. Apart from human sensory panels H<sub>2</sub>S analyzers and odor potential are employed in sewage odor measurement. BOD measurement takes five days yet the results are not reproducible. There are no perfect or reproducible quantification methods and thus measurement of odor is difficult.

**Treatment:** The treatment of solid waste comprises of collection treatment and disposal of potentially odorous materials in liquid form. The composition of MSW depends on climatic conditions and socio-economic factors in human activity. The major utility of MSW is for steam boilers in power station. The heating value depends upon elementary chemical composition which is composite. In a nut shell the relationship between the heating value of MSW and the interacting factors are not straightforward.

**13.1 Activated sludge model 1 (ASM1 ):** It is developed by international association on water pollution research and control (IAWPRC) task group on mathematical modeling for design and operation concerned with biological wastewater treatment. The simulation model distinguished between slow and rapid biodegradable substances as well as hetero-tropic and autotrophic biomass.

- However ASM1 is not applicable for wastewater contaminated with coke.

MLP is put forward to estimate the concentration of nitrogen as ammonia in the effluent. The solid waste in Istanbul per day is around 9000 tons while the total MSW is 18000 m<sup>3</sup>(0.5 tons/m<sup>3</sup>)[168]. These numbers have been growing with increase in population over time. The Odayeri sanitary landfill is in operation since 1995 and the dumpsite is contemplated for 25 years of usage.

**Landfill leachate:** It is formed when rain water melted from snow or the sewage liquid percolates through the landfill spaces and leaks out from the sides or flows through the bottom. The transportation of leachate carries widely different types of chemicals to the low-lying extremes of the landfill. There is a correlation between rain fall/precipitation and quantity of leachate. The sanitary landfill produces worst/dangerous pollution of environment. The design of landfill diminishes the ill effects of leachate flow. The critical situations are light rainfall for a long time and short burst of heavy rain cyclones etc. result in quick saturation of the cover material. The consequence is little net infiltration. Karaca[168]proposed NNs for prediction and management of leachate daily flow rates in municipal solid waste landfill site (Istanbul/Odayeri) using BP(table 16). The future scope of NN-LEAP study are given table 16( c) which will decrease the uncertainty in prediction of leachate flow rates and improve the odor estimation.

**Table 16 Comparison of backpropagation algorithms[168]**

Backpropagation algorithms		R-values	Mean squared error	Iteration number
Batch training	With weight and bias learning rules	0.202	0.8633	113
Conjugate gradient	FletcherPowell	0.773	0.2195	22
Conjugate gradient	PolakeRibiere	0.701	0.2808	19
Conjugate gradient	PowelleBeale	0.888	0.1216	28
Conjugate gradient	Scaled	0.779	0.2541	19
Gradient descent		0.250	0.8649	95
Gradient descent	With adaptive lr.	0.731	0.4286	210
Gradient descent	With momentum	0.217	0.8110	89
Gradient descent	With momentum and adaptive lr.	0.335	0.8791	20
LevenbergeMarquardt		0.847	0.0308	11
One step secant		0.713	0.1955	34
quasi-Newton	BFGS	0.774	0.1358	24
Resilient (Rprop)		0.676	0.2242	26

**Table 16(b):Variables for NN-LEAP method [168] solid waste leachate municipal**

Variable	Units	Meteorological parameters (Daily means)	
pH		Months	[1 to 12]
Temperature	°C	Temperature	°C
Conductivity	mS cm <sup>-1</sup>	Pressure (sea level)	mbar
<b>Output parameter</b>		Cloudiness	[0 to 1]
Leachate daily flow-rate	m <sup>3</sup> day <sup>-1</sup>	Relative humidity	%
		Precipitation	mm

	Maximum temperature	°C
	Minimum temperature	°C

**Table 16( c): Extended scope of NN-LEAP study**

- ➡ Inclusion of forecasted meteorological parameters on the day of prediction
- ➡ Hourly flow rates
- ➡ Contribution of special areas for MSW

**Table 16(d): Input variables biogas - landfill**

**Input parameters**

- ➡ pH
- ➡ Alkalinity (mg/l CaCO<sub>3</sub>)
- ➡ COD (mg/l)
- ➡ Sulfate (mg/l)
- ➡ Conductivity
- ➡ Chloride (mg/l)
- ➡ Waste temperature (°C)
- ➡ Refuse age (day)

**Output parameter [T]**

- ➡ CH<sub>4</sub> (%) of landfill gas

**Table 16(e): comparison of BP algorithms and LM [167]**

Alg.	Extention	SLP (8-12-1)		SLP (8-15-1)	
		R values	MSE (Mean Squared error)	R values	MSE
Levenberg Marquardt		0.951	0.0026	0.957	0.0025
Conjugate gradient	Scaled	0.927	0.0782	0.940	0.0565
BP	One-step secant	0.948	0.0459	0.946	0.0613
BP	QuasieNewton	0.946	0.0604	0.933	0.0379
Gradient descent	With adaptive learning rate BP	0.948	0.0799	0.931	0.1013
Gradient descent	BP	0.844	0.2464	0.867	0.2736
Gradient descent	With momentum And adaptive learning rate bp	0.950	0.0574	0.931	0.0829
Gradient descent BP	With momentum	0.787	0.5113	0.509	1.2622
BP	Resilient	0.941	0.0348	0.921	0.0503
Batch training with weight and bias learning rules		0.901	0.1672	0.878	0.1732

The prediction of activated sludge bulking [291], multi-elemental determination in soils under sewage sludge [379], removal of lead from industrial sludge leachate using red mud [75], sludge hygienization [94], anaerobic sludge blanket (UASB) to treat pharmaceutical wastewater containing 6-APA and amoxicillin [249] used NN paradigm.

### 13.2 Natural hazards/calamities/disasters

**Landslides:** The prediction of landslide susceptibility helps in reducing the consequent property loss as well as death toll of this natural hazard. Conforti et al. [74] applied MLP\_NN with BP training algorithm to predict landslide zones in Turbolo River catchment of North Calabria in Southern Italy (chart 80).

**13.3 Land use:** The human activity alters the surface of terrine in a non-linear fashion and is referred as land use change. Its' modeling is still a challenge due to multifactor influences. Tayyebi et al. [147] investigated global and local models (chart 81) for changes in land use. NNs are superior to other models for short-time (5 years) intervals and coarse (one km) resolution in East Africa. The regression/classification trees and MARS exhibit similar accuracies in the case of long time (20 years) interval or fine (30 m) resolution with large numbers of cells for Muskegon River Watershed.

The prediction of (urban) heat island prediction in Athens (Greece) [376], effects of land use changes [129], landslide susceptibility assessment in the Hoa Binh province of Vietnam [225], Wenchuan

earthquake (China) triggered landslides (BS), [102], land-use patterns under drought scenarios [105] and heavy metal concentrations in rice [104] have positive impact in modeling with NNs.

**Chart 80: Landslide prediction with MLP\_NN**

<b>Tools</b> Air-photo interpretation Field surveys GIS	<b>Categories</b> Landslide depletion zones Accumulation zones
<b>Influential factors for landslide</b> Lithology faults land use drainage network	<b>Model</b> MLP_NN (BP) Software :Idrisi Taiga <b>Performance for Test data</b> Confusion matrix ROC <b>Susceptibility classes</b> Very low, Low Moderate High, Very high.
<b>Topographic factors</b> Elevation slope Aspect Plan curvature Topographic wetness index Stream power index % Classification : 85 ROC : 0.9	

**Chart 81: Land transformation models**

Global parametric Land use change NN based land transformation
Local non-parametric models Classification Regression tree MARS
<b>Region</b> East Africa Agricultural expansion Muskegon River Watershed in the United States Forests are re-growing South-Eastern Wisconsin in the United States Urbanization

**Chart 82: Consequences of thermal effects of urbanization**

**Thermal contribution in urban areas**

- Urban materials
- Urban geometry
- Air pollution
- Anthropogenic heat



**Increased emission of pollutants**








- Power plants
- SO<sub>2</sub>
- CO
- NO<sub>x</sub>
- Suspended particulates

**Chart 83: Prices of residential abodes in Taranto (Italy)**

<b>X</b> Pollution level Landscaping Consequential health perturbations Asking price	<b>No rational behavior</b> Motivations, Tastes Budget availability Residential location choices Schools
<b>Y</b> Sale price of residential properties	<b>Model</b> $y = NN(X; \text{Weight})$
<b>Location</b> Taranto European biggest steel factory 2nd industrial harbour	

**Chart 84: NN model for electrocoagulation removal of Cr(VI)**

<b>Variable optimization</b> Center composite design RSM
<b>Models</b> ANOVA Multiple response optimization Artificial neural network

	<b>Chart 85: Mobile robot applications</b>  Rescue operations  Space missions  Avoid exposition to risky environment  Agriculture  Planting  Pesticides application  Minimal amounts to mitigate environmental pollution	
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**13.4 Urban heat island:** Compared to rural dwelling, urbanization (chart 82) has a new dimension as a result of thermal behavior of materials causing urban heat island (UHI) phenomenon.

### 13.5 Miscellaneous

**Environmental quality vs. real estate price:** Chiarazzo et al.[384] proposed NN model for real estate appraisal in Taranto (Italy) considering environmental quality and personal preferences (chart 83). Bhatti[115] used NN, experimental design and RSM for removal of Cr(VI) by electrocoagulation (chart 84)

**HyperRectangular Composite\_NN (HyperRectComp\_NN):** It is a two layer network. The hidden neurons are added as per requirement using supervised decision-directed learning (SupDecDirectLrn) algorithm. The weights imbibe classification knowledge, which can be extracted in Horn clauses (If-Then-Else-rules). Su et al. [218] reported that this NN is successful (> 99%) to distinguish and identify forest land, river, dam area, and built-up land from remote sensing images.

NNs find a niche in urban growth allocation model for valley [298], eco system mapping [238], quality planning policies at a regional scale in northern Italy [150], mobile robots in plantation [337], removal of organic micro pollutants by ozonation [80], biogas production [362] and exposure in embroidery workrooms [20].

**Robots in agricultural plantation:** Jodas et al. [337] reported a software implementation of SVM and NN to find out route in agricultural plantation by a real time robot which tracks the image features (chart 85).

## 14. State-of-knowledge-of- health of environment and its impact on health

The constituents of air themselves become toxic if their levels exceed threshold values. Apart from small molecules (NO<sub>x</sub>, SO<sub>x</sub>, CO<sub>x</sub>, H<sub>2</sub>S, O<sub>3</sub>), PM<sub>x</sub>, PAHs, PCBs and POPs are noteworthy pollutants in air. Further, in the cycle of Ground → surface → soil → water → air, pollutants reaching air traverse thousands of miles and even pollute water bodies and soil system. The fecal bacteria are other menace in this context. Environmental protection agency (EPA, US), European commission (EC) are environmental agencies looking forward for global clean environment with stipulations on emissions, industrial wastes etc. The prime objective is clean air to inhale and uncontaminated (treated) water to drink avoiding health hazards for human beings (children/old/susceptible subjects). At the same time, no hindrance for promotion of sustained growth of industries/agricultural or Mari cultural practices/land use, but with less contribution of pollutants to environment. Some governments started levying fines and stopping sanctions to discourage extent of contamination and even declared incentives for green processes/technologies with near zero harmful outputs. The state-of-art-technology is implemented in (automatic) sampling, analysis with high-end-hyphenated-software imbedded instruments, validating protocols for the results. Now, it has gone to the extent of personalized monitoring systems for high risk groups to keep them in safer zone,

apart from alarming signals for all. The sharp ended research goes on to bring out more precise/accurate sensors even in harsh environments.

The precipitation, rainfall, streams, rivers levels and run-off, estuaries, absorption/penetration into soil, ground water flow, and evapotranspiration from ground are in the cycle natural water body. The contamination from ecosystems like fecal bacteria from cattle, fermented flora fauna and animals perturb the purity of water. The human activity, industrialization, land use, release of domestic/industrial/biological wastes into water streams is a major hazard. The natural calamities like floods, inundations, tsunamis, volcanic gases, earth quakes perturb local environmental scenarios. The EPA and other bodies have a target of supply of safe potable water and diminish the pollution scenarios at different stages.

Modeling plays a major role in monitoring, analyzing, planning and maintaining a sustained water system balance. Linear, transformed linear, polynomial models in all fields of research were coveted. Simultaneous multi-linear equations were solved with a paper and pencil or software. Least squares solution in statistical parlance has implicit assumptions viz. response is a random variable with normal errors and explanatory variables are error free and uncorrelated/ independent/ orthogonal. In early seventies of last century, COX models, ARMA settled a firm platform for to probe into empirical regression or time series data.

In late nineties, data driven NNs opened new era to account non-linear relations with only axiom that there is a functional relation between response and explanatory variables, but it need be known explicitly. NNs, non-linear model free data driven adaptive methods have been used in monitoring water contamination, potable water quality maintenance, water-levels, run-off, waste-water treatment plants etc. The color of ocean, wave height, precipitation on seas and oceans, sea surface (SS) temperature/salinity has been predicted with NNs. The data here is mostly from satellites and high end modelling techniques for corroboration. The water global budget looks for causes and consequences of melting of ice caps on terrestrial and marine environment.

This was a boon to modelers not to hand write many models (brain waves). The subject specialists posed the lacuna, that the results are not in the frame of their discipline, although the experimental results are almost nearer to model output and forecast was also satisfactory for short values ahead. The point to remember at this juncture, is that with almost all empirical models and even mechanistic models with transformed equations, the domain is shifted another. Whatever it is, fuzzy-sets and rule-extraction algorithms brought out user readable if-then-else rules from trained NNs. This has wiped out the long standing blemish for NNs. Now, fully automated systems, deep-learning paradigms crossed all these boundaries and are a new world itself even for mega projects like LHC.

### **15. Future track (2015- ) prospects-Afterword**

NN models for prediction of PM<sub>x</sub> are accurate enough for forecasting. The governmental agencies can consider NNs as recommendable data analysis tools in addition to currently practicable ones. Complex systems require complex networks [397], sufficient training data covering all possible scenarios and advanced optimization algorithms. But it is worth noting that the recent reports in environment are pertained to NN technology used was of 1990s. A major finding is that even simple NNs function better than MLR, ARMA and parametric non-linear models. The recent improvements NN and other paradigms are to be brought to implementable stage in environmental data analysis. Further the frequency of data acquisition deserves attention.

The scientific domain is dynamic, the cobweb of data, information, knowledge and intelligence is very intricate to discern (chart A2-2). The definition, scope and applications also have been evolving with the needs and hurdles. What is amazing is that yesteryears' intelligence is today's common sense. Scientists refine the models with pumped in knowledge bits, leaving aside even time tested ones. The features not present in earlier models are smartly included and even the most coveted ones of yesterdays are graciously deleted. However, the choosy tendency of a modeler/ experimentalist /theoretician (save elite groups) is a hidden but highly influential factor that affects outcome of science. For instance, low end models do not precisely forecast weather conditions such as hurricanes, tornadoes, and blizzards and this



partially mars the reputation of modelling approach. It is but a fact that simple models are ancestors of today's coveted ones and are useful for startup activity or for a sub goal of warning for low cost (equipment, expertise and hardware/software resources) pursuits. On the other hand, the lacuna of 'plug and chug' by amateur practitioners is a quick buck approach for data-in-result-out with no feel of either data or methods. But, the results are looked at by the credit of method only, as details of adherence of the current data to the method applied is not explicitly available.

Now, it is imperative that each of simplifying assumptions, necessary conditions, and failure warnings at every stage of model should be made transparent through the modeling software itself. Further, the validity of conclusions reachable from the output also should be displayed. This was emphasized in our earlier chemometric, environmetric, kinetometric, specimetric and piscimetric studies [295, 296 and references therein 303 to 392]. The scientists formulate mathematical formulae/relationships with a paper and pencil. The data is operated on these mathematical forest to project what they prefer to look for or substantiate/defend/refute a proposal/hypothesis etc. The expert systems of 1960s broke the tradition. Genetic programming went a step forward to automatically derive sets of mathematical formulae with supplied set of operators, variables etc. This resulted in sets of functions different in form but functionally equivalent. The mathematical function approximation popular outside AI domain also has same core philosophy. Now, CERN is looking forward for an era of automatic learning with a new concept called 'deep learning'. It does not require manual creation of formulae by human scientists, not even traditional machine learning tools or human experts' insight. In this decade the buzz words are deep learning, peta-/exa-scale hardware/memory based computations, hyper intelligence and beyond. There is no final word for 'the theory' or 'highest level of experiment' in the rational scientific research. In fact, they are also evolving. 'Today's standard model of particle physics is not final, as it does not account for dark matter and dark energy. . The progress of science includes more and more precise measurements and observations with still higher and lower energy beating reteram scientist's capabilities. The outcome will focus on tracing cracks in the existing knowledge. This establishes a ground to take off into improvised theories /models /experiments/ computations including simulations. The findings of exploration for new particles with 14 TeV will culminate into science broadening its spectrum with a deep-sensed eye for reformed/ restructured/ reorganized bunch of fool proof scientific theories in the coming decades.

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**Appendix-1: Environment and Science****Chart A1-1. Environment protection bodies**

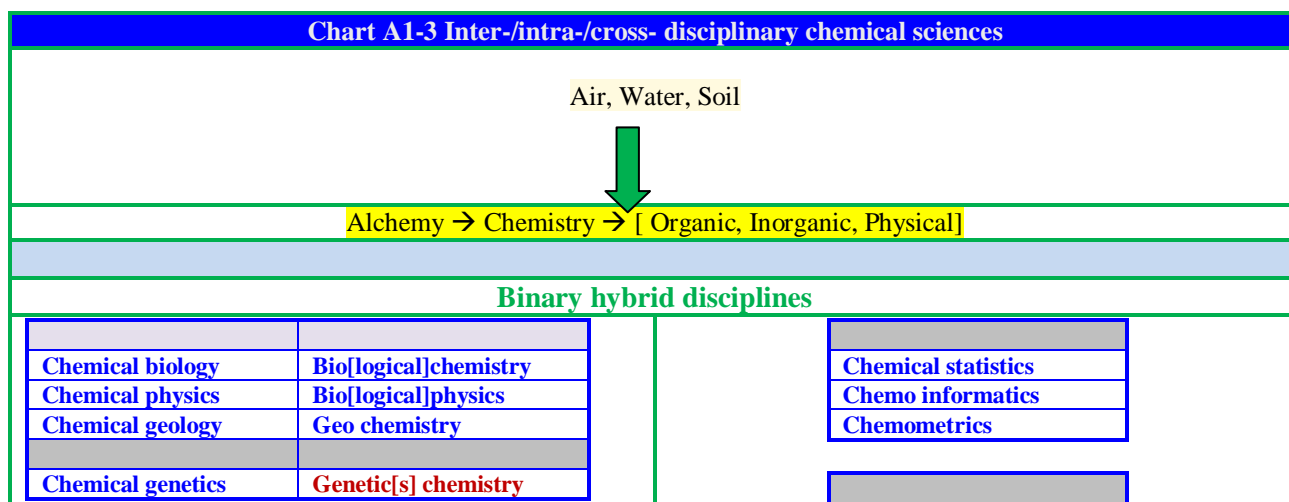
Agency	Abb
Department for Environment, Food and Rural Affairs	DEFRA, UK
European Environment Agency	EEA
Environmental protection agency, US	EPA_USA
Ministry of the Environment (Japan)	MoEn
Ministry of Environment and Forests(India)	MoEnF
National Ambient Air Quality Standards	NAAQS
Registration, Evaluation, Authorization, and Restriction of Chemicals	REACH

AQI	Canada	Great Vancouver regional district
IMECA	Air quality metropolitan	Mexico
PSI		Singapore
API	Air pollution	Hong Kong
API		China (1997)

**Chart A1-2. Environmental Science (ES, Env.Sci.) Tasks**

Chart A1-2. Environmental Science (ES, Env.Sci.) Tasks																																								
<table><tr><th colspan="2">Environment (Env.)</th></tr><tr><td colspan="2"></td></tr><tr><th>Phases</th><th>Interfaces</th></tr><tr><td> Air</td><td> Air_Water</td></tr><tr><td> Water</td><td> Water_Soil</td></tr><tr><td> Soil</td><td> Soil_Air</td></tr></table>		Environment (Env.)				Phases	Interfaces	 Air	 Air_Water	 Water	 Water_Soil	 Soil	 Soil_Air	<table><tr><th colspan="2">Extended environment</th></tr><tr><td colspan="2"></td></tr><tr><td></td><td>Vegetation</td></tr><tr><td></td><td>Food(veg),</td></tr><tr><td></td><td>Edible animals</td></tr><tr><td colspan="2"></td></tr></table>	Extended environment					Vegetation		Food(veg),		Edible animals																
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<table><tr><th colspan="2">Water budget</th></tr><tr><td colspan="2"></td></tr><tr><td colspan="2">Ground</td></tr><tr><td colspan="2">Surface</td></tr><tr><td colspan="2">Hot water springs</td></tr><tr><td colspan="2">Glaciers</td></tr><tr><td colspan="2">Water inside living species</td></tr></table> <table><tr><th colspan="2">Atmosphere water</th></tr><tr><td colspan="2"></td></tr><tr><td colspan="2">Lower/Upper</td></tr><tr><td colspan="2">Cloud</td></tr><tr><td colspan="2">Atmosphere Water vapor</td></tr></table>		Water budget				Ground		Surface		Hot water springs		Glaciers		Water inside living species		Atmosphere water				Lower/Upper		Cloud		Atmosphere Water vapor		<table><tr><th colspan="3">Surface water</th></tr><tr><td rowspan="7">Surface</td><td>Still</td><td>Ponds</td></tr><tr><td rowspan="2">Flowing</td><td>Streams</td></tr><tr><td>rivers</td></tr><tr><td rowspan="4">Salty</td><td>Seas</td></tr><tr><td>Oceans</td></tr><tr><td>Estuarine</td><td></td></tr></table>	Surface water			Surface	Still	Ponds	Flowing	Streams	rivers	Salty	Seas	Oceans	Estuarine	
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		<table><tr><th colspan="2">Water types</th></tr><tr><td colspan="2"></td></tr><tr><td colspan="2">Ultra-pure</td></tr><tr><td colspan="2">Potable</td></tr><tr><td colspan="2">Agriculture/aquaculture/Mari culture</td></tr><tr><td colspan="2">Industry/domestic use</td></tr><tr><td colspan="2">Bath/wash</td></tr><tr><td colspan="2"></td></tr><tr><td colspan="2">Effluent</td></tr><tr><td colspan="2">Polluted /contaminated</td></tr></table>	Water types				Ultra-pure		Potable		Agriculture/aquaculture/Mari culture		Industry/domestic use		Bath/wash				Effluent		Polluted /contaminated		<table><tr><th>Influencing variables on pollution</th></tr><tr><td></td></tr><tr><td>Microbiological</td></tr><tr><td>Hydrological</td></tr><tr><td>Meteriological</td></tr><tr><td>Chemo_physical</td></tr><tr><td>Geological</td></tr><tr><td>.....</td></tr></table>	Influencing variables on pollution		Microbiological	Hydrological	Meteriological	Chemo_physical	Geological	.....									
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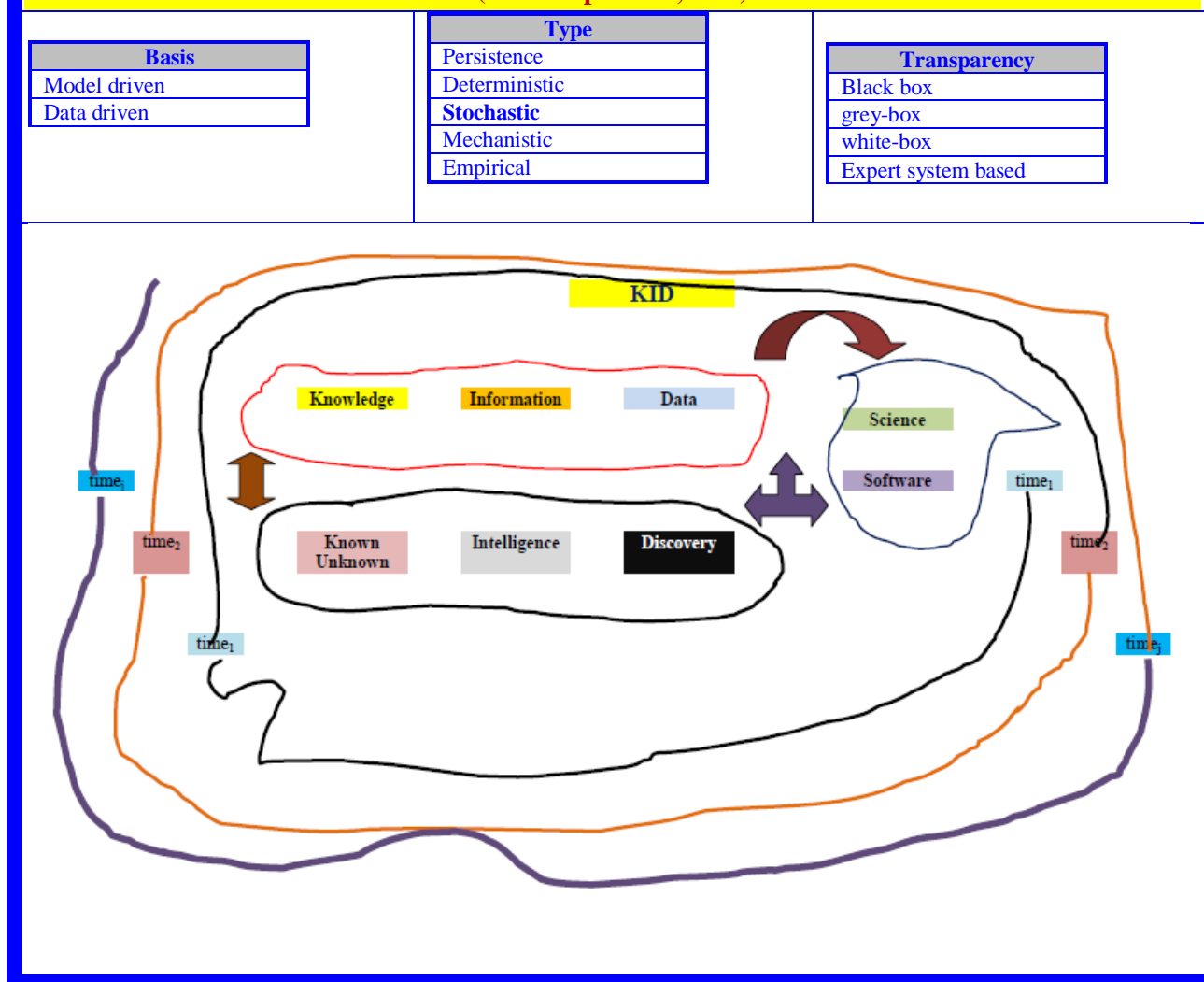
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Biochemical genetics		Analytical Biochemistry	
Quaternary hybrid disciplines			
Physical chemistry chemical physics (PCCP)		BioChemistry ChemicalBiology (BCCB)	
ComputatonalChemistry ChemicalComputations (C <sup>d</sup> )		ChemicalStatistics StatisticalChemistry (CSSC)	
.....			

### Appendix-2: State-of-art-of-Modeling evolution in research mode

Chart A2-1: Process → Models																																			
<table><tr><th colspan="2">Processes</th></tr><tr><td rowspan="4">Nature (Lab)</td><td>-13.7 billion years</td></tr><tr><td>-4.6 billion years</td></tr><tr><td>Now</td></tr><tr><td>4.6 billion years Future</td></tr><tr><td></td><td>....</td></tr><tr><td rowspan="4">Man-made Lab ventures</td><td>Satellites</td></tr><tr><td>WWW</td></tr><tr><td>Synthetic materials</td></tr><tr><td>Virtual reality/ Artificial life .....</td></tr></table>		Processes		Nature (Lab)	-13.7 billion years	-4.6 billion years	Now	4.6 billion years Future		....	Man-made Lab ventures	Satellites	WWW	Synthetic materials	Virtual reality/ Artificial life .....	<table><tr><th colspan="2">Understanding of processes</th></tr><tr><td>Human senses</td><td>Observation</td></tr><tr><td rowspan="4">Lab(oratory)</td><td>Experiment</td></tr><tr><td>Instruments</td></tr><tr><td></td></tr><tr><td>Simulation</td></tr><tr><td></td><td>Computations</td></tr><tr><td rowspan="4">Theory(computations, simulations) [TCS]</td><td>Conceptual</td></tr><tr><td>First principles</td></tr><tr><td>Empirical</td></tr><tr><td>Hybrid</td></tr><tr><td></td><td>.....</td></tr></table>		Understanding of processes		Human senses	Observation	Lab(oratory)	Experiment	Instruments		Simulation		Computations	Theory(computations, simulations) [TCS]	Conceptual	First principles	Empirical	Hybrid		.....
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	Regional	
	Compartmental	
	Global	

**Chart A2-2: Intelligent Computational Laboratory  
(Int.Comput.Lab, ICL)**

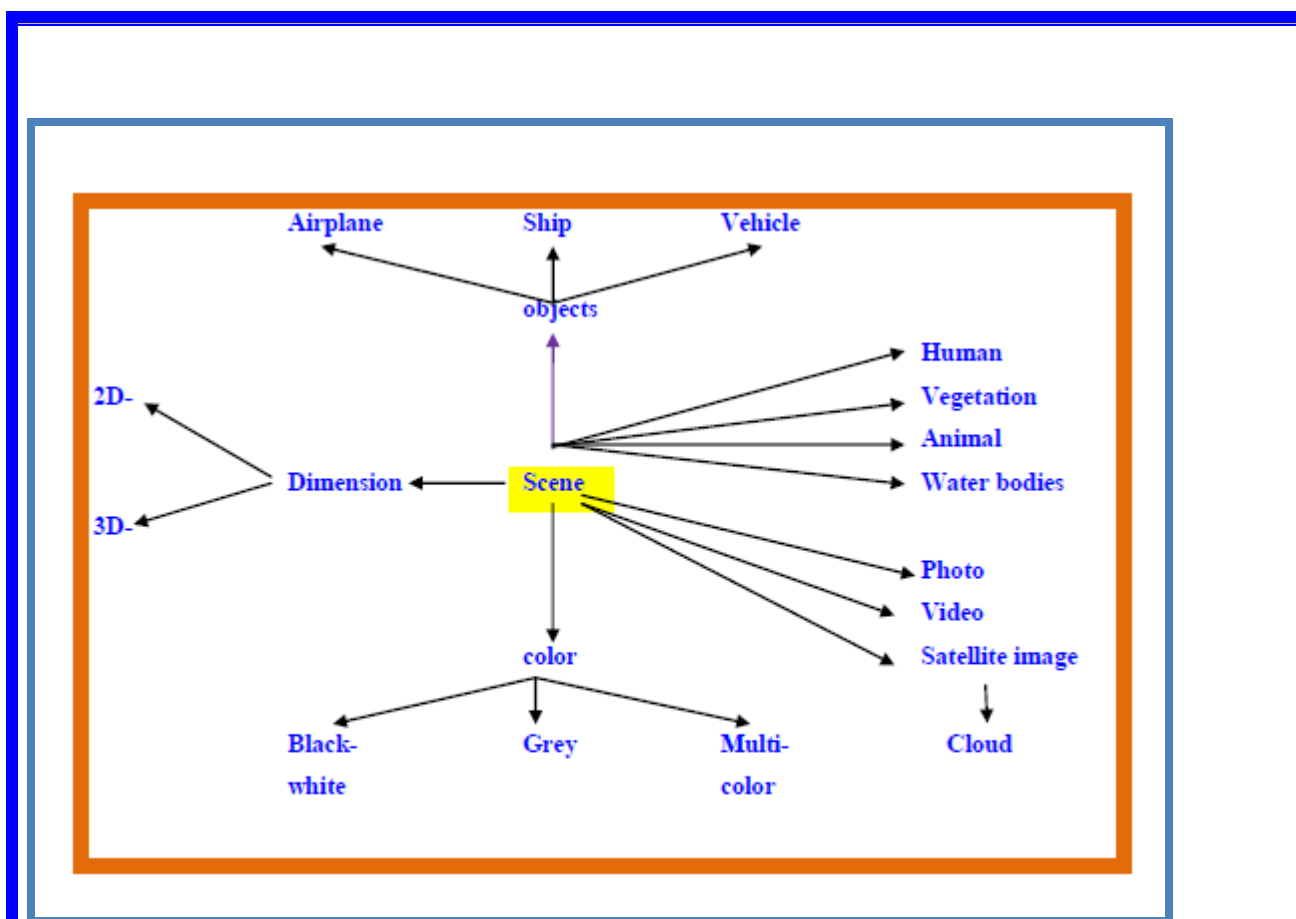


**Chart A2-3: Tasks in Mathematical parlance**

Object	Components
Tasks	: [Function approximation, Parameterization, optimization, knowledge extraction]
Pattern recognition	: [Classification, Discrimination, clustering], [Profile recognition, image, scene] [Static, Dynamic, movie]

[knowledge extraction]	:	[Rule generation, rule selection]
Time series	:	[Single, multiple], [Discrete, continuous]; [deterministic, [stochastic, chaotic]]
Equations	:	[Algebraic, differential, integral]
[DE]	:	[ODE, PDE, EDE] [parabolic, elliptical, hyperbolic]
PDE	:	[Linear, non-linear [stiff]]

Function approximation	$\min(\text{func}(\text{residual}))$
Classification	$\min(\text{misclassification})$ $\max(\text{generalizability})$
Parameterization	$\min(\text{var}(\text{par}), \text{corr}(\text{par}))$



### Regression

In linear regression, the constraint is the linearity of the mapping function. Even though the system to be modeled may not be linear, the explanatory variables showing different trends are transformed rendering them amenable for application of linear regression.

Chart A2-4: Evolution of Cause-effect, classification models

Chart A2-4: Evolution of Cause-effect, classification models																										
Cause-Effect		<div>[Theoretical, Empirical] [Linear, non-linear] [distribution-free] [Mathematical, Stochastic, Fuzzy] [parameter, non-parameter] [Hard, soft] [Model driven, data-driven] [Nature inspired]</div> <div>Hybrid</div> <div>Hybrid [fusionLevel, number of modules, paradigms] Number of modules [binary, ternary, quaternary ..] Hybrid.fusionLevel : [loose coupled, tight, fusion] Hybrid. Paradigms : [homogeneous, heterogeneous] Hybrid.Paradigms.homogeneous : [ACO PSO Firefly]</div>																								
Classification		DA: Discriminant analysis [Linear DA, Quadratic DA, Flexible DA, Mixture DA, Penalised DA] [Bayesian]																								
Clustering		k-means, c-means, Fuzzy-c-means, hierarchical clustering																								
Cause-Effect models																										
<table><tr><th>Model</th><th>Parameters</th><th>Variables</th></tr><tr><td>Linear</td><td>Linear</td><td>Linear</td></tr><tr><td>Multiple Linear</td><td>Linear</td><td>Linear</td></tr><tr><td></td><td>Linear</td><td>Non-linear</td></tr><tr><td></td><td>Non-Linear</td><td>Linear</td></tr><tr><td></td><td>Non-Linear</td><td>Non-Linear</td></tr><tr><td>Non-linear</td><td colspan="2"><div>Non-linear</div><div><div></div>Product of two linear terms</div><div><div></div>Polynomial</div><div><div></div>Gaussian</div><div><div></div>Log/ exp /transcendental</div></td></tr></table>						Model	Parameters	Variables	Linear	Linear	Linear	Multiple Linear	Linear	Linear		Linear	Non-linear		Non-Linear	Linear		Non-Linear	Non-Linear	Non-linear	<div>Non-linear</div> <div><div></div>Product of two linear terms</div> <div><div></div>Polynomial</div> <div><div></div>Gaussian</div> <div><div></div>Log/ exp /transcendental</div>	
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Models based on noise in measurement																										
Data		Noise		Model	Name																					
X	y	X	y																							
FP	FP	No	No	$y(t+1) = y(t)$	Persistence																					
				$y=fn(X;par)$	Deterministic																					
		No	Norm(mean, sd)	$y + N(.) =fn(X;par)$	Stochastic																					
		No	Fuzzy(mf)	$y + Fuz(.) =fn(X;par)$	Fuzzy																					

		No	Chaotic	$y + \text{Chaos}(\cdot) = \text{fn}(X; \text{par})$	Chaotic
		FP: Floating point			

**Chart A2-5: Heuristics and meta-heuristics for models****KB. A2.1: Meta rules for modeling**

**If** There is no independent variable &  
 Response measured as a function of time  
**Then** Analyse data with time-series models

**If** Independent & dependent variables  
**Then** x-y model

**If** x-y data &  
 model is from first principles of discipline  
**Then** Discipline specific model

**If** x-y data &  
 Model from first principles of discipline not available  
**Then** Empirical model

**If** Empirical models  
**Then** Choice is based on data structure, noise etc.

**If** Several theoretical models &  
 Empirical models  
**Then** Develop a global one

**KB. A2.2: Heuristics for type of models based on errors in y**

**If** Noise is probabilistic  
**Then** Statistical models

**If** Noise is fuzzy  
**Then** Fuzzy\_regression

**KB. A2.3: Heuristics for type of models based on data type**

**If** Binary values  
**Then** Binary regression

**If** Non-numerical data  
**Then** Symbolic regression

**Chart A2-6: Method\_base of cause-effect models with eXpert System approach****Regression**

**Likelihood function**  
**Based on residual**

**Likelihood****Maximum likelihood estimators****Absolute deviations****Least absolute deviations****Maximum absolute deviations****Constraint: Parameters > 0****Non-negative LS****Positive definite matrix**

.....

**Residuals****Least Squares**

Method	Goal
Least squares	Min ( $\text{Resid}^T * \text{Resid}$ )
Least absolute deviations	Min (sum ( Resid))
Max absolute deviations	Max (sum ( Resid))
Least Median squares	Min (median( $\text{Resid}^T * \text{Resid}$ ))

**Outliers**

**If** Outliers are present in y

### Appendix 3: Object functions in different tasks

[www.joac.info](http://www.joac.info)

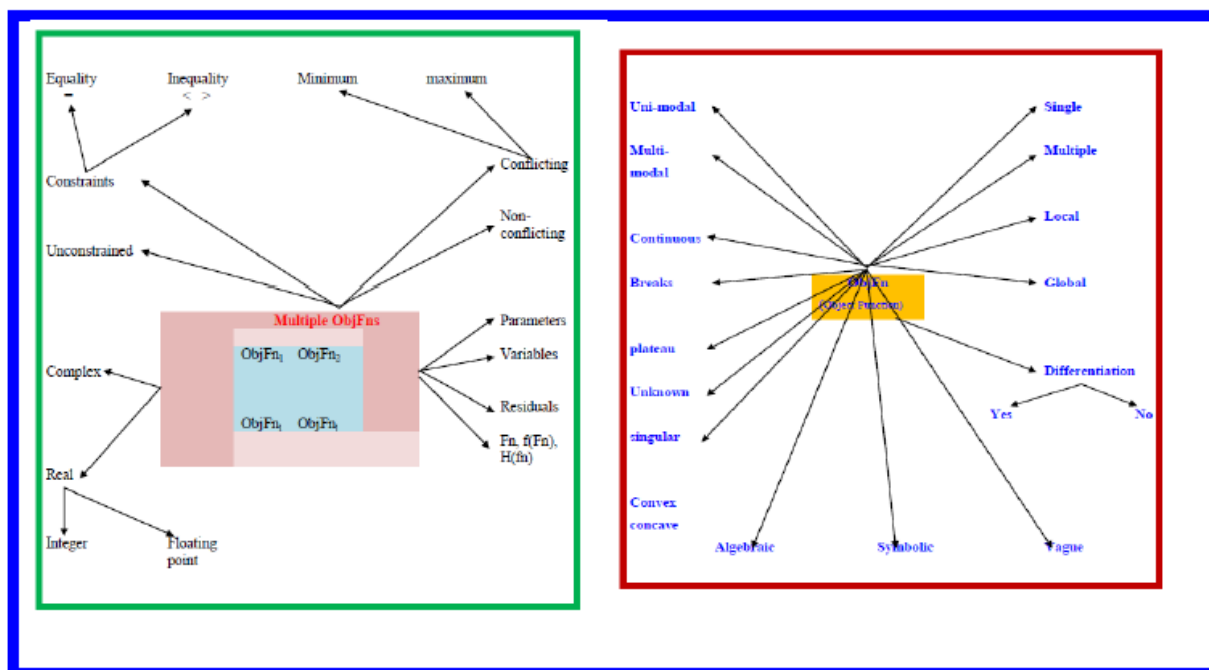


Chart A3-1: Categories of object functions

Popular object-functions and first derivatives		
Object function	Abbreviation	function
ESS	$e^T * e$	$2 * \sum_{i=1}^n e_i * \frac{\partial e_i}{\partial par}$
Error	$e_i$	$\frac{\partial e_i}{\partial par}$
Sum of errors	$\sum_{i=1}^n e_i$	$\frac{\partial e_i}{\partial par}$
Regularized ESS	$ESS + \lambda *   w  ^2$	$2 * \sum_{i=1}^n e_i * \frac{\partial e_i}{\partial par}$
	$\frac{\beta}{2} * \ln \left[ 1 + \frac{e_i^2}{\beta} \right]$	$\frac{e_i}{1 + \frac{e_i^2}{\beta}} * \frac{\partial e_i}{\partial par}$

Novel object-functions and gradient		
Object function	$\frac{dESS}{dx}$	Order of polynomial
$0.5 * \sum (y_i - ynn_i)^2$	$\sum (y_i - ynn_i) * g[TF(x)]$	Quadratic
$\frac{1}{3} * \sum  (y_i - ynn_i) ^3$	$\sum (y_i - ynn_i)^2 * g[TF(x)]$	Cubic
$\frac{1}{4} * \sum  (y_i - ynn_i) ^4$	$\sum (y_i - ynn_i)^3 * g[TF(x)]$	Quartic

Chart A3-2: object functions and derivatives

**Error in input variable (EIV) based cost function:** Gorp (2000) introduced EIV-object function to train data by FF-NNs with and without errors only in input or both in input and output variables. This function works in the stochastic framework.

**Castillo method:** The error in the input scale is used instead of age-old errors in output scale. The object functions are ESS and maximum of absolute of errors.

Typical object-functions		
MAE	$\sum  e_i $	
Weight decay	WD-ESS	$ESS + \alpha * 2W^2$
True Weight decay	T WD-ESS	$ESS + \alpha * 2W^2 + \frac{1}{n} * (w-w0)^T * P0^{-1} * (w-w0)$
Standard RLS	RLS	$ESS + \frac{1}{n} * (w-w0)^T * P0^{-1} * (w-w0)$
fn(ESS)	LogResid	$\log(1-resid^2)$
cross_entropy	Cross_ent	$\sum [-ynn_i * \ln(y_i) - (1-ynn_i) * \ln(1-y_i)]$
$y_{cal_i} = fn(X; par); e_i = (y_{cal_i} - y_{obs_i});$		
$ynn_i : y_{cal_i} \text{ from NNs}$		
$\min(WD-ESS) \approx \max(\text{posterior output probability})$		
if $\alpha = 0$ , TWD-ESS $\rightarrow$ RLS		



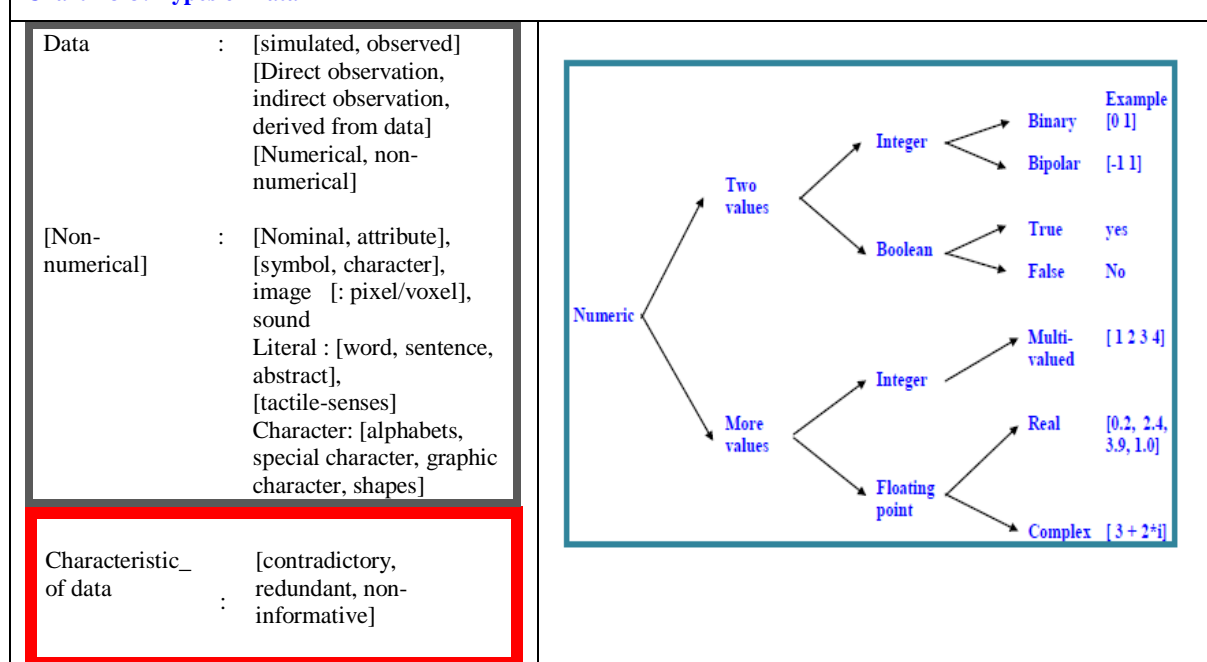
The linear programming methods were used for max (abs (error)), while linear equation in the case of ESS. This approach results in robust W and global optimum on the error surface. The errors in input scale need learning neural function, a new concept and superior to the known sigmoid and the like. It is implemented with polynomial (linear) combination of invertible basis functions (tanh and arc tanh). Even FT can also perform the job. This method is ten times faster compared to earlier ones.

**Regularization methods:** A penalty criterion is added to the objective function. But, the penalty term creates additional local minima increasing the possibility of settling in a bad local minimum. The object function is generally a function of residual (error) viz. error sum of squares, sum of absolute errors, regularized ESS, Bayesian error and so on.

### Errors/ noise/ outliers in Data

Any measurement (chart A3-3) is contaminated with known/unknown distortion (chart A3-4). If the true value is known, the difference ( $y_{\text{true}} - y_{\text{observed}}$ ) is called an error. In mathematical analysis, constant and proportional errors are prevalent with pessimistic and optimistic limits. Respecting the law of classical statistics that small errors occur more frequently than larger ones (normal distribution), the distortion in replicate measurements was attributed to statistical probability. However, many probabilistic distributions (log normal, beta, exponential etc) were derived from seven distributions of Pearson. Stochastic processes also contribute to the perturbation of signal. The contribution of probabilistic component in the measured signal is called noise. The error/noise may also arise as a result of another sub-process. The errors in  $y$ , function ( $f(x; \text{par})$ ), variables ( $x$ ), parameters of model are mathematical, statistical or fuzzy. The various pre-processing procedures are cited in chart A3-4.

**Chart A3-3: Types of Data**



**Chart A3-3b: Noise in data**

Type of error in data : [No\_error, fuzzy, probabilistic, possibility]

**Chart A3-4: Preprocessing of data**

Preprocessing : [scaling, Dimension reduction, increase of dimension, noise reduction]

Numerical	: [constant, proportional, distribution based, Fuzzy, interval, grey, rough sets] [ outliers], [trend]	Dimension reduction	: [ [SVD, PCA, PLS]; [Independent Component Aanalysis]; [Forward selection, backward elimination, GA] ]
Distribution	: [probabilistic, Fuzzy]	Noise reduction	: [transform, filter ]
Character	: [rotation, translation, reflection, zoom-in, zoom-out, intensity-of-light, distortion]	Transform	: [Kalman, Savitzky-Golay, Hadamart, FT, wavelet]
		Kalman filter	: [Extended KF, Ordinary KF]
		Scaling	: [Mathematical, statistical, fuzzy, interval, Grey]
		Linear	: [mean centered, variance-zero2one, minimum2one,zero2one]
		Mathematical	: [linear, non-linear]
		Non-linear	: [log, exp, power, tanh, boolean]

The numerical magnitudes of data sets of many tasks are on different orders and thus scaling is mandatory. ScaleX.m is a MATLAB function converting the matrix in the chosen range (lower to upper). Since the realistic comparison of residuals for model validation and prediction errors is to be performed in measurement scale, the unscaling function is also developed.

### Optimization methods

Optimization (minimization or maximization) of response and error are crucial in every activity viz. high yield of product with minimum impurities, high quality of a finished product with a lower cost etc. The categories of optimization are without and with (linear/non-linear/equal/unequal) constraints. Depending upon the viability of calculating the function, first and second order gradients, a galaxy of optimization methods have proposed (Chart A3-5 and A3-6).

Chart A3-5: Optimization (training) methods		
Optimization: [Static, adaptive, dynamic]  [self-starting, non-self-starting]	<b>Heuristics for choice of training methods</b>  If     Object function has derivatives Then   Gradient methods are used Else   Direct search methods  If     Derivatives are not calculable & Function value is not available Then   Simplex	
<b>Gradient based</b>		
First order	:	[Steepest descent (BP), One-step-secant, Resilent]
	BP	: [non adaptive {Without momentum, With momentum}, adaptive {Without momentum, With momentum}]
	[delta rule ]	: [Delta rule, Cum-delta, Norm-cum-delta, Delta-Bar Delta, Ext-DBD, Rumelhart and McClelland's delta] [Quick Propogation, Max Prop, Directed random search]
Second	:	Newton Raphson

order	
Quasi-Newton	: [Broyden-Fletcher-Goldberg-Shano (BFGS), Limited memory BFGS, Davidon-Fletcher-Powell (DFP)] [Marquardt] [Conjugate gradient] [Powell-Beale, Fletcher Powell, Polak-Ribiere, Fletcher Reeves]
Direct search (Non-gradient)	: [Bisection, Golden search, False position, Brent, Simplex]
Direct search + gradient	: [DBrent]

#### Chart A3-6a: Global optimization methods

Global optimization Methods	: [SAA, GA]
[SAA]	: [SAA-SS error, SAA-WTA error, SAA-WWTA error]

SAA : simulated annealing algorithm

CR : Continuous regression

GA :genetic algorithm

GN : Gauss-Newton

#### Chart A3-6b: Hybrid and adaptive methods

Hybrid	: [{GN + SAA}, {Simplex + Kalman}, {GA+GN+BFGS+GA} ]
Adaptive	: [Marquardt, CR, non-linear-CR]
Non-linear CR	: [CR, NN]
CR	: [MLR, PCR, PLSR ]
Marquardt	: [Steepest descent, GN, NR]